

**STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

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BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

**AGENDA
STATE BOARD OF ELECTIONS
BOARD MEETING
Tuesday, April 19, 2011
10:30 a.m.**

James R. Thompson Center – Room 2-025
Chicago, Illinois
and via videoconference
1020 South Spring Street
Springfield, Illinois

Roll call.

1. Approval of the minutes from the March 21 and 31 meetings. (pgs.1-6)
2. Report of the General Counsel;
 - a. Campaign Disclosure;
Appeal of campaign disclosure fines – carryover from March – hearing officer recommendation appeal be granted
 - 1) *SBE v. Citizens to Elect Judge Raymond W. Mitchell*, 22125, 10AP061; (pgs.7-16)
Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be granted
 - 2) *SBE v. IL Coin Machine Operators Association PAC*, 712, 10AG005; (pgs.17-19)
 - 3) *SBE v. Citizens for Lou Lang*, 1330, 10AP089; (pgs.20-23)
 - 4) *SBE v. Ogle County Republican Central Committee*, 5091, 10GE009; (pgs.24-26)
 - 5) *SBE v. Citizens for Viverito*, 6053, 10AG007; (pgs.27-29)
 - 6) *SBE v. Friends for Lauzen*, 7463, 10AP091; (pgs.30-42)
 - 7) *SBE v. Downers Grove Township Republican Ticket*, 8939, 11DS035; (pgs.43-45)
 - 8) *SBE v. Citizens for Leuchtefeld*, 11445, 10AG009; (pgs.46-50)
 - 9) *SBE v. Citizens to Elect LaShawn K. Ford*, 14021, 10AG012; (pgs.51-56)
 - 10) *SBE v. Meijer PAC of Illinois*, 15453, 11DS060; (pgs.57-59)
 - 11) *SBE v. Friends for Verschoore*, 17579, 10AG014; (pgs.60-67)
 - 12) *SBE v. Friends for Lee Ann Goodson*, 18169, 10GE019; (pgs.68-76)
 - 13) *SBE v. Citizens for Fred Crespo*, 18583, 10AG016; (pgs.77-79)
 - 14) *SBE v. Committee to Elect Bill Berry*, 19193, 11DS091; (pgs.80-82)
 - 15) *SBE v. State Council of IL Sheet Metal Workers PAC*, 19987, 10AG057; (pgs.83-85)
 - 16) *SBE v. Patrick for Illinois*, 22116, 10AP060; (pgs.86-96)

- 17) *SBE v. Citizens for Jeffrey L. Junkas*, 22127, 10AG024; (pgs.97-104)
- 18) *SBE v. Friends of Robyn Gabel*, 22260, 10AP099; (pgs.105-115)
- 19) *SBE v. Citizens for Ray Pendzinski*, 22429, 10AG059; (pgs.116-119)
- 20) *SBE v. Committee to Elect Mike Cook*, 22570, 10GE040; (pgs.120-124)
- 21) *SBE v. Friends of Lynn Scott Pearson*, 22725, 10JS208; (pgs.125-128)

Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be denied

- 22) *SBE v. Jackson County Republican Central Committee*, 358, 10AG002; (pgs.129-131)
- 23) *SBE v. Gallatin County Republican Central Committee*, 380, 10GE003; (pgs.132-134)
- 24) *SBE v. North Suburban Teachers Union COPE*, 450, 10GE004; (pgs.135-140)
- 25) *SBE v. ICA PAC*, 4253, 10GE007; (pgs.141-144)
- 26) *SBE v. Citizens for Sig*, 9379, 10JS222; (pgs.145-149)
- 27) *SBE v. Citizens for Thaddeus Jones*, 12697, 11MA001; (pgs.150-154)
- 28) *SBE v. Will County Young Republicans*, 14432, 11DS055; (pgs.155-157)
- 29) *SBE v. Citizens for David E. Miller*, 14923, 10AP043; (pgs.158-160)
- 30) *SBE v. Friends of Zenovia G. Evans*, 16193, 10MA022; (pgs.161-165)
- 31) *SBE v. Friends for State Rep. Anthony DeLuca*, 17153, 10AG013; (pgs.166-169)
- 32) *SBE v. Little Rock 8 Republican PAC*, 18401, 11D101; (pgs.170-180)
- 33) *SBE v. Vote Bob Scott*, 20381, 11DS108; (pgs.181-184)
- 34) *SBE v. Committee to Elect Marty P. Leffler*, 20736, 11DS116; (pgs.185-188)
- 35) *SBE v. Friends of Jehan Gordon*, 20886, 10AG017; (pgs.189-192)
- 36) *SBE v. Citizens for Mark Walker*, 20977, 10AG018; (pgs.193-203)
- 37) *SBE v. Friends of David Zimmerman*, 21158, 11DS131; (pgs.204-207)
- 38) *SBE v. McDonough County Democratic Coalition*, 21214, 11DS132; (pgs.208-210)
- 39) *SBE v. Citizens for DuPar*, 21233, 11DS133; (pgs.211-219)
- 40) *SBE v. Friends of Eddie Lee Jackson*, 21760, 10AP098; (pgs.220-224)
- 41) *SBE v. Brady PAC-Illinois*, 22097, 11MA002; (pgs.225-229)
- 42) *SBE v. Citizens for Wallace Davis III*, 22266, 10AP068; (pgs.230-232)
- 43) *SBE v. Friends of Harris*, 22270, 10AG026; (pgs.233-236)
- 44) *SBE v. Maureen Berkowitz for Supervisor of Assessments*, 22336, 10G038; (pgs.237-239)
- 45) *SBE v. Citizens to Elect Nicholas Karas*, 22362, 10AP074; (pgs.240-242)
- 46) *SBE v. Citizens for Jesus Garcia*, 22443, 10AG027; (pgs.243-245)
- 47) *SBE v. Friends of Peter Kramer*, 22456, 10AP080; (pgs.246-268)
- 48) *SBE v. Friends of Marla Wilson*, 22494, 10AG030; (pgs.269-272)
- 49) *SBE v. Citizens for Frederick Collins*, 22753, 10AG033; (pgs.273-278)
- 50) *SBE v. Friends of Terri Bryant*, 22931, 10AG023; (pgs.279-281)
- 51) *SBE v. Friends to Elect Richard Rodriguez*, 22985, 11DS248; (pgs.282-284)
- 52) *SBE v. Strobeck-Eckersall for Assessor*, 23073, 11DS258; (pgs.285-)

Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be granted & denied

- 53) *SBE v. Friends of Saviano*, 7684, 10AP035; (pgs.288-293)
- 54) *SBE v. Friends of Dan Hynes*, 13187, 10AP039; (pgs.294-297)
- 55) *SBE v. Friends of Adam Brown*, 21520, 10AG020; (pgs.298-304)
- 56) *SBE v. Committee to Elect Joseph Berrios Assessor*, 22442, 10AP101; (pgs.305-308)

Other campaign disclosure items

- 57) Request for extension of deadline to file an appeal – *Friends of Williams J. Kelly*, 22238; (pgs.309-313)
- 58) Assessments/Final Orders; (pgs.314-316)
- 59) Payment of civil penalties – informational; (pg.317)

Complaints following closed preliminary hearing (separate packet)

- 60) *Stern v. College of DuPage Foundation*, 10CD085; (pgs.1-23)
 - 61) *Albers v. Pro-Library Committee*, 11CD009; (pgs.24-38)
 - 62) *Dorado v. Women for Stronger Neighborhoods*, 11CD015 & 11CD020; (pgs.39-59)
 - 63) *Dorado v. Magnolia Malden Block Club*, 11CD016; (pgs.60-71)
 - 64) *Maywood United Party v. Ratley*, 11CD017; (pgs.72-87)
 - 65) *Sherman v. Indian Trails Public Library District/Friends of Indian Trails Library District*, 11CD019; (pgs.88-108)
 - b. Consideration of proposed rule – electronic filing defense. (pgs.318-319)
3. Report of the Executive Director;
- a. April 5, 2011 Consolidated Election update;
 - 1) Election day assignments; (pgs.320-321)
 - 2) Post election report; (pg.322)
 - 3) Voting systems pre-testing; (pgs.323-324)
 - 4) Election judge training schools – informational; (pgs.325-326)
 - b. Legislative update; (oral report)
 - c. Illinois Score FVAP legislative initiatives; (pgs.327-334)
 - d. IVRS update – informational; (pgs.335-338)
 - e. Census data versus registered voters; (pgs.339-346)
 - f. Report on Campaign Finance Reform Task Force; (pg.347)
 - g. Consideration of Board meeting schedule for FY12; (pgs.348-349)
 - h. Springfield office facility update; (pg.350)
 - i. Lump Sum update; (pg.351)
 - j. Fiscal status reports – informational;
 - 1) FY11 – month ending March 31; (pgs.352-359)
 - 2) Help Illinois Vote Fund; (pgs.360-365)
 - k. Two year plan of staff activity for the months of March & April – informational. (pgs.366-368)
4. Follow up;
- a. Reporting of in-kind contributions – February Agenda; (pg.369)
 - b. Federal Consent Decree enforcement – February Agenda; (pgs.370-371)
 - c. Penalty assessments under the new law – March Agenda; (sent under separate cover)
 - d. Request for information for VOSS – March Agenda; (pg.372)
 - e. Reminder – Special Board Meeting May 6, 2011 at 9:00 a.m. (pg.373)
5. Comments from the general public. (pg.374)
6. Next regular Board meeting May 16, 2011 in Springfield. (pg.374)
7. Executive session. (pg.375-385)

STATE BOARD OF ELECTIONS
Regular Board Meeting
March 21, 2011

MINUTES

PRESENT:

Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady, Member
John R. Keith, Member
William M. McGuffage, Member
Albert S. Porter, Member
Jesse R. Smart, Member
Robert J. Walters, Member

ALSO PRESENT:

Rupert Borgsmiller, Executive Director
Steve Sandvoss, General Counsel
Amy Calvin, Administrative Assistant II

The regular meeting of the State Board of Elections was called to order via videoconference at 10:30 a.m. with all Members present. Chairman Schneider, Vice Chairman Rednour and Members Keith, Smart and Walters were present in Springfield and Members Brady, McGuffage and Porter were present in Chicago.

The Chairman opened the meeting by leading everyone in the pledge of allegiance.

The minutes from the February 23 meeting were presented with revisions as noted. Member Smart moved to approve the minutes as submitted. Vice Chairman Rednour seconded the motion which passed unanimously.

The General Counsel presented *SBE v. Citizens to Elect Judge Raymond W. Mitchell*, 22125, 10AP061 which was an appeal of campaign disclosure fines carried over from the February meeting. Without objection the appeal was moved to the April Board meeting agenda at the request of counsel for the respondent.

The Chairman presented the following appeals of campaign disclosure fines that the hearing officer recommended be granted for agenda items 2.a.2-5: 2) *SBE v. Citizens for Bassi*, 11481, 10AP037; 3) *SBE v. Committee to Elect Judge John C. Griffin*, 22187, 10AP064; 4) *SBE v. Citizens for James Michael Bailey*, 22332, 10AP071; and 5) *SBE v. Friends of Terry MacCarthy*, 22588, 10AP085. The General Counsel concurred with the recommendation of the hearing officer in all these cases and no one was present for any of the respondent committees in these matters. Member Smart moved to grant the appeals for agenda items 2.a.2-5 with Member Keith's amendment that the reports recommended to be filed by the hearing officer and General Counsel be filed on or before the close of business April 29, 2011. Member Keith seconded the motion which passed by roll call vote of 8-0.

The payment of civil penalties listing was presented for informational purposes.

Vice Chairman Rednour moved to recess into executive session to consider complaints following closed hearing, imminent litigation and personnel matters. Member Walters seconded the motion which passed unanimously. The meeting recessed at 10:40 a.m. and reconvened at 1:46

p.m. Upon reconvening, a second roll call was taken with all Members present as noted in the first roll call.

Member McGuffage moved that the Board consult with the Attorney General and authorize the retention of outside counsel within the parameters of the present budget, for representation associated with the imminent litigation as discussed in executive session. The Vice Chairman seconded the motion which passed by roll call vote of 5-3 with Vice Chairman Rednour and Members Keith and Porter voting in the negative.

Member Keith moved to direct staff to take steps necessary to request an appropriation from the General Assembly of an additional one million dollars for litigation expenses during calendar year 2010. Vice Chairman seconded the motion which failed by roll call vote of 1-7 with all Members voting in the negative except for Mr. Keith.

As to case number 11CD003, *Illinois Campaign for Political Reform, Morrison and Canary v. For a Better Chicago*, Member Keith moved to find that the matter was not filed on justifiable grounds and not proceed to a public hearing. Vice Chairman seconded the motion which passed by roll call vote of 6-2 with Vice Chairman Rednour and Member Walters voting in the negative.

As to case number 11CD004, *Lifsics v. Concerned Citizens of the 50th Ward*, Member Keith moved to grant the motion by the complainant to amend their complaint to conform to the evidence presented in the closed preliminary hearing. Vice Chairman seconded the motion which passed by roll call vote of 8-0.

Also concerning case number 11CD004, *Lifsics v. Concerned Citizens of the 50th Ward*, Member Keith moved to find that the complaint was filed upon justifiable grounds as to all matters except those relating to a separate bank account and use of a money order as payment of expenses and proceed to a public hearing on those matters as specified in the recommendation received from the General Counsel. Vice Chairman Rednour seconded the motion which passed by roll call vote of 8-0.

As to case number 11CD005, *Hammond v. Save 5 Acres*, Member Keith moved to find that the complaint was filed on justifiable grounds and the matter proceed to a public hearing. Vice Chairman Rednour seconded the motion which passed by roll call vote of 8-0.

As to case number 11CD006, *Schueppert v. Save 5 Acres, et al.*, Member Keith moved to find that the complaint was filed on justifiable grounds and the matter proceed to a public hearing. Vice Chairman seconded the motion which passed by roll call vote of 7-1 with Member Brady voting in the negative.

Member Keith moved to direct staff to take the steps necessary to assign the public hearings for case numbers 11CD005 and 11CD006 to the same hearing officer. Vice Chairman Rednour seconded the motion which passed by roll call vote of 8-0.

As to case number 11CD007, *Zurek vs. Your Village Your Voice & Friends of Barrett Pedersen*, Member Keith moved to find that the complaint was filed on justifiable grounds, that there is no need for a public hearing but such finding be posted on the website in accordance with the new statute and that there was no justifiable grounds on the issues relative to the attribution of

source of payment on the yard signs. Vice Chairman Rednour seconded the motion which passed by roll call vote of 7-1 with Mr. Walters voting in the negative.

Without objection it was agreed to continue the Consideration of proposed rule concerning the electronic filing defense to the April Board Meeting Agenda to all adequate time to review the rule and submit comments and questions to the General Counsel and Director of Campaign Disclosure.

The Executive Director began his Report with an update on the February 23, 2011 Consolidated Election. Mr. Borgsmiller indicated the election went very well and very few calls were received by staff. As far as the precinct reporting update, Dianne Felts indicated the only jurisdiction where results were reported after 2:00 a.m. was the city of Chicago. Out of thirteen precincts, nine of those had corrupted data packs and three had issues with the DRE chip. One precinct arrived prior to 2:00 a.m. but was not reported until after that due to a data processing issue.

Mr. Borgsmiller presented a listing of election day staff assignments for the April 5, 2011 Consolidate Election and said both offices would be covered for that day. A request had been received today from St. Clair County requesting staff presence in their jurisdiction and Mark Mossman was in the process of following up on the request. It was noted that the election judge training schools conducted by staff throughout the State were well attended and are worthwhile project.

Mr. Borgsmiller gave an update on the federal consent decree which required the SBE to conduct an investigation as to why UOCAVA voters in several counties were not provided their ballots in a timely manner. The thirty nine jurisdictions that did not get their ballots out in a timely fashion were contacted and Bernadette Harrington prepared and drafted the response. Mr. Borgsmiller commended Ms. Harrington for a job well done and her efforts in contacting the jurisdictions that did not respond right away. The report was filed and at this time the SBE has not received any feedback from the Attorney General's office or the Department of Justice. It was determined that one of the main reasons the ballots were not sent on time is because the deadline fell on a Saturday so many jurisdictions mailed them on Monday. In the future, we are going to send out reminder e-mails to the election authorities regarding the deadlines and advising them to mail out the ballots on Friday if the deadline falls on a Saturday when their offices will be closed. Without objection, the Board directed Mr. Borgsmiller to transmit the investigative report (minus the appendix) to all election authorities for informational purposes.

Cris Cray gave a legislative update and indicated that 167 election bills were introduced and that the legislature was currently on spring break. Mr. Borgsmiller testified at the Senate Appropriations Committee regarding the SBE's budget request for FY12. Several questions regarding the \$3.5 million that had been requested from Cook County to develop a new voter registration system were asked. Senator Rezin complimented the SBE staff for their timeliness and indicated that when people call the SBE they actually talked to staff. Ms. Cray also noted that Senator Dillard introduced another bill that would require candidates to submit their tax returns to the SBE for posting purposes, and has asked the SBE for input on drafting an amendment for the veto session. She thanked Steve Sandvoss, Kyle Thomas and Ken Menzel for their assistance in commenting on the various election bills as they came through.

The IVRS update was presented for informational purposes and it was noted that it was back on a downward trend.

Mr. Borgsmiller reminded the Board that a Special Meeting was needed in early May to certify the canvass for the Fox Waterway Agency Directors (Lake and McHenry Counties). It was agreed that the special Board meeting will be held on Friday, May 6 at 9:00 a.m. in Chicago and Springfield via videoconference. Another special meeting was also scheduled for Thursday, March 31 at 3:00 p.m. to consider any complaints following closed hearing that might be filed prior to that day. Member Keith moved to direct staff to publish on the SBE website and any other appropriate manner that any complaints filed subsequent to 12:00 p.m. on March 25 will not be heard until the April meeting after the Consolidated Election on April 5. Member Smart seconded the motion which passed unanimously.

Mr. Borgsmiller informed the Board that the SBE was considering the use of social networking sites, such as Facebook and Twitter, to disseminate information to the public. Discussion ensued as to the pro's and con's of use of the sites and it was determined that staff would continue to research the project.

Penalty assessments was next on the agenda and Sharon Steward noted that she prepared a memo that was included in the Board packet regarding penalty assessments under the new law. She reviewed the suggested assessments for A-1, quarterly, B-1 and D-1 violations and the expiration of assessments. It was suggested that staff draw up guidelines as to determining when an appeal should be granted or denied and discussion ensued concerning the language. Staff was directed to proceed as outlined in the memo for assessments related to A1 violations.

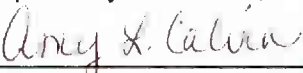
Mr. Borgsmiller gave a brief update on the Request for Information for VOSS and said that Rick Fulle was scheduled to meet with the Chief Fiscal Officer to discuss the items that should be included in the Request.

The lump sum update was presented and it was noted that figures for election judge reimbursement from the February Consolidated Primary Election had been compiled. Mr. Borgsmiller indicated that election judge reimbursement from the April Election should be covered as well.

The fiscal status reports and two year plan of staff activity were presented for informational purposes.

With there being no further business before the Board, Vice Chairman Rednour moved to adjourn until March 31, 2011 at 3:00 p.m. in Chicago and Springfield via videoconference or the call of the Chairman, whichever occurs first. Member Smart seconded the motion which passed unanimously. The meeting adjourned at 2:40 p.m.

Respectfully submitted,



Amy Calvin, Administrative Assistant II



Rupert T. Borgsmiller, Executive Director

STATE BOARD OF ELECTIONS

March 31, 2011

MINUTES

PRESENT:

Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady, Member (via telephone)
John R. Keith, Member
Albert S. Porter, Member
William M. McGuffage, Member
Jesse R. Smart, Member
Robert J. Walters, Member (via telephone)

ALSO PRESENT:

Steve Sandvoss, General Counsel
Darlene Gervase, Administrative Assistant II

Chairman Schneider called the meeting to order shortly after 3:00 p.m. and led everyone in the Pledge of Allegiance to the flag. All members were present via videoconference or telephone with Member Brady's arrival at 3:05 p.m.

The Chairman stated that the purpose of this special meeting was to consider complaints following closed hearing. Member McGuffage moved to recess to Executive Session and Member Smart seconded. The motion passed unanimously by 8 voices in unison.

The Board recessed to Executive Session at 3:04 p.m. and returned to open session at 4:17 p.m.

Roll call was taken to ensure a quorum. Mr. McGuffage was absent and Member Porter held his proxy.

Mr. Keith moved to direct the General Counsel to proceed with the retention of the law firm of Laner Muchin to represent the Board regarding imminent litigation and to review the anticipated petition for organization and to be prepared to advise the Board and respond to any inquiries the Members may have. Also included in the Motion was to seek a special assistant attorney general on behalf of Laner Muchin. Member Rednour seconded the Motion which was approved 6-0, with Member Porter voting present and Member McGuffage voting present via Member Porter's proxy.

In the matter of *Coleman v. Monaghan, et al.*, 11CD08, the complaint had been withdrawn and therefore no further action was necessary.

Pursuant to agreement of both parties, the matter of *Albers v. Pro Library Committee*, 11CD009, will be heard at the April 19th Board meeting.

As to the matter of *Brown-Marino v. Broadview United*, 11CD010, the failure of the Respondent to list the slate of candidates on its Statement of Organization is moot, given the amendment to such Statement by the Respondent. Member Keith moved to adopt the Hearing Officer's and General Counsel's recommendations that the complaint was filed on justifiable grounds, respondent be given until April 15th to file the 2010 December Semi-annual Report and to refer such Report to staff for assessment of appropriate civil penalties and no public

hearing is required. Mr. Smart seconded the motion which passed unanimously by roll call vote.

As to the matter of *Brown-Marino v. New Broadview Tea Party*, 11CD011, Mr. Keith moved to adopt the Hearing Officer's and General Counsel's recommendation that the complaint was filed on justifiable grounds; respondent must file all necessary amendments to existing reports and must file the 2010 Semi-annual Report by April 15, 2011 and such Report be referred to staff for assessment of appropriate civil penalty; and no audit or public hearing is required. Mr. Smart seconded the motion which passed unanimously by roll call vote.

As to the matter of *Brown-Marino v. Friends of Sherman C. Jones*, 11CD012, Mr. Keith moved to adopt the Hearing Officer's and General Counsel's recommendations that the matter has been filed on justifiable grounds except as to Counts 2 and 3; the committee must file the 2010 December Semi-annual Report and all necessary amendments to existing reports by April 15, 2011; and such Report be referred to staff for assessment of appropriate civil penalty. No public hearing or audit is necessary. Mr. Smart seconded the motion which passed unanimously by roll call vote.

As to *Vaisrub v. Phelan*, 11CD013, Mr. Smart moved to accept the parties' settlement agreement and Mr. Porter seconded the motion. The motion passed unanimously by roll call vote.

The Chairman asked for a motion to adjourn until Wednesday, April 6, 2011 at 3:00 p.m. or until the call of the chair whichever occurs first. Mr. Keith so moved and Vice Chairman Rednour seconded that motion which passed unanimously by 8 voices in unison and no nays.

The meeting adjourned at 4:23 p.m.

DATED: April 4, 2011

Respectfully submitted,



Steve Sandvoss, General Counsel



Darlene Gervase, Administrative Assistant II

STATE BOARD OF ELECTIONS
1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Campaign Disclosure Division

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, Steve Sandvoss, General Counsel

Re: 10AP035 (Friends of Saviano - 7684) and 10AP061 (Citizens to Elect Judge Raymond Mitchell - 22125)

Date: April 6, 2011

Voluminous evidence was submitted regarding 10AP035 (Friends of Saviano - 7684) and 10AP061 (Citizens to Elect Judge Raymond Mitchell - 22125) that is not included in this packet. The evidence in its entirety is available for review upon request.

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

10 AP 061

Citizens to Elect Judge Raymond W. Mitchell 22125
Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquent Filing
A Schedule A-1 for the 2010 General Primary

The Committee received a contribution from Nancy Mitchell on 1/16/10 for \$5,000 and an in-kind contribution from Chicago Federation of Labor on 1/27/10 for \$1,443.99, but failed to list them on a Schedule A-1, resulting in a civil penalty of \$6,443.99.

Bridget Mitchell, the treasurer of the committee, appeared on 1/4/11 for the appeal hearing.

Bridget Mitchell indicated Citizens to Elect Judge Raymond W. Mitchell filed a Schedule A-1 on 1/17/10 reflecting a loan from Nancy L. Mitchell. The loan was received on 1/16/10 and was for the amount of \$5,000. When this particular transaction was electronically entered an endorser was included so that on the semi-annual report it appeared as if the committee gave themselves a \$5,000 loan. The committee amended the semi-annual report on 11/18/10 and removed the endorser for this transaction. The amended semi-annual report now reflects that Nancy L. Mitchell gave a loan on 1/16/10 for \$5,000 as the Schedule A-1 filed on 1/17/10 had reflected. As for the Chicago Federation of Labor in-kind contribution for \$1,443.99 the Chicago Federation of Labor erroneously gave the committee an in-kind notification of a 1/27/10 in-kind on 7/12/10. The committee had no knowledge of this in-kind but upon receiving the in-kind notification form they included the in-kind as being received on 1/27/10 the date listed on the in-kind notification. The committee filed a semi-annual report on 7/16/10 at 1:56 PM indicating the receipt of the in-kind as such. The committee was then made aware that the in-kind notification was sent in error and Bridget Mitchell amended the semi-annual report removing the in-kind contribution from the Chicago Federation of Labor on 7/16/10 at 2:30 PM.

I recommend the appeal be granted. The committee filed a Schedule A-1 for the Nancy L. Mitchell \$5,000 loan and the committee did not receive an in-kind contribution from the Chicago Federation of Labor for \$1,443.99 as per the Chicago Federations letter dated 7/14/10 that was

provided with the appeal affidavit. In addition, the committee filed amended June 2010 semi-annual reports on 7/16/10 and 11/18/10, reflecting this information. (As of 12/31/10, this committee reported a funds available balance of \$466.51.)

A handwritten signature in cursive script that reads "Andy Nauman". The signature is written in dark ink and is positioned above the printed name.

Andy Nauman – Hearing Officer
January 19, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

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EXECUTIVE DIRECTOR
Daniel W. White
November 9, 2010

Citizens to Elect Judge Raymond W Mitchell
James Thompson
35 W Wacker Dr, 40th fl
Chicago, IL 60601

22125

Dear Citizens to Elect Judge Raymond W Mitchell;

This committee has failed to file the following Schedule A-1's. Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Nancy Mitchell	1/16/10	5000.00	5000.00	*not filed	9
Nancy Mitchell <i>Strickland</i>	1/16/10	12000.00	12000.00	*not filed	9
Chicago Federation of Labor	1/27/10	1443.99	1443.99	*not filed	2

The committee is fined a **total** of \$18443.99 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1845.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.* Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)
)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Citizens to Elect Judge Raymond W. Mitchell)
Respondent(s))

Case No. 10AP0601

APPEAL AFFIDAVIT

I, Bridget A. Mitchell, the treasurer of the
(Name) (~~Chairman~~/Treasurer)

Citizens to Elect Judge Raymond W. Mitchell
(Name of the Committee)

Committee, first being duly sworn deposes and states that she represents that the committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

- 1) an A-1 was timely filed on 1/17/10 reflecting Nancy L. Mitchell's 1/16/10 loan of \$5,000.00. On 7/16/10, the Committee's D2s contained a completed Endorser field for the \$5,000.00 loan, a field the Committee was not required to complete. On 11/18/10 an Amended D-2 was filed leaving the Endorser field blank.
- 2) on 7/12/10 the Chicago Federation of Labor erroneously gave the Committee notice of a 1/27/10 in-kind contribution in the amount of \$1,443.99 and on 7/16/10 notified the Committee that the in-kind notification was sent in error. The Committee had no obligation to file an A-1 for an erroneous report of an in-kind contribution.

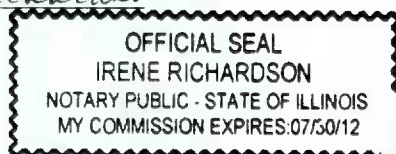
Signed and Sworn to by:

IRENE RICHARDSON

Before me this 23rd Day of
November, 2010

Irene Richardson
Notary Public

Bridget A. Mitchell
(Signature of ~~Chairman~~/Treasurer)



)
)
)

BEFORE THE STATE BOARD OF ELECTIONS
OF STATE OF ILLINOIS

$$\begin{array}{c}) \\) \\) \\) \\) \\) \\) \\) \end{array}$$

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)
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)

STATEMENT IN SUPPORT OF APPEAL AFFIDAVIT

1) On January 16, 2010 Nancy L. Mitchell made a loan in the amount of \$5,000.00 to the Citizens to Elect Judge Raymond W. Mitchell Committee. On January 17, 2010, an A-1 was timely filed with the Illinois State Board of Elections reflecting Nancy L. Mitchell's January 16, 2010 loan of \$5,000.00. (See Group Exhibit 1 attached) The Illinois State Board of Elections acknowledged this filing and e-mailed an Illinois Political Financial Disclosure Filing Acknowledgement of the January 17, 2010 filing. (See Group Exhibit 1 attached) On July 16, 2010 a D-2 and an amended D-2 were filed which reflected Nancy L. Mitchell's January 16, 2010 loan of \$5,000.00. (See Group Exhibits #3 and #5) With regard to the Receipt entry for the \$5,000.00 loan, the Committee mistakenly completed the Endorser field which was a field the Committee was not required to complete. (The Endorse field noted that the Committee endorsed the \$5,000.00 check from Nancy L. Mitchell.)

On November 18, 2010, the Committee treasurer, Bridget A. Mitchell, discussed the Board of Election's November 9, 2010 letter with Board of Election's employee,

Clinton Jenkins. At that time, Clinton Jenkins indicated that the D-2 report he was reviewing indicated that on January 16, 2010 the Committee had loaned itself \$5,000.00 rather than reflecting Nancy L. Mitchell's loan to the Committee. The Committee treasurer told Clinton Jenkins that with regard to the Receipt entry for Nancy L. Mitchell's \$5,000.00 loan, the Endorser field was completed on the D-2s. Mr. Jenkins stated that the Committee was not required to complete the Endorser field and should file an Amended D-2 deleting the Endorser information. At that time, on November 18, 2010 the Committee filed an Amended D-2 that reflected the \$5,000.00 loan made by Nancy L. Mitchell and deleted the previously completed Endorser field; properly leaving the Endorser field blank. (In addition, Clinton Jenkins said that the Committee no longer needed to address the Illinois State Board of Elections claim that the Committee failed to timely file a Schedule A-1 for Nancy L. Mitchell's January 16, 2010 \$12,000.00 loan.) The November 18, 2010 Amended D2 accurately reflects Nancy L. Mitchell's January 16, 2010 loan of \$5,000.00 that was timely reported on the January 17, 2010 Schedule A-1.

2) On July 12, 2010 Bridget A. Mitchell, the treasurer of the Committee, received notice from the Chicago Federation of Labor of an alleged in-kind January 27, 2010 contribution in the amount of \$1,443.99. This was the only notice received by the Committee of the alleged January 27, 2010 in-kind contribution made by the Chicago Federation of Labor. (See Group Exhibit 2 attached) On July 16, 2010 at 1:56:30 p.m. the Committee filed its D-2 Semi-Annual Report and reported the alleged January 27, 2010 in-kind contribution made by the Chicago Federation of Labor. This filing was acknowledged by the Illinois State Board of Elections when the Board e-mailed an Illinois Political Financial Disclosure Filing Acknowledgement on July 16, 2010. (See Group Exhibit 3 attached)

On July 16, 2010 at 2:15 p.m., Bridget A. Mitchell, the treasurer of Committee, received a fax transmittal from the Chicago Federation of Labor indicating that the in-kind contribution notification of the alleged January 27, 2010 in-kind contribution in the amount of \$1,443.99 was sent in error. (See Group Exhibit 4 attached) While the Chicago Federation of Labor fax transmittal was dated July 14, 2010, July 16, 2010 was the actual date the fax was transmitted and is noted at the top of the fax transmittal page. At that time, Bridget A. Mitchell phoned the Illinois State Board of Elections to determine how to correct the D-2 Semi-Annual Report filed earlier on July 16, 2010. A representative from the State Board of Elections indicated that an Amended D-2 Semi-Annual Report could be filed. On July 16, 2010 Bridget A. Mitchell filed an Amended D-2 Semi-Annual Report, deleting the erroneous information detailing the alleged January 27, 2010 in-kind contribution from the Chicago Federation of Labor, and accurately reflecting the Committee's Receipts.

CONCLUSION

Review of the exhibits attached to the Committee's Appeal Affidavit clearly demonstrate that the required Schedule A-1 was filed in a timely matter to accurately reflect the January 16, 2010 loan made by Nancy L. Mitchell in the amount of \$5,000.00. The November 18, 2010 Amended D-2 Semi-Annual Report accurately reflects the \$5,000.00 loan made by Nancy L. Mitchell on January 16, 2010.

The Committee had no obligation to file a Schedule A-1 reflecting the Chicago Federation of Labor's erroneous report of a January 27, 2010 in-kind contribution. The Committee's only notice of the alleged in-kind contribution was Chicago Federation of Labor's July correspondence. The Committee had no notice of the Chicago Federation of Labor's alleged January 27, 2010 in-kind contribution during the time period when the Committee was required to file Schedule A-1 Reports. On July 16, 2010 when the Chicago

Federation of Labor notified the Committee that the in-kind notification of January 27, 2010 in-kind contribution in the amount of \$1,443.99 had been sent in error; Bridget A. Mitchell, the treasurer of the Committee, acted immediately contacting the State Board of Elections and filing an Amended D-2 Semi-Annual Report deleting the erroneous receipt of a January 27, 2010 in-kind contribution made by the Chicago Federation of Labor.

The Committee respectfully requests that the Illinois State Board of Elections make a determination that the loan in the amount of \$5,000.00 made by Nancy L. Mitchell on January 16, 2010 was timely disclosed in a Schedule A-1 Report as required by the Illinois Campaign Disclosure Act. The Committee further requests that the Illinois State Board of Elections make a determination that the Committee had no obligation to file a Schedule A-1 Report of the Chicago Federation of Labor's erroneous report of a January 27, 2010 in-kind contribution in the amount of \$1,443.99. In addition, the Committee respectfully requests that the Illinois State Board of Elections reverse its finding that the Committee failed to file Schedule A-1 Reports as required by the Illinois Campaign Disclosure Act and reverse its decision that the Committee be fined a total of \$6,443 and make a finding of no civil penalty assessment.

Citizens to Elect Judge Raymond W. Mitchell

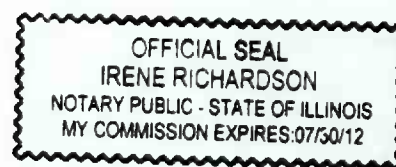
BY:

Bridget A. Mitchell
Bridget A. Mitchell, Treasurer

Subscribed & Sworn to before me

this November 23rd day of 2010.

Irene Richardson
NOTARY PUBLIC



IN THE MATTER OF ILLINOIS STATE BOARD OF ELECTIONS vs. CITIZENS TO
ELECT JUDGE RAYMOND W. MITCHELL COMMITTEE

EXHIBIT LIST

- Group Exhibit #1 Schedule A-1 filed on January 17, 2010 reflecting loans made by Nancy L. Mitchell on January 16, 2010 to the Citizens to Elect Judge Raymond W. Mitchell Committee in the amounts of \$5,000.00 and \$12,000.00 and an Illinois Political Financial Disclosure Filing Acknowledgment of the January 17, 2010 filing.
- Group Exhibit #2 In-Kind Contribution Notification from the Chicago Federation of Labor reflecting a January 27, 2010 in-kind contribution with a value of \$1,443.99 and a copy of the Chicago Federation of Labor envelope bearing a July 9, 2010 postmark.
- Group Exhibit #3 D-2 Semi-Annual Report filed on July 16, 2010 at 1:56:33 p.m. and the Illinois Political Financial Disclosure Filing Acknowledgement of the July 16, 2010 filing at 1:56:33 p.m.
- Group Exhibit #4 Two (2) page fax transmittal from the Chicago Federation of Labor dated July 14, 2010, transmitted on July 16, 2010 at 2:15 p.m. indicating that an in-kind contribution notification for January 27, 2010 contribution in the amount of \$1,443.99 was sent in error.
- Group Exhibit #5 Amended D-2 Semi-Annual Report filed on July 16, 2010 at 2:30:02 p.m.; amended to accurately reflect no in-kind contribution of \$1,443.99 from the Chicago Federation of Labor and an Illinois Political Financial Disclosure Filing Acknowledgement.
- Group Exhibit #6 Amended D-2 Semi-Annual Report filed on November 18, 2010, amended to delete the previously completed Endorser field in the Receipts Section noting Nancy L. Mitchell's January 16, 2010 loan of \$5,000.00 and the Illinois Political Financial Disclosure filing acknowledgement of the November 18, 2010 filing.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AG 005

IL Coin Machine Operators Association PAC ID 712

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 for the 2010 General Election

The Committee received a \$900 contribution on 10/8/10, and listed it on a Schedule A-1 received by the Board on 10/15/10, 2 days late. The total assessment is \$900.

Jason Rowell, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Rowell states the A-1 was filed by the Committee's bookkeeper, who was using the IDIS electronic filing software for the first time. Mr. Rowell says she thought both the Schedule A-1 and the Committee's Pre-Election Report had been successfully sent to the Board, because both reports showed filed on dates in the Committee's software. He says when the Committee received a reminder letter for the Pre-Election Report, it learned that neither the Pre-Election Report nor the A-1 had actually been uploaded to the Board, so both filings were then promptly uploaded on 10/15/10.

Under the old version of the Board's IDIS electronic filing software, the filer would add a "filed on" date to its report, but that report was not actually sent to the Board until the Committee completed the upload process. This could and did lead to situations like the one described by Mr. Rowell, where the Committee may have believed the report had been filed when in fact it had not. (This type of problem cannot happen under the new version of IDIS now in use.) Section 100.150(c)(5) of the Board's Rules and Regulations states, "*If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal.*" In order to be consistent with prior Board decisions when an electronic filing issue is presented as a defense and since this defense has not previously been offered by this Committee, I recommend the appeal be granted. (As of 12/31/10, this Committee reported a funds available balance of \$26,440.29.)



Tom Newman – Hearing Examiner
March 24, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

IL Coin Machine Operators Assoc. PAC
John W. Myers Jr.
3201 Old Jacksonville Rd.
Springfield, IL 62711

ID# 712

Dear IL Coin Machine Operators Assoc. PAC;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Best Gaming	10/8/2010	\$900	\$900	10/15/2010	2

The committee is fined a **total** of \$900.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$90.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.* Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)
)
County of _____)

STATE BOARD OF ELECTIONS

11 JAN 31 PM 12:02

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
ICMOA PAC)
Respondent(s).)

Case No. 10 AG 005

APPEAL AFFIDAVIT

I, Jason Rowell, the Chairman of the
(Name) (Chairman/Treasurer)
ICMOA PAC
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Schedule A-1 in question was filed by our bookkeeper who was using IDIS version 1 for the first time. She believed the Schedule A-1 had been filed on (10/08/10) the date she created the report since on the Reports Summary page in IDIS under the Filed On column the date 10/8/10 appeared next to the Schedule A-1 in question. She had also created the Pre-Election report on 10/7/10 and thought it had been filed on 10/7/10 for the same reason. It wasn't until she received a letter from the State Board of Elections on 10/15/10 (dated 10/11/10) that she learned that the Schedule A-1 had not been filed. When she received the letter she called the State Board of Elections at 217-782-4141 to report that the Pre-Election report had been filed on 10/7/10. It was at this time that she was informed that neither the Pre-Election Report nor the Schedule A-1 had been filed. It was explained to her that even though the report page provides a filed on date the reports are not actually filed until they are uploaded. The employee on the phone explained to her how to upload the file. She promptly uploaded both files on 10/15/10.

Signed and Sworn to by:

Jason Rowell
before me this 28th Day of
January, 2011
Sandra Jane Rowell
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AP 089

Citizens for Lou Lang ID# 1330

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
a Schedule A-1 for the 2010 General Primary Election

The Committee received a \$510 contribution on 1/11/10 and failed to list it on a Schedule A-1, resulting in a civil penalty assessment of \$510.

Robert Brooke, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Brooke states the amount of the contribution was misreported by the Committee on its Semi-Annual Report. He says the contribution was actually \$50, not \$510, and he includes a copy of the check to support his claim.

As shown by the copy of the check, this was a simple case of data entry error by the Committee. No Schedule A-1 was required for the contribution, so I recommend the appeal be granted. To date, the Committee has not corrected this error on its Semi-Annual Report, so I further recommend the Committee be required to file an amendment to correct the entry within 30 days of the Final Board Order.(As of 12/31/10, this Committee reported a funds available balance of \$651,597.14.)



Tom Newman – Hearing Officer
March 24, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Citizens for Lou Lang
Lou Lang
5123 Jerome St.
Skokie, IL 60077

ID# 1330

Dear Citizens for Lou Lang;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Faye Rosenbaum*	1/11/2010	\$510	\$510		13

The committee is fined a **total** of \$510.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$51.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)
County of COOK)

STATE BOARD OF ELECTIONS

11 JAN 27 AM 8:21

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 10AP089

Citizens for Lou Lang)
Respondent(s).)

APPEAL AFFIDAVIT

I, Robert Brooke, the Treasurer of the
(Name) (Chairman/Treasurer)

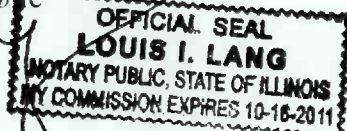
Citizens for Lou Lang
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

As you can see by the enclosed copy of
the check in question, the mistake was
a simple case of data entry error.
Check that was for \$50.00 was entered
in error as \$510.00

Signed and Sworn to by:
Robert Brooke
before me this 24 Day of
January, 2011

Notary Public



[Signature]
(Signature of Chairman/Treasurer)

FAYE ROSENBAUM
381 COVINGTON TERRACE
BUFFALO GROVE, IL 60089

9-01

1388

70-160/719
50016

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Order of

Date

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Dollars



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43W052 CHATEAUGAY
ELBURN, IL 60119

70-2390/719
5200160685

3904

Date

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Dollars



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FIFTY-THREE

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⑆071923909⑆

3904

LILLIE M. BEAMON 04-09
1219 BALMORAL AVE.
WESTCHESTER, IL 60154

04-09

157

70-8714/2719
01

Date

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Order of

\$ 225.00

Dollars



CENTRAL CREDIT UNION OF ILLINOIS
1001 MANNHEIM ROAD, BELLWOOD, ILLINOIS 60104

For

⑆271987143⑆

0.57

JOSEPH COSENTINO
ROSA ANNA COSENTINO
6725 W. ALBION
CHICAGO, IL 60631-1705

2-7446/719

5482

DATE

1/17/10

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STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

10 GE 009

Ogle County Republican Central Committee

ID #5091

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
The Pre-Election Report for the 2010 General Election

The Report was received by the Board on October 19, 2010, 1 day late, resulting in a civil penalty assessment of \$100.

Stacy Flanagan, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Flanagan states the Committee Treasurer attempted to file the report electronically, but had some difficulty getting it to upload successfully. She decided to try again later in the day, but when she did so it appeared the report had already been filed. When the Committee learned the report had not actually been uploaded successfully, they tried again and were able to submit the report to the Board.

Based on a phone conversation with Ms. Flanagan, it appears the confusion over whether the report had been filed was a result of the Treasurer seeing a "filed on" date for the report in the IDIS software. Under the old version of the Board's IDIS electronic filing software, the filer would add a "filed on" date to its report, but that report was not actually sent to the Board until the Committee completed the upload process. This could and did lead to situations like the one described here, where the Committee may have believed the report had been filed when in fact it had not. (This type of problem cannot happen under the new version of IDIS now in use.) Section 100.150(c)(5) of the Board's Rules and Regulations states, "*If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal.*" In order to be consistent with prior Board decisions when an electronic filing issue is presented as a defense and since this defense has not previously been offered by this Committee, I recommend the appeal be granted. (As of 12/31/10, this Committee reported a funds available balance of \$2,115.50.)



Tom Newman – Hearing Examiner

March 29, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 S. Spring Street
PO Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



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Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Ogle County Republican Central Committee
Kim Gouker
118 S Franklin, PO Box 926
Byron, IL 61010

ID# 5091

Dear Ogle County Republican Central Committee;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Pre-Election Report of Campaign Contributions
Report Period:	July 1, 2010 through October 3, 2010
Filing Period:	October 4, 2010 through October 18, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on October October 19, 2010, 1 day(s) late. As such, this committee has been assessed a fine of \$100.00.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be stayed. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days. Therefore, you need not pay this assessed civil penalty unless another violation occurs.

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217/782-1543.

Sincerely,

A handwritten signature in black ink that reads "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS:jr
Enclosure(s): appeal packet

State of Illinois)
County of Ogle)

STATE BOARD OF ELECTIONS

11 FEB -4 AM 11:08

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Ogle County Republican Central Committee)
Respondent(s).)

Case No. 10 GE 009

APPEAL AFFIDAVIT

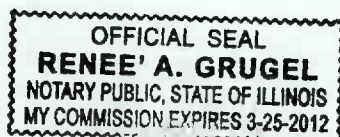
I, Stacy A. Flanagan, the Chairman of the
(Name) (Chairman/Treasurer)
Ogle County Republican Central Committee
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Treasurer Sent the report electronically and was having
problems sending it. Went back later on to try again, and
got a message that it already went through.

Signed and Sworn to by:
Stacy A. Flanagan
before me this 26th Day of
January, 2011
Renee A. Grugel
Notary Public

Stacy A. Flanagan
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

10 AG 007

Citizens for Viverito

6053

Respondent

REPORT OF HEARING EXAMINER

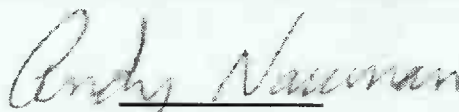
Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 for the 2010 General Election

This committee received one contribution for \$3,000 and one for \$2,000 on 10/6/2010, but failed to list them on a Schedule A-1, resulting in a civil penalty assessment of \$5,000.

Louis Viverito, the treasurer of the committee, filled out the appeal affidavit and Carolyn Viverito, his wife and the person who files the Committees reports, appeared at the appeal hearing on March 22, 2011.

Carolyn Viverito testified that she has filed the reports for Citizens for Viverito for the last 17 years and she has never filed a report late prior to this Schedule A-1. She indicated that she had downloaded the new electronic filing software, IDIS 2.0, and she had entered a few transactions in the new program before she attempted to file her first report, a Schedule A-1, on 10/8/10. On that night she could not figure out how to file the report and since she was trying to file the report after hours she could not reach anyone from the State Board of Elections for assistance. The next morning Ms. Viverito contacted staff and with their assistance filed a Schedule A-1 at 9:42 a.m.. Ms. Viverito feels really bad about the report being late and stated that she has always been very careful in this regard. Furthermore, she indicated that this a great program and much better than the old one but this is a new program and with the new program comes a learning curve. She requests this to be considered when the Board determines the outcome of these fines.

Carolyn Viverito installed the IDIS 2.0 program and entered a few transactions prior to the date she attempted to file a Schedule A-1. She was generally aware of how the program worked as for entering the contributions but she did not understand the process for actually sending the report to the State Board of Elections. She attempted to contact the State Board of Elections but since it was after hours she was unable to reach anyone. The next morning she contacted and worked with staff to get the report filed. For the forgoing reasons and since this is the first time the committee is using an electronic filing defense with the new or old program and considering they started filing electronically in 2000, I recommend that the appeal be granted. However, since the Committee is now aware of the steps required to file a report with IDIS 2.0., it is unlikely the Board would look favorably upon the use of this defense a second time. (As of 12/31/10, this committee reported a funds available balance of \$23,999.95)



Andy Nauman – Hearing Officer
March 24, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Citizens for Viverito
6215 W. 79th St., Suite 2A
Burbank, IL 60459-1178

ID# 6053

Dear Citizens for Viverito;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Comcast Financial Agency Corp.	10/6/2010	\$3000	\$3000	10/9/2010	1
Illinois Trial Lawyers Assn. PAC	10/6/2010	\$2000	\$2000	10/9/2010	1

The committee is fined a **total** of \$5000.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$500.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)
)
County of COOK)

STATE BOARD OF ELECTIONS

11 FEB 15 PM 12:01

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
CITIZENS FOR VIVERITO)
Respondent(s).)

Case No. 10 AG 007

APPEAL AFFIDAVIT

I, LOUIS S. VIVERITO, the TREASURER of the
(Name) (Chairman/Treasurer)
CITIZENS FOR VIVERITO
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Since the inception of my Committee (Citizens for Viverito) over 15 years ago, my wife, Carolyn Viverito, has handled all of the paper work and filing of my reports. There has not been a time that she has been late or filed any report incorrectly to my knowledge. She was learning this new system and attempted to file the A-1 on the evening it was due, but could not do so. She called the State Board of Elections the first thing the next morning, found out what her problem was and filed the report. It was exactly 9 hours, 42 minutes, 49 seconds late. She would like to appear before the Board to ask that the penalty be dropped and that it not be a mark against our Committee's record.

Signed and Sworn to by:
LOUIS S. VIVERITO

before me this 10th Day of
February, 2011

Dawn M. Roach
Notary Public

Louis S. Viverito
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

10 AP 091

Friends for Lauzen

7463

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 for the 2010 General Primary

This committee received one contribution exceeding \$500 within the A-1 filing period for the 2010 General Primary and failed to list it on a Schedule A-1, resulting in a civil penalty assessment of \$750.

Joe Lauzen, the treasurer of the committee, had a telephonic appeal hearing on March 22, 2011.

Joe Lauzen indicated that Friends for Lauzen accurately and properly reported a contribution from the Illinois Chamber of Commerce on 12/8/09 for \$750. Subsequently the Committee somehow inadvertently changed the contribution in the electronic filing software, Campaign Manager (Aristotle), to 1/1/10. The Committee then filed the June 2010 Semi-Annual Report not realizing the date had changed for the Illinois Chamber of Commerce contribution. The June 2010 Semi-Annual Report therefore reflected that the Committee received a contribution on 1/1/10 for \$750 from the Illinois Chamber of Commerce when they did not receive a contribution on this date from the Illinois Chamber of Commerce. The Committee has filed an Amended June 2010 Semi-Annual Report on 2/1/11 removing the assessed contribution because Friends for Lauzen only received one contribution from the Illinois Chamber of Commerce for \$750 and that was on 12/8/09.

I recommend that the appeal be granted. The committee inadvertently reported receiving two contributions when they testified that they were only in receipt of one contribution from the Illinois Chamber of Commerce. Furthermore, the committee amend the June 2010 Semi-Annual Report to reflect the committee did not receive a contribution on 1/1/10. (As of 12/31/10, this committee reported a funds available balance of \$4,873.92.)



Andy Nauman – Hearing Officer

March 24, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
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Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Friends for Lauzen
Chris Lauzen, Joe Lauzen
P.O. Box 7036
Aurora, IL 60507

ID# 7463

Dear Friends for Lauzen;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Illinois Chamber of Commerce*	1/1/2010	\$750	\$750		19

The committee is fined a **total** of \$750.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$75.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)
County of Kane)

STATE BOARD OF ELECTIONS
11 FEB 10 PM 12:09

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 10 AP 091

Friends for Lauzen)
Respondent(s).)

APPEAL AFFIDAVIT

I, Joe Lauzen, the Treasurer of the
(Name) (Chairman/Treasurer)

Friends for Lauzen
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached explanation & documentation

Signed and Sworn to by:

Joe Lauzen
before me this 7th Day of
February 2011
Frances M. Sheffer
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



February 7, 2011

Attachment to ISBE Appeal Affidavit

**Explanation of issue cited in
Illinois State Board of Election letter, January 20, 2011**

The noted contribution (Illinois Chamber of Commerce, check # 5219, \$750) was erroneously reported twice on Friends for Lauzen, D-2 Semi-annual Reports.

The contribution was properly deposited to the Committee's bank on December 8, 2009 and entered onto the Committee's database records on December 8, 2009 (documentation attached). This contribution was properly recorded on the Friends for Lauzen, D-2 Semi-annual Report, 7/1/2009 – 12/31/2009 (attached).

The contribution's deposit date was mistakenly changed on the Committee's database (changed to 1/1/2010) which prompted it to be reported again on Friends for Lauzen, D-2 Semi-annual Report, 1/1/2010 – 6/30/2010. This same deposit had already been reported on the prior D-2.

An amended D-2 Semi-annual Report, 1/1/2010 – 6/30/2010 has been submitted with the duplicate entry having been removed. The correction therefore eliminates the need for filing a Schedule A-1 Report on 1/1/2010 (noted violation).

Thank you for bringing this to the Committee's attention. It has been properly corrected. We respectfully request the issue to be waived and no penalty or violation citation be assessed against Friends for Lauzen.

DEPOSIT TICKET
FOR CLEAR COPY, PRESS FIRMLY WITH BALL POINT PEN
PRODUCT 100012

SIGNATURE BANK

Check Number

DATE 12-08-09

DOLLARS CENTS

CURRENCY

COIN

LIST EACH CHECK

1/2 Check 750 -

2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17

750 -

\$

FRIENDS FOR LAUZEN
P O BOX 7036
AURORA, IL 60507

[REDACTED]

2-2662-710

TOTAL ITEMS

PLEASE BE SURE ALL ITEMS ARE PROPERLY ENDORSED
DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL

750.-

PLEASE ENTER TOTAL

DEPOSITED WITH

SIGNATURE BANK

Member FDIC

THIS IS YOUR RECEIPT

WHEN MAKING A DEPOSIT AT A TELLERS WINDOW, ALWAYS OBTAIN AN OFFICIAL RECEIPT.
Checks and other items are received for deposit subject to the provisions of the Uniform Commercial Code or any applicable collection agreement.

Signature Bank

Drawer: 00104 12/10/09

Trans#: 0032 13:25:42

Credit to Account D *****8237

750.00

Current Balance \$22474.33

YOUR KIND OF BANK!

Happy Holidays
Thank you for your business

DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL. BANK SYMBOL, TRANSACTION NUMBER AND AMOUNT OF DEPOSIT ARE SHOWN ABOVE.

**THE ILLINOIS CHAMBER
POLITICAL ACTION COMMITTEE**

215 EAST ADAMS
SPRINGFIELD, IL 62701

Check Number: 5219

USBANK
70-275-812

Nov 19, 2009

Memo:

750.00

Seven Hundred Fifty and 00/100 Dollars

DATE

AMOUNT

PAY
TO THE
ORDER
OF

Citizens for Chris Lauzen
PO Box 7036
Aurora, IL 60507-7036

Todd C Maier

⑈005219⑈ ⑆081202759⑆

9670051656⑈

Friends for Lauzen

D-2 Semiannual Report

7/1/2009 to 12/31/2009

This report has 67 itemized Transfers In totaling \$46,850.00

Contributed By	Address	Amount	Description	Vendor Name	Vendor Address
Ford Motor Company Civic Action Fund	Mary Culler 3025 Highland Parkway Suite 500 Downers Grove, IL 60515	\$250.00 7/24/2009	Transfer In Friends for Lauzen		
Fox Valley Orthopaedics PAC	Mary O'Brien 2525 Kaneville Road Geneva, IL 60134	\$1,000.00 7/8/2009	Transfer In Friends for Lauzen		
Harrah's	Jan Jones One Caesars Palace Drive Las Vegas, NV 89109	\$300.00 8/25/2009	Transfer In Friends for Lauzen		
Home Builders Association of Illinois	Bill Ward & Chris Davis 112 W Edwards St Springfield, IL 62704	\$250.00 7/8/2009	Transfer In Friends for Lauzen		
Humana	Letitia Dewith- Anderson 600 S 2nd St Ste. 104 Springfield, IL 62704	\$1,000.00 7/8/2009	Transfer In Check number is 0010212418 Friends for Lauzen		
IIA PAC	Phil Lackman 4360 Wabash Ave Springfield, IL 62711	\$500.00 7/8/2009	Transfer In Friends for Lauzen		

See next page →

IL Community Currency Exchange PAC	Anthony Fornelli 2003 W Grand Ave Chicago, IL 60612	\$500.00 7/8/2009	Transfer In Friends for Lauzen
IL League of Financial Institutions	Jay R Stevenson 133 S 4th St #206 Springfield, IL 62701	\$250.00 8/11/2009	Transfer In Friends for Lauzen
IL Mechanical & Specialty Contrs Assn	Louis Giordano 925 S Spring St Springfield, IL 62704	\$250.00 7/8/2009	Transfer In Friends for Lauzen
IL Petroleum Marketer's Association	Bill Fleischli PO Box 12020 Springfield, IL 62791	\$500.00 8/25/2009	Transfer In Friends for Lauzen
Illinois Automobile Dealers Assoc.	Car of Illinois Joe McMahon & Peter Sander Springfield, IL 62708	\$1,000.00 8/25/2009	Transfer In Friends for Lauzen
Illinois Bankers Association PAC	Joyce Nardulli & Gary McC 524 South Second Street Suite 600 Springfield, IL 62701	\$500.00 9/22/2009	Transfer In Friends for Lauzen
Illinois Chamber of Commerce	Todd Maisch 215 E Adams St Springfield, IL 62701	\$750.00 7/24/2009	Transfer In Friends for Lauzen
Illinois Chamber of Commerce	Todd Maisch 215 E Adams St Springfield, IL 62701	\$750.00 12/8/2009	Transfer In Friends for Lauzen
Illinois CPAs for Political Action	Martin Green 524 S 2nd Street Suite 504 Springfield, IL 62701	\$500.00 11/16/2009	Transfer In Friends for Lauzen



FORM D-2	REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (CHECK APPROPRIATE BOXES)(PLEASE TYPE OR PRINT IN BLACK INK)	FOR OFFICE USE ONLY
	<input type="checkbox"/> Pre-Election Report - Election Date _____ <input checked="" type="checkbox"/> Semi-Annual Report <input type="checkbox"/> Non-Participation - Election Date _____ <input type="checkbox"/> FINAL REPORT <input checked="" type="checkbox"/> Amendment of Report indicated above	

Full name and complete mailing address of Political Committee

Friends for Lauzen
PO Box 7036
Aurora IL 60507-7036

POLITICAL COMMITTEE

IDENTIFICATION NO.

☐ CHECK IF ADDRESS CHANGE

REPORTING PERIOD 01/01/2010 06/30/2010 FROM THRU	CASH AVAILABLE AT THE BEGINNING OF THE REPORTING PERIOD \$ 47170.42	STATE POLITICAL COMMITTEES RETURN TO: STATE BOARD OF ELECTIONS P.O. BOX 4187 SPRINGFIELD, IL 62708	LOCAL POLITICAL COMMITTEES AND STATE AND LOCAL POLITICAL COMMITTEES RETURN ORIGINAL TO: STATE BOARD OF ELECTIONS AND A COPY TO EACH APPROPRIATE COUNTY CLERK
SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.			

COMPLETE 1-7 FOR PRE-ELECTION REPORTS

COMPLETE ALL SECTIONS FOR SEMI-ANNUAL AND FINAL REPORTS

SECTION A — RECEIPTS

- Individual Contributions:
 - Itemized (from Schedule A) . . \$ 4200.00 (1a)
 - Not-Itemized \$ 2915.00 (1b)
- Transfers In:
 - Itemized (from Schedule A) . . \$ 33900.00 (2a)
 - Not-Itemized \$ 310.20 (2b)
- Loans Received:
 - Itemized (from Schedule A) . . \$ 0.00 (3a)
 - Not-Itemized \$ 0.00 (3b)
- Other Receipts:
 - Itemized (from Schedule A) . . \$ 493.86 (4a)
 - Not-Itemized \$ 189.80 (4b)

TOTAL RECEIPTS (1-4) \$ 42008.86

- In-kind Contributions:
 - Itemized (from Schedule I) . . \$ 8086.09 (5a)
 - Not-Itemized \$ 61.95 (5b)

TOTAL IN-KIND \$ 8148.04

SECTION B — EXPENDITURES

- Transfers Out:
 - Itemized (from Schedule B) . . \$ 12175.00 (6a)
 - Not-Itemized \$ 862.18 (6b)
- Loans Made:
 - Itemized (from Schedule B) . . \$ 0.00 (7a)
 - Not-Itemized \$ 0.00 (7b)
- Expenditures
 - Itemized (from Schedule B) . . \$ 68680.06 (8a)
 - Not-Itemized \$ 3508.10 (8b)

TOTAL EXPENDITURES (6-8) . . \$ 85225.34

SECTION C — DEBTS AND OBLIGATIONS

(Include previously reported unpaid debts)

- Itemized (from Schedule C) . . \$ 34850.00 (9a)
 - Not-Itemized \$ 0.00 (9b)

TOTAL DEBTS & OBLIGATIONS \$ 34850.00

SECTION D — CASH BALANCED

- Funds available at the beginning of the reporting period \$ 47170.42 (A)
- Total Receipts (Section A) \$ 42008.86 (B)
- Subtotal \$ 89179.28 (C)
- Total Expenditures (Section B) . . \$ 85225.34 (D)
- Funds available at the close of the reporting period \$ 3953.94 (E)
- *****
- Investment Total \$ 0.00 (F)

VERIFICATION

I DECLARE THAT THIS REPORT OF CAMPAIGN CONTRIBUTIONS OR THIS SEMI-ANNUAL REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (INCLUDING ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE REPORT IS A BUSINESS OFFENSE SUBJECT TO A FINE OF UP TO \$5000.

SIGNATURE OF TREASURER OR CANDIDATE
(THIS FORM MAY BE REPRODUCED)

DATE

Friends for Lauzen

D-2 Semiannual Report

1/1/2010 to 6/30/2010

Amended

This report has 29 itemized Transfers In totaling \$33,900.00

Contributed By	Address	Amount	Description	Vendor Name	Vendor Address
Ameren Illinois PAC	Michael Sullivan Randy Mitchelson Springfield, IL 62701	\$1,000.00 6/21/2010	Transfer In Friends for Lauzen		
Associated Beer Distributors of IL PAC	William Olson 100 W Cook St Springfield, IL 62705	\$5,000.00 1/19/2010	Transfer In Friends for Lauzen		
AT&T Illinois Employee PAC	Robert Barry 225 West Randolph 27B Chicago, IL 60606	\$3,000.00 1/21/2010	Transfer In Friends for Lauzen		
Attorneys' Title Guaranty Fund Inc.	Peter Birnbaum One South Wacker Drive 24th Floor Chicago, IL 60606	\$500.00 6/12/2010	Transfer In Friends for Lauzen		
Caterpillar Employees PAC	Rob Carney 600 S Second Street Ste 101 Springfield, IL 62704	\$1,000.00 1/19/2010	Transfer In Friends for Lauzen		
ComEd PAC	John Hooker & Keisha Watson 1 Financial Place Chicago, IL 60605	\$600.00 6/28/2010	Transfer In Friends for Lauzen		
Credit Union PAC	Donald Edwards & Keith Sias PO Box 3107 Naperville, IL 60566	\$1,000.00 1/19/2010	Transfer In Friends for Lauzen		
Dent IL PAC	Dave Marsh P.O. Box 5120 Springfield, IL 62705	\$500.00 6/3/2010	Transfer In Friends for Lauzen		
Distilled Spirits Council of US	c/o Dale Szyndrowski 1250 Eye St NW Ste 400	\$250.00 6/21/2010	Transfer In		

See next page

	Washington, DC 20005		Friends for Lauzen
			Transfer In
Eagle Forum State PAC	c/o Phyllis Schlafly PO Box 618 Alton, IL 62002	\$2,000.00 1/10/2010	Friends for Lauzen
			Transfer In
Fox Valley Orthopaedics PAC	Mary O'Brien 2525 Kaneville Road Geneva, IL 60134	\$500.00 6/28/2010	Friends for Lauzen
			Transfer In
Health Care Council of Illinois	Patricia Comstock 1029 South Fourth Street Springfield, IL 62703	\$1,500.00 1/10/2010	Friends for Lauzen
			Transfer In
IIA PAC	Phil Lackman 4360 Wabash Ave Springfield, IL 62711	\$500.00 6/28/2010	Friends for Lauzen
			Transfer In
IL League of Financial Institutions	Jay R Stevenson 133 S 4th St #206 Springfield, IL 62701	\$250.00 6/12/2010	Friends for Lauzen
			Transfer In
Illinois Bankers Association PAC	Joyce Nardulli & Gary McCants 524 South Second Street Suite 600 Springfield, IL 62701	\$500.00 6/28/2010	Friends for Lauzen
			Transfer In
Illinois CPAs for Political Action	Martin Green 524 S 2nd Street Suite 504 Springfield, IL 62701	\$300.00 1/25/2010	Friends for Lauzen
			Transfer In
Illinois Food Distribution PAC	Brian Jordan 1919 S Highland Ave Suite 265-D Lombard, IL 60148	\$1,000.00 1/19/2010	Friends for Lauzen
			Transfer In
Illinois Optometric Association	Michael G. Horstman 304 W Washington St Springfield, IL 62701	\$500.00 6/12/2010	Friends for Lauzen
			Transfer In
Illinois Realtors' PAC	Greg St. Aubin Director IAR 522 South Fifth Street Springfield, IL 62701	\$1,500.00 1/10/2010	Friends for Lauzen

11/12/2010 \$750
 Illinois Chamber
 of Commerce -
 Removed

Illinois Retail Merchants Association	Dave Vite & Rob Karr		Transfer In
	19 S La Salle St	\$1,000.00	
	Suite 300	6/21/2010	Friends for Lauzen
Illinois State Medical Society PAC	Chicago, IL 60603		
	Jim Tierney Alison		Transfer In
	Burnett Erin O	\$1,000.00	
Illinois Vendors PAC	20 N Michigan Ave	6/28/2010	Friends for Lauzen
	Ste 700		
	Chicago, IL 60602		
McDonald's IL Owners & Operators PAC	Brian Allen		Transfer In
	PO Box 1874	\$250.00	
	Arlington Heights, IL	6/12/2010	Friends for Lauzen
Pro-Life Victory PAC	60006		
	Ed Conklin		Transfer In
	4320 Winfield Road	\$500.00	
Republican Renaissance PAC	Ste 400	5/28/2010	Friends for Lauzen
	Warrenville, IL		
	60555		
Pro-Life Victory PAC	c/o Sue Serdar		Transfer In
	6902 Aspen Court	\$500.00	
	Spring Grove, IL	6/12/2010	Friends for Lauzen
Republican Renaissance PAC	60081		
	c/o Jack Roeser		Transfer In
	8 E Main Street	\$5,000.00	
Republican Renaissance PAC	Carpentersville, IL	6/3/2010	Friends for Lauzen
	60110		

[[First](#) [Previous](#) **Next** [Last](#)]

Records 1 to 25 of 29

[Return to Previous Page](#)

PUBWEB3

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 DS 035

Downers Grove Township Republican Ticket ID# 8939

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment
For Delinquently Filing the 2010 December Semi-Annual Report

The Report was received by the Board on January 26, 2011, 4 days late, resulting in a civil penalty assessment of \$100.

Theresa A. Cockrell, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Cockrell states that she became the Treasurer of the Committee in late December 2010, after the previous Treasurer was hospitalized with cancer. She says she did not get the Committee's financial records and bank statements until mid-January, at which time she realized several prior Committee reports would have to be amended to complete the 7/1/10 – 12/31/10 report and have it be correct. Ms. Cockrell says she then had difficulty learning the IDIS electronic filing system, and found out only the day before the Report was due that she would need additional software to run the program on her Macintosh computer. As a result, it took her extra time to complete and upload the Report.

Section 100.150(c)(5) of the Board's Rules and Regulations states, "*If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal.*" In order to be consistent with prior Board decisions when an electronic filing issue is presented as a defense and since this defense has not previously been offered by this Committee, I recommend the appeal be granted. (As of 12/31/10, this Committee reported a funds available balance of \$3,388.55.)



Tom Newman – Hearing Examiner
March 30, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert L. Walters

Downers Grove Township Republican Ticket
29 N Linden Ave
Westmont, IL 60559-1707

ID# 8939

Dear Downers Grove Township Republican Ticket;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 26, 2011, 4 day(s) late. As such, this committee has been assessed a fine of \$100.00.

Enclosed please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal forms

State of Illinois)
County of DU PAGE)

STATE BOARD OF ELECTIONS

11 MAR 21 AM 8:53

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs. DOWNERS GROVE TOWNSHIP)
REPUBLICAN TICKET)
Respondent(s).)

Case No. 11 OS 035

APPEAL AFFIDAVIT

I, THERESA A. COCKRELL, the TREASURER of the
(Name) (Chairman/Treasurer)
DOWNERS GROVE TOWNSHIP REPUBLICAN TICKET
(Name of the Committee)

Committee, first being duly sworn deposes and states that he she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I became the Treasurer of the Downers Grove Township Republican Ticket at the end of December 2010 because the former Treasurer, Mr. Swanston, had cancer and was hospitalized. He held bank statements, prior reports and documents and delivered them to me in mid January. Once I got all of the information and began putting the report together, I realized several prior reports had to be amended before I could complete the 7/1/2010 - 12/31/2010 report and have it correct.

The reports were further delayed due to my lack of understanding the electronic reporting and all that it incorporated. I attempted to download the IDIS software on Friday and found out that it is not compatible with a Macintosh computer. In order to file electronically (which was the only method of reporting, as I understood it) I had to purchase a program (Parallels) to download the IDIS software. I didn't know this until the day before the report had to be filed. After getting Parallels installed, the Board of Election IT personnel had to assist me for several hours on and off to get this software running. Once done, Clinton Jenkins in the Chicago office had to walk me through how to use it. It was difficult.

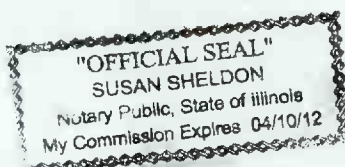
I apologize for the lateness of the reports.

Signed and Sworn to by:

Susan Sheldon
before me this 15 Day of
March, 2011

Theresa A. Cockrell
(Signature of Chairman/Treasurer)

Notary Public



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AG 009

Citizens for Leuchtefeld ID# 11445

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing a Schedule A-1 for the 2010 General Election

The Committee received a \$15,623.90 in-kind contribution on 10/19/10 and listed it on a Schedule A-1 received by the Board on 10/23/10, 2 days late. Additionally, the Committee had previously been assessed a \$3000 civil penalty (not appealed, paid) for failure to file 3 Schedule A-1s for the 2010 General Primary Election. The total assessment is \$15,623.90.

Roger Stricker, the Treasurer of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit.

On the Affidavit and during the hearing, held via telephone on 3/14/11, Mr. Stricker stated the Committee received the in-kind contribution on 10/22/10, but erroneously entered the date on the Schedule A-1 as the date listed on the in-kind contribution notification form, 10/19/10. He included a copy of an e-mail from the contributor, dated 10/22/10 informing the Committee of the in-kind contribution and containing the notification form showing the 10/19 date of the contribution. Mr. Stricker adds that the Committee has amended its Semi-Annual Report to show the correct contribution date.

Based on Mr. Stricker's testimony and the documentation provided, it appears clear that the Committee received notice of the in-kind contribution on 10/22/10 and inadvertently entered the wrong date on the Schedule A-1. I recommend the appeal be granted. (As of 12/31/10, this Committee reported a funds available balance of \$57,802.54.)



Tom Newman – Hearing Officer
March 15, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan Schneider, Chairman
Wanda Rednour, Vice Chairman
Patrick Brady
John Keith
William McGuffage
Albert Porter
Jesse Smart
Robert Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Citizens for Luechtefeld
PO Box 171, 700 E North St
Okawville, IL 62271

ID # 11445

Dear Committee;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Illinois Republican Party	10/19/2010	\$15,623.90	\$15,623.90	10/23/2010	2

The committee is fined a **total** of \$15623.90.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$7812.00, (50% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)
County of Washington)

STATE BOARD OF ELECTIONS

11 FEB - 1 AM 10:43

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

vs.

Citizens for Luechtefeld

Respondent(s).

No. 10 AG 009

APPEAL AFFIDAVIT

I, Roger Stricker, the Treasurer of the
(Name) (Chairman/Treasurer)

Citizens for Luechtefeld

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

On 10/22/10 we received an In-kind Contribution Notification from the Illinois Republican Party informing us of an in-kind contribution paid on 10/19/10. The Schedule A-1 was filed and accepted the next day, 10/23/10 with a filing ID of IL-127529. However, the date entered on the A-1 was erroneously entered as 10/19/10 (date the bill was paid) instead of the date we received the notice, 10/22/10. An amended semi-annual report has been filed to correctly reflect the date the notice of the in-kind was received.

We are enclosing copies of the In-kind Contribution Notification from the Illinois Republican Party and an email from their political director, Steve Ettinger, to Travis Akin of our campaign committee dated 10/22/10 to as proof of the date of receipt.

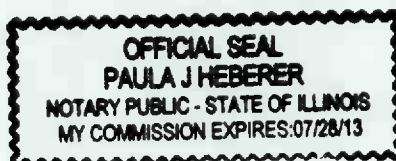
No deception or fraud was intended and the reports were filed in a timely manner. We ask that you please reconsider the assessment of a civil penalty in this matter.

Subscribed and Sworn to Before me
This 31 Day Of January, 20 11

Paula J. Heberer
NOTARY PUBLIC

Roger Stricker

(Signature of Chairman/Treasurer)



Hi, Roger Sign Out All-New Mail Help

Make Y! My Homepage

Mail My Y! Yahoo!

Search

Search Web

TurboTax
Federal Free Edition**It's FREE!**

Start Now

Mail Contacts Calendar Notepad

What's New? Mobile Mail Options

Check Mail

New ▾

Mail Search

 Netflix
Try for Free![Previous](#) | [Next](#) | [Back to Messages](#)[Mark as Unread](#) | [Print](#)

Delete Reply ▾ Forward Spam Move... ▾

Fwd: Luechtefeld IRP In Kind

Thursday, January 27, 2011 8:40 AM

From: "Tarhis2@aol.com" <Tarhis2@aol.com>

To: rstriker88@yahoo.com
1 File (20KB)

Luechtefe...

No virus threat detected File: Luechtefeld.pdf [Download File](#)**Norton**
AntiVirus

Folders

Inbox (40)

Drafts

Sent

Spam (130) [Empty]

Trash [Empty]

My Photos

My Attachments

Chat & Mobile Text

[Show]

I am Available

Settings

My Folders [Add - Edit]

Bach Stradivar... (12)

Banking

Building On Ex... (10)

Citizens for L... (2)

Jen, Scott, Ka...

Marilyn (7)

McAfee

Photography (4)

Roger (42)

Steph, Sam (1)

Sue, Truman

Here's the email from Steve Ettinger which was sent on 10/22 (see header below)

From: settinger@ilgop.org
To: akin.travis@gmail.com
CC: rcudney@ilsenategop.com, tarhis2@aol.com
Sent: 10/22/2010 3:01:26 P.M. Central Standard Time
Subj: Luechtefeld IRP In Kind

Please find the attached in kind contribution notification.

Thanks,
Steve**Steve Ettinger**
Political Director
Illinois Republican Party
Office: 217-525-0011
Cell: 217-494-4085

This message w/ attachments may be privileged, confidential, or proprietary, and if you are not an intended recipient, please notify the sender, do not use or share it.

Delete Reply ▾ Forward Spam Move... ▾

[Previous](#) | [Next](#) | [Back to Messages](#)

Select Message Encoding

Full Headers

Check Mail

New ▾

Mail Search

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IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, and its value exceeded \$500, occupation & employer must be provided.

To: Citizens for Luechtefeld
P.O. Box 171
700 E. North St.
Okawville, IL 62271

From: Illinois Republican Party
205 W. Randolph St.
Suite 1245
Chicago, IL 60606

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable)	Date	Value
Targeted Creative Communications, Inc 106 S. Columbus St. Alexandria, VA 22314	10/19/2010	\$15,623.90
	Description	
	Mail Production & Postage	

The political committee must report these donations on the Form D-2, Report of Campaign Contributions and Expenditures, Part 5.



Signature of Contributor

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AG 012

Citizens to Elect LaShawn K Ford ID# 14021

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing a Schedule A-1 for the 2010 General Election

The Committee received a \$1000 contribution on 10/5/10 and listed it on a Schedule A-1 received by the Board on 10/8/10, 1 day late. Additionally, the Committee had previously been assessed a \$1000 civil penalty (not appealed, paid) for delinquently filing a Schedule A-1 for the 2010 General Primary Election, a \$1200 civil penalty (appealed, appeal denied, paid) for delinquently filing the December 2009 Semi-Annual Report, and a \$2000 civil penalty (not appealed, paid) for delinquently filing two Schedule A-1s for the 2008 General Primary Election. The total assessment is \$1000.

LaShawn K Ford, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Ford states the wrong date was placed on the Schedule A-1 filed by the Committee. Instead of the date the contribution was actually received, he says the date on the check was used. Mr. Ford included a copy of the check dated 10/5/10, the envelope it was received in with a postmark of 10/6/10 and a bank slip showing the receipt deposited on 10/8/10.

The evidence supports Mr. Ford's claim that the Committee did not receive the contribution until 10/6/10 at the earliest, meaning the A-1 was timely filed. I recommend the appeal be granted. (As of 12/31/10, this Committee reported a funds available balance of \$8,952.75.)



Tom Newman – Hearing Officer
March 24, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan Schneider, Chairman
Wanda Rednour, Vice Chairman
Patrick Brady
John Keith
William McGuffage
Albert Porter
Jesse Smart
Robert Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Citizens to Elect LaShawn Ford
LaShawn K Ford
912 S. Mayfield
Chicago, IL 60644

14021

Dear Citizens to Elect LaShawn Ford;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Illinois Trial Lawyers Association PAC	10/5/2010	\$1000	\$1000	10/8/2010	1

The committee is fined a **total** of \$1000.00 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$750.00, (75% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment notice for it to be considered.

CHICAGO

11 FEB -1 PM 2:04

STATE BOARD OF ELECTIONS

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
vs.)
Citizens To Elect La Shawn K. Ford)
Respondent(s).)

No. 10 AG 012

APPEAL AFFIDAVIT

I, La Shawn K. Ford, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens To Elect La Shawn K. Ford
(Name of the Committee)

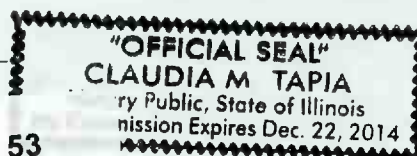
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The wrong date was placed on the A - 1 for the date received. The date (10/5/10) was mistakenly placed on the report instead of the date received. The attachments will show that the date on the check was 10/5/10; the date the check was mailed from Springfield (as shown on the envelope) was 10/6/10, so there's no way we received it on the 5th. The date received was 10/8/10 & that should have been placed on the report.

Subscribed and Sworn to Before me
This 31st Day Of January, 20 11

[Signature]
NOTARY PUBLIC

[Signature]
(Signature of Chairman/Treasurer)





**Illinois Trial Lawyers Association -
Political Action Committee**

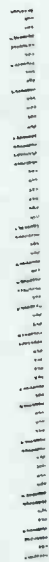
401 WEST EDWARDS STREET
SPRINGFIELD, ILLINOIS 62704

ADDRESS SERVICE REQUESTED

1450 U.S. POSTAGE PB 2237334
3093 800-440 OCT 06 10
1957



*late mailed from
Springfield*



5055182901 0050

SCHEDULE A-1

REPORT OF CAMPAIGN CONTRIBUTIONS
OF
\$1000 or more

FILED

10/8/2010 4:15:37 PM
FOR OFFICE USE ONLY
IDENTIFICATION NO.

0

Citizens to Elect La Shawn K. Ford
5104 W Chicago 2nd fl
Chicago, IL 60651

ITEMIZED RECEIPTS	RECEIPT TYPE	DATE RECEIVED	AMOUNT OF EACH RECEIPT
FULL NAME, MAILING ADDRESS, AND ZIP CODE	Individual Contribution		
ILLINOIS TRIAL LAWYERS ASSOCIATION PAC 401 W. EDWARDS ST SPRINGFIELD, IL 62704		* 10/5/2010	\$1,000.00

Name and address of person submitting this report if other
than the committee's candidate or treasurer:

* date S/B 10/8/2010

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 DS 060

Meijer PAC of Illinois

ID# 15453

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment
For Delinquently Filing the 2010 December Semi-Annual Report

The Report was received by the Board on January 21, 2011, 1 day late, resulting in a civil penalty assessment of \$50.

Stacie Behler, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Behler states that due to an internal technical issue, the Committee inadvertently filed an amendment to the 2009 December Semi-Annual Report instead of the report for the current filing period. She says once the error was discovered, the Committee filed the correct report.

The Committee did file an amended report on January 17, 2011. It appears the Committee intended to file its report in a timely fashion but accidentally filed the wrong report, possibly as a result of unfamiliarity with the electronic filing software. The error was also corrected promptly – just one day late. Section 100.150 of the Board's Rules and Regulations allows committees to raise a defense based on computer-related issues. Since this Committee has done so, and has not previously offered such a defense, to be consistent with prior Board rulings I recommend the appeal be granted. (As of 12/31/10, this Committee reported a funds available balance of \$32,451.88.)



Tom Newman – Hearing Examiner
March 30, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert L. Walters

Meijer PAC of Illinois
Stacie Behler, Michael Julien
2929 Walker Ave NW
Grand Rapids, MI, IL 49544-9424

ID# 15453

Dear Meijer PAC of Illinois;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 21, 2011, 1 day(s) late. As such, this committee has been assessed a fine of \$50.00.

Enclosed please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal forms

State of ~~XXXX~~ Michigan)

County of Kent)

STATE BOARD OF ELECTIONS

11 MAR 17 AM 10:09

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Meijer PAC of Illinios)

Respondent(s).)

Case No. 11 DS 060

APPEAL AFFIDAVIT

I, Stacie Behler, the Chairman of the
(Name) (Chairman/Treasurer)

Meijer PAC of Illinios

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Meijer PAC of Illinois uses an outsource vendor, Comerica Bank, for preparing and filing reports on behalf of the Meijer PAC of Illinios. Due to an internal technical issue, when the electronic file for the 2010 Annual Report was created the data appended to the prevuios filing. This error was discovered upon notification from the ISBOE. A new file was promptly submitted on January 21, 2011. Please note, no previous filings have been delinquent, and the error has been corrected and all future filings will be submitted correctly and within the time set forth by the ISBOE.

Signed and Sworn to by:

Heather A. Rolland

before me this 15th Day of
March 2011

Heather A. Rolland
Notary Public


(Signature of Chairman/Treasurer)

Notary Public State of Michigan
Acting in the County of Kent
My Commission Expires 3-14-2014

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AG 014

Friends for Verschoore ID# 17579

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing a Schedule A-1 for the 2010 General Election

The Committee received an \$1,150.18 in-kind contribution on 10/18/10 and a \$3,903.12 in-kind contribution on 10/25/10, and listed both on a Schedule A-1 received by the Board on 10/28/10, 6 and 1 days late respectively. The total assessment is \$5,053.30.

Marie Haase, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Haase indicates the contribution dates listed on the Schedule A-1 were used in error. She includes copies of a letter and email from the contributors, showing the notification for the first in-kind contribution was received by the Committee on 10/27/10 and the second notification received on 10/26/10. Ms Haase therefore contends both contributions were reported in a timely fashion.

The evidence provided clearly shows the Committee received the in-kind contribution notifications on 10/26 and 10/27. This makes the A-1 report for the contributions timely. I recommend the appeal be granted. (As of 12/31/10, this Committee reported a funds available balance of \$243,125.03.)



Tom Newman – Hearing Officer
March 23, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Friends for Verschoore
Marie Haase
2218 N Harrison St.
Davenport, IA 52803

ID# 17579

Dear Friends for Verschoore;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Activator	10/18/2010	\$1150.18	\$1150.18	10/28/2010	6
Democratic Party of Illinois	10/25/2010	\$3903.12	\$3903.12	10/28/2010	1

The committee is fined a **total** of \$5053.30.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$506.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.* Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)
County of Rock Island)

STATE BOARD OF ELECTIONS

11 FEB -7 PM 2:46

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
FRIENDS for VERSCHOORE)
Respondent(s).)

Case No. 10 AG 014

APPEAL AFFIDAVIT

I, MARIE HAASE, the TREASURER of the
(Name) (Chairman/Treasurer)

FRIENDS for VERSCHOORE
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The dates actually listed on the A-1
of 10/28/2010 were in error.
Please find the attached verification that the
in-kind receipt date was within the time
frame to make the A-1 report timely.

Signed and Sworn to by:

Marie Haase

before me this 27 Day of

January, 2011

Sheila Rizzolo

Notary Public

(Signature of Marie Haase)
(Signature of Chairman/Treasurer)





Illinois Agricultural Association Political Involvement Fund

October 26, 2010

Friends for Verschoore
4600 46th Ave
Rock Island, IL 61201-7143

Dear Representative Verschoore,

Please be aware that the following payment has been made by the Illinois Farm Bureau ACTIVATOR Political Involvement Fund, a multi-candidate political action committee, for **"in-kind" services** contributed on behalf of your campaign. This will be reported as such in ACTIVATOR's next campaign disclosure report.

Payment made to: Direct Impressions, 15 Main Street, Champaign, IL 61820

Purpose of disbursement: Campaign letters (printing and mailing services)

Date: 10/25/2010

Amount: \$1,150.18

If you have any questions, please call me at (309) 557-2308.

Sincerely,

Kevin S. Semlow
Director of State Legislation

cc: Megan Wright, Finance Division

Received 10/27/2010

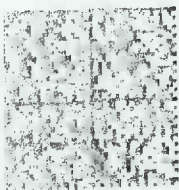
1701 N. Towanda Avenue, Bloomington, Illinois 61701 Phone: 309-557-3554

ACTIVATOR

Illinois Agricultural Association
Political Involvement Fund
1701 Towanda Avenue
Bloomington, Illinois 61701

Friends for Verschoore
4600 46th Ave
Rock Island, IL 61201-7143

61201-7143 C023



Master

016126510002
\$00.44
40-26/2010
Applied From 61701
US POSTAGE

Marie Haase

From: Stanfill, Sarah [SStanfill@ildems.com]
Sent: Tuesday, October 26, 2010 11:13 PM
To: mhaase@lu25.org
Subject: URGENT: DPI Verschoore In-Kind 10/26 (postage)
Attachments: 102610 Verschoore Postage.doc

Marie:

Attached is an in-kind for postage.

Thanks!

NOTICE: Please file a corresponding A-I with the IL State Board of Elections within 2 business days.

Sarah Stanfill, Operations Manager
Democratic Party of Illinois
P.O. Box 518
Springfield, IL 62705
217.546.7404 (Phone)
217.546.8847 (Fax)
618.771.0332 (Cell)
sstanfill@ildems.com
www.ildems.com

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, and its value exceeded \$500, occupation & employer must be provided.

TO:
Friends for Verschoore
4600 46th Ave.
Rock Island, IL 61201-7143

FROM:
Democratic Party of Illinois
P.O. Box 518
Springfield, IL 62705

Occupation & Employer (if applicable)

In-Kind Contribution(s)

Vendor	Date/number	Value	Description
US Postmaster 2105 East Cook Springfield, IL 62703	10/25/10	\$3,903.12	Postage – 9

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.

Democratic Party of Illinois
Signature of Contributor

Revised November 16, 2009

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

TO:
Friends for Verschoore
4600 46th Ave.
Rock Island, IL 61201-7143

FROM:
Democratic Party of Illinois
P.O. Box 518
Springfield, IL 62705

Occupation & Employer (if applicable)

In-Kind Contribution(s)

Vendor	Date/number	Value	Description
US Postmaster 2105 East Cook Springfield, IL 62703	10/25/10	\$3,903.12	Postage – 9

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.

Democratic Party of Illinois

Signature of Contributor

Revised November 16, 2009

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 GE 019

Friends for Lee Ann Goodson

ID# 18169

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
The Pre-Election Report for the 2010 General Election

The Report was received by the Board on October 19, 2010, 1 day late, resulting in a civil penalty assessment of \$100.

Lee Ann Goodson, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Goodson states the Pre-Election Report was actually filed electronically by the Committee on the due date, October 18. She says an amended report was filed the following day, but for some reason that is the only filing that showed up on the Board's website. She includes a copy of the faxed receipt letter she received as part of the electronic filing, showing the Report received and accepted on the 18th.

A review of the filing records indicates the Committee did in fact submit a report on the evening of October 18. However, although it appeared to the Committee the filing had been successful, the report actually failed due to what is called a "posting error". In this case, the Committee apparently submitted a Semi-Annual Report with the reporting dates of the Pre-Election Report. (As a result of upgrades to the Board's electronic filing software, this type of error will not happen in the future.) Since it is apparent the Committee made a good faith effort to file what it believed to be the required report and since it had reason to believe that filing was successful and timely, I recommend the appeal be granted. (As of 12/31/10, this Committee reported a funds available balance of \$2558.13.)



Tom Newman – Hearing Officer
March 11, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 S. Spring Street
PO Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Friends for Lee Ann Goodson
LeeAnn Goodson
24820 W Easy St
Plainfield, IL 60586-5954

ID# 18169

Dear Friends for Lee Ann Goodson;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Pre-Election Report of Campaign Contributions
Report Period:	July 1, 2010 through October 3, 2010
Filing Period:	October 4, 2010 through October 18, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on October October 19, 2010, 1 day(s) late. As such, this committee has been assessed a fine of \$100.00.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be stayed. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days. Therefore, you need not pay this assessed civil penalty unless another violation occurs.

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217/782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward", is written over a horizontal line.

Sharon Steward
Director, Campaign Disclosure Division

SS:jr
Enclosure(s): appeal packet

State of Illinois)
County of Will)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

CHICAGO
11 FEB 15 PM 3:53
STATE BOARD OF ELECTIONS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
vs.)
Friends for Lee Ann Goodson)
Respondent(s).)

No. 10GE019

APPEAL AFFIDAVIT

I, Lee Ann Goodson, the Chairman/Treasurer of the
(Name) (Chairman/Treasurer)
Friends for Lee Ann Goodson
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The October 2010 Pre-Election Report was in fact filed in a timely fashion on October 18, 2010 at 7:19:28 pm (See Attached receipt from ISBE). I filed an amended Pre-Election Report on October 19, 2010 at 12:53:56 pm. There must be a system error because the Board of Elections website does not reflect the filing that happened on October 18 and only shows the October 19 report that the the ISBE letter prescribes as being filed late.

Subscribed and Sworn to Before me
This 10th Day Of February, 2011

Donna Stanley
NOTARY PUBLIC

Lee A Goodson
(Signature of Chairman/Treasurer)

ILLINOIS STATE BOARD OF ELECTIONS

FAX COVER SHEET

DATE: Monday, October 18, 2010 7:19:28 PM

TO: Friends for Lee Ann Goodson

FAX #: 8155771401

FROM: Disclosure Fax Back

FAX #: 217-782-5959

PHONE #: 217-782-4141

Number of pages, including cover sheet: 03

COMMENTS:

1/25 9:45am
SEE does not show this
coming thru. Spoke to Jerry.

DISCLOSE -- Illinois Political Financial Disclosure Filing Acknowledgement

This is to acknowledge the receipt and acceptance of your electronic filing via the DISCLOSE protocol.

Your filing was received and accepted by our system at Mon Oct 18 19:19:27 2010, and was assigned the Filing ID of: IL-126747

Please make a note of this, as it will be necessary to refer to this information in the future.

Thank you for using DISCLOSE.

For your reference, the output of the validation check was as follows:

Illinois PDP File Validator Ver: IL-1.00.17

Developed by NIC Technologies, Inc. 1996-2001

=====
Committee ID: FID5745
Committee Name: Friends For Lee Ann Goodson
Filing Type: D-2
From/Through: 20100701 - 20101003

Cover/Summary Page Totals for Form: D-2

Line No.	Dollar Total
=====	=====
1A	0.00
1B	0.00
2A	0.00
2B	0.00
3A	0.00
3B	0.00
4A	0.00
4B	0.00
TOT1-4	0.00
5A	0.00
5B	0.00
TOT5	0.00
6A	500.00
6B	0.00
7A	0.00
7B	0.00
8A	345.07
8B	25.00
TOT6-8	870.07
9A	2,000.00
9B	0.00
TOT9	2,000.00
A	18,478.16
B	0.00
C	18,478.16

D 870.07
E 17,608.09
F 0.00

>>>----> PDP data file PASSED validation! <----<<<



ILLINOIS STATE BOARD OF ELECTIONS

FAX COVER SHEET

DATE: Tuesday, October 19, 2010 12:53:56 PM

TO: Friends for Lee Ann Goodson

FAX #: 8155771401

FROM: Disclosure Fax Back

FAX #: 217-782-5959

PHONE #: 217-782-4141

Number of pages, including cover sheet: 02

COMMENTS:

DISCLOSE -- Illinois Political Financial Disclosure Filing Acknowledgement

This is to acknowledge the receipt and acceptance of your electronic filing via the DISCLOSE protocol.

Your filing was received and accepted by our system at Tue Oct 19 12:53:55 2010, and was assigned the Filing ID of: IL-127016

Please make a note of this, as it will be necessary to refer to this information in the future.

Thank you for using DISCLOSE.

For your reference, the output of the validation check was as follows:

Illinois PDP File Validator Ver: IL-1.00.17

Developed by NIC Technologies, Inc. 1996-2001

=====

Committee ID: FID5745
Committee Name: Friends For Lee Ann Goodson
Filing Type: D-2
From/Through: 20100701 - 20101003

Cover/Summary Page Totals for Form: D-2

Line No.	Dollar Total
=====	=====
1A	0.00
1B	0.00
2A	0.00
2B	0.00
3A	0.00
3B	0.00
4A	0.00
4B	0.00
TOT1-4	0.00
5A	0.00
5B	0.00
TOT5	0.00
6A	500.00
6B	0.00
7A	0.00
7B	0.00

.>>----> PDP data file PASSED validation! <----<<<

Steward, Sharon

From: IDISPostApp@sbe.local
Sent: Monday, October 18, 2010 7:20 PM
To: DiscloseNotify; List - IT
Subject: Posting Failed

10/18/2010 7:20:18 PM: Posting FAILED for Friends for Lee Ann Goodson :: SA / 126747

Error Source: Report Information

Error Message: Report period end date of 10/3/2010 is invalid. Report period for semiannual report must end on 6/30 or 12/31.

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

10 AG 016

Citizens for Fred Crespo

18583

Respondent

REPORT OF HEARING EXAMINER

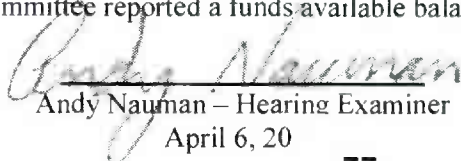
**Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 for the 2010 General Election**

This committee received one contribution from Jon Stryker for \$5,000 on 10/13/2010 and listed it on a Schedule A-1 filed on 10/13/10. In addition, this Committee had previously been assessed a \$650 civil penalty (not appealed, paid) for delinquently filing the June 2005 Semi-Annual Report; a \$50 civil penalty (appealed, appeal denied, paid) for delinquently filing the December 2005 Semi-Annual Report; a \$1,000 civil penalty (not appealed, paid) for delinquently filing the 2006 GP Pre-Election Report; a \$125 civil penalty (not appealed, penalty reduced, paid) for failing to file a Schedule A-1 for the 2006 GE; a \$854 civil penalty (not appealed, penalty reduced, paid) for failing to file a Schedule a-1 for the 2008 GE; a \$1,000 civil penalty (not appealed, penalty reduced, paid) for failing to file a Schedule A-1 for the 2008 GE. The total assessment is \$5,000.

The Respondent did not appear for the March 22, 2011 appeal hearing and I have not talked to nor have I heard from them regarding this appeal. I am basing my recommendation on the information the committee has previously submitted to the State Board of Elections.

The candidate of the Committee, Fred Crespo, submitted a letter of correspondence to the State Board of Elections on 10/13/10 that was dated 10/12/10. This letter explains that the Committee filed a Schedule A-1 on 10/8/10 with a donation from Gill Action and this contribution should have been reported as coming from Jon Stryker. Additionally, it indicated that the Semi-Annual report would show the correct contributor.

The Citizens for Fred Crespo has been assessed for an A-1 contribution in relation to Jon Stryker. The Committee filed a Schedule A-1 on 10/8/10 and I believe they listed the wrong contributor on that Schedule A-1. The Committee then sent in a letter of correspondence to show that the actual contributor was Jon Stryker rather than Gill Action because a Schedule A-1 cannot be amended. I also believe the letter of correspondence was suppose to have with it a Schedule A-1 showing that Jon Stryker gave this contribution and this Schedule A-1 was logged in as a filing rather than part of the letter of correspondence. The two documents were faxed together but I believe they were logged in separately because the State Board of Elections also received a separate Schedule A-1 from the Committee on that same day. Therefore, I believe the assessment of a fine for the \$5,000 Jon Stryker contribution on 10/13/10 was in error and the committee should not be assessed for this fine. I recommend the appeal be granted. (As of 12/31/10, this committee reported a funds available balance of \$34,943.84.)


Andy Nauman – Hearing Examiner
April 6, 20

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan Schneider, Chairman
Wanda Rednour, Vice Chairman
Patrick Brady
John Keith
William McGuffage
Albert Porter
Jesse Smart
Robert Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Citizens for Fred Crespo
George Korbakes
940 S. Frontage Rd., Suite 1600
Woodridge, IL 60517-4971

18583

Dear Citizens for Fred Crespo;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Jon Stryker	10/6/2010	\$5000	\$5000	10/13/2010	2

The committee is fined a **total** of \$5000.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$3750.00, (75% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.* Notice of Appeal must be filed within 30 days of the date of this assessment notice for it to be considered.

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

11 FEB 23 AM 11:40

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens for Fred Crespo)
Respondent(s).)

Case No. 10 AB 016

APPEAL AFFIDAVIT

I, George Korbakes, the treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Fred Crespo
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

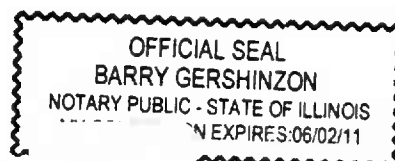
The assessment was improperly imposed & the
committee will offer evidence that all reporting
& disclosure requirements were met.

Signed and Sworn to by:

[Signature]
before me this 16th Day of
February, 2011

[Signature]
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 DS 091

Committee to Elect Bill Berry
Cmte ID: 19193

Respondent

REPORT OF HEARING OFFICER


Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2010 Semi-Annual Report

The Report was received January 21, 2011, 1 day late, resulting in an assessment of \$75. The Committee was previously fined \$75 (resolved through expiration) for delinquently filing the December 2006 Semi-Annual Report; \$25 (payment by Committee) for delinquently filing the June 2009 Semi-Annual Report; and \$100 (payment by Committee) for delinquently filing the June 2010 Semi-Annual Report.

William Berry, the Candidate and Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Berry stated he had difficulty using the new electronic filing software (IDIS Version 2.0) to file the Report. He was finally able to upload the Report the morning of January 21, 2011. In a follow-up phone conversation, Mr. Berry said he had tried four times to upload the Report on the deadline date. He said he did not call Board staff to seek assistance, as he had done in the past, in part because he didn't want to trouble the staff.

While Mr. Berry certainly should have contacted the Board upon encountering filing difficulties on the deadline date, I recommend the appeal be granted. Section 100.150 of the Rules accompanying the Act states, in part, "If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal." This is the first time the Committee has used this defense in an appeal. I advised Mr. Berry to promptly call the Board should he encounter similar difficulties in filing in the future. As of December 31, 2010, the Committee's cash balance was reported as \$46.62.



John Levin – Hearing Officer
March 28, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2010

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Committee to Elect Bill Berry
Nancy Berry, William Berry
305 Ridgemont
Collinsville, IL 62234

ID# 19193

Dear Committee to Elect Bill Berry;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 21, 2011, 1 day(s) late. As such, this committee has been assessed a fine of \$75.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.***

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division r

SS: jr
Enclosure(s) appeal documents

State of Illinois)
)
County of Madison)

STATE BOARD OF ELECTIONS

11 MAR 16 AM 7:07

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Committee to Elect Bill Berry)
Respondent(s).)

Case No. 11 DS 091

APPEAL AFFIDAVIT

I, Willia L. Berry, the Chairman of the
(Name) (Chairman/Treasurer)

Committee to Elect Bill Berry

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

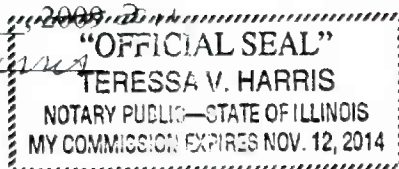
Since the transition to the new software system, the Committee has had difficulty in filing the requisite forms. Frequently multiple efforts are required before

accepted by the Committee. In this case, I was not successful in filing until the morning of the day following the deadline. I previously paid a fine because of a similar difficulty however continued problems in electronic filing have occurred since institution of the new software.

Sig d and Sworn to by:

before me this 14th Day of

March
Teresa Harris
Notary Public



(Signature of Chairman/Treasurer)

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AG 057

State Council of IL Sheet Metal Workers PAC ID# 19987

Respondent

REPORT OF HEARING OFFICER

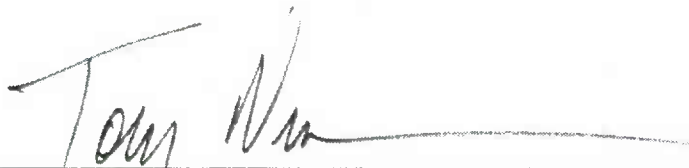
Appeal of Civil Penalty Assessment
For Failure to File a Schedule A-1 for the 2010 General Election

The Committee received a \$7500 contribution on 10/28/10 but failed to report it on a Schedule A-1. The total assessment is \$7500.

Paul Hayes, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Hayes states an error was made in reporting the contribution on the Committee's Semi-Annual Report. Mr. Hayes says the contribution should have been non-itemized, because it represents a \$0.30 contribution per member per month received from the affiliated local unions in the State Council of Illinois.

Dues contributions such as this may be lumped together and should be reported as non-itemized contributions. Therefore, no Schedule A-1 was actually required for the contribution. I recommend the appeal be granted. I further recommend that the Committee amend its Semi-Annual Report within 30 days of the Final Board Order to correct the reporting of this and other similar contributions. (As of 12/31/10, this Committee reported a funds available balance of \$10,857.20.)



Tom Newman – Hearing Officer
March 31, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2011

State Council of Illinois Sheet Metal Works (PAC)
R Brad Glidden
3316 Publishers Dr
Rockford, IL 61109

ID# 19987

Dear State Council of Illinois Sheet Metal Works (PAC);

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
IL State Council SMW	10/28/2010	\$7,500	\$7,500	*	

The committee is fined a **total** of \$7500 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$750, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)
)
County of Sangamon)

STATE BOARD OF ELECTIONS

11 MAR 22 AM 8:56

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
State Council of Illinois)
Sheet Metal Workers (PAC))
Respondent(s).)

Case No. 10 AG 057

APPEAL AFFIDAVIT

I, Paul Hayes, the Treasurer of the
(Name) (Chairman/Treasurer)

State Council of Illinois Sheet Metal Workers (PAC)
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I made an error when I filed the report for the reporting
period of 7/1/2010 thru 12/31/2010. The \$7,500 receipt received on
10/28/2010 should not have been recorded as an itemized individual
contribution. The \$7,500 receipt represents a non-itemized
contribution at a rate \$0.30 per member per month received from
the affiliated local unions in the State Council of Illinois.

Signed and Sworn to by:

Paul E. Hayes
before me this 21st Day of
March, 2011

Paul E. Hayes
(Signature of Chairman/Treasurer)

[Signature]
Notary Public



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

10 AP 060

Patrick for Illinois 22116

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 for the 2010 General Primary

The Committee received three in-kind contributions from AFSCME; one on 1/22/10 for \$6,975 and two on 1/30/10 for \$187 and \$11,159, but failed to list them on a Schedule A-1, resulting in a civil penalty assessment of \$18,321.

Anjana Hansen, the treasurer of the committee, appeared on 1/4/11 for the appeal hearing.

At the beginning of the appeal hearing Ms. Hansen requested that on the appeal affidavit in number 8 all language listed in the last sentence of number 8 be stricken after the date of February 2, 2010. In good faith the last part of the sentence was listed in error.

On the appeal affidavit and in the hearing Anjana Hansen stated that the committee made three clerical errors on the semi-annual report filed in July of 2010. Ms. Hansen inadvertently entered an in-kind contribution of \$6,975 twice in the semi-annual report when only one in-kind contribution was received from AFSCME for that amount. This semi-annual report listed receipt dates of 1/22/10 and 1/26/10 in which the correct date was 1/26/10. The committee timely filed a Schedule A-1 on 1/28/10 reflecting this contribution being received on 1/26/10 and has subsequently amended the June 2010 semi-annual report to reflect only one \$6,975 in-kind contribution being received. As for the two in-kind contributions that were reported as being received on 1/30/10 on the June 2010 semi-annual report that was submitted in July of 2010, Ms. Hansen again inadvertently listed an incorrect date of receipt. The committee tendered and labeled Exhibit B and C the two in-kind notification forms they received from AFSCME on 1/30/10. As per Section 100.120 (c) at the time of these in-kind contributions, an in-kind contribution of goods or services was to be deemed to be received 2 days after the committee receives the certificate of notification, making the actual receipt date 2/2/10, which falls outside the A-1 period. The committee has amended the June 2010 semi-annual report showing the actual receipt date of 2/2/10 for the two AFSCME in-kind contributions of \$187 and \$11,159. Ms. Hansen went on further stating these clerical errors were not done willfully and the committee has amended the semi-annual report. This was a first time candidate who lost and started moving towards closing the committee prior to receiving notice of these A-1 violations. The committee believes that they should be given due process and no penalties should be assessed. In the Guide to Campaign Disclosure it states "Committees who file a report late will be sent a Citing Letter approximately a week after the filing deadline, notifying them of the delinquent filing. That letter is followed about a month later by an Assessment Letter, which includes the exact fine(s) owed by the committee, how the fines are calculated, and forms for appealing the penalty." The

committee did not receive a citing letter approximately a week after the June semi-annual report was filed, so proper notice was not given. Otherwise the committee would have never spent down the funds.

I recommend the appeal be granted. The Committee timely filed a Schedule A-1 contribution for a \$6,975 in-kind contribution on 1/28/10 and under oath Anjana Hansen indicated that they only received one \$6,975 in-kind contribution from AFSCME. In regards to the other two AFSCME in-kind contributions Ms. Hansen under oath stated that the committee received in the mail the in-kind notifications and the accompanying letters on 1/30/10. I believe this is conceivable with the letter being dated 1/27/10 and it being mailed. However, it has come to my attention that at the time of these filings 5/9-10 (b-5) indicated that in-kind contributions were to be deemed to be received 2 business days after the receipt of an in-kind notification and Section 100.120 (c) indicated that in-kind contributions were to be deemed to be received 2 days after the receipt of an in-kind notification. Therefore, I believe the Act would contain the appropriate language of 2 business days and the actual receipt date in this matter would be 2/2/10 which falls outside the A-1 period. The Committee has amended the June 2010 Semi-Annual Report showing the receipt date of 2/2/10 for the two AFSCME in-kind contributions of \$187 and \$11,159. As for the committee not having due process in regards to the committee not being notified approximately within a week of the late filing, I recommend this not be considered as a valid defense. (The committee has filed a final report and as per this report it appears the committee would have had approximately \$614.90 when they were notified of the assessment of the A-1 violations.)



Andy Nauman – Hearing Officer

March 31, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Daniel W. White
November 9, 2010

Patrick for Illinois
711 Washington St, Apt 1
Evanston, IL 60202

22116

Dear Patrick for Illinois;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
AFSCME	1/30/10	187.00	187.00	*not filed	1
AFSCME	1/30/10	11159.00	11159.00	*not filed	1
AFSCME	1/22/10	6975.00	6975.00	*not filed	5

The committee is fined a **total** of \$18321.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1833.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:
ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs

Patrick for Illinois
Respondent(s)

Case No. 10 AP 060

STATE BOARD OF ELECTIONS
10 DEC -9 AM 7:56

APPEAL AFFIDAVIT

I, Angela Hanson, the Treasurer of the
(Name) (Chairman/Treasurer)
Patrick for Illinois
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see attachment

Signed and Sworn to by:
John Arice
before me this 8th Day of December 2008
John Arice
Notary Public

Angela Hanson
(Signature of Chairman/Treasurer)



APPEAL AFFIDAVIT

1. By Notice dated November 9, 2010 (the "Notice"), the Board of Elections assessed a fine of \$18321.00 against Patrick For Illinois (the "Committee") for allegedly failing to file a Schedule A-1 Report of Contribution within 2 business days of receipt for three in-kind contributions received by the Committee from AFSCME. For the reasons set forth below, the Committee respectfully submits that it did not breach any obligation to file a Schedule A-1 Report of Contribution for any of the three in-kind contributions.
2. The first in-kind contribution that the Board cited in imposing the fine was an in-kind contribution in the amount of \$6,975.00 purportedly received on January 22, 2010. The D-2 filed by the Committee on July 11, 2010 for the period from January 1, 2010-June 30, 2010 mistakenly listed two separate in-kind contributions from AFSCME in the amount of \$6,975.00, one received on January 22, 2010 and another received on January 26, 2010. In fact, however, the Committee did not receive an in-kind contribution from AFSCME in the amount of \$6,975.00 on January 22, 2010. Rather, as reflected in the Amended D-2 for the period from January 1, 2010-June 30, 2010 filed by the Committee on November 15, 2010, the Committee received only one in-kind contribution from AFSCME in the amount of \$6,975.00. The Committee received notice of that in-kind contribution on January 26, 2010, and the Committee timely filed an A-1 reporting that contribution on January 28, 2010.
3. The second and third contributions that the Board cited in imposing the fine were in-kind contributions in the amounts of \$187.00 and \$11,159.00, both purportedly received on January 30, 2010. The D-2 filed by the Committee on July 11, 2010 for the period from January 1, 2010-June 30, 2010 mistakenly did report that these two in-kind contributions were received on January 30, 2010, but that was a clerical error on my part. Rather, as reflected in the Amended D-2 for the period from January 1, 2010-June 30, 2010 filed by the Committee on November 15, 2010, the Committee merely received notice of both contributions on January 30, 2010. Accordingly, my understanding was and is that both in-kind contributions are deemed received two business days later, i.e., on February 2, 2010. Moreover, because they were deemed received on February 2, 2010, i.e., the date of the primary election, the Committee was not required to file a Schedule A-1 Report of Contribution for either the in-kind contribution in the amount of \$187.00 or the in-kind contribution in the amount of \$11,159.00.
4. I explained these errors in the D-2 as initially filed on July 11, 2010 in my letter to the Board dated November 15, 2010, a copy of which is attached, and as noted above, on November 15, 2010, I also filed an amended D-2 on behalf of the Committee for the period from January 1, 2010-June 30, 2010.

5. The clerical errors described above in the D-1 as filed on July 11, 2010 for the period from January 1, 2010-June 30, 2010 were made when I was sifting through mounds of paperwork and entering all the revenues and expenditures into rDIS in July in an effort to file the D-2 in a timely manner. It was never my or the Committee's intention to conceal any contributions or otherwise not to comply with campaign disclosure requirements. I believe that the Committee in fact has complied with all disclosure requirements and filed all A-1s required by law in a timely fashion.
6. I received a copy of the Board's November 9, 2010 Notice on Friday, November 12, 2010. As noted above, upon receiving the Board's Notice, I acted promptly on November 15, 2010 both to contact the Board and to file an amended D-2 for the period from January 1, 2010-June 30, 2010 to correct the errors described above.
7. The Committee has not previously been found in violation of any campaign disclosure requirements and has not previously been assessed any civil penalties.
8. We are in the process of winding up the affairs of the Committee so that the Committee can be terminated. Because the Committee did not receive the Notice of the alleged violations until several months after July 11, 2010, when the Committee filed the D-2 for the period from January 1, 2010-June 30, 2010, the Committee has already disposed of almost all of the funds it possessed following the primary election on February 2, 2010, and at this point, the Committee has less than \$200.00 on hand.

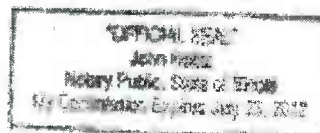
For all of the reasons set forth above, the Committee respectfully submits that it has complied with the Campaign Disclosure Act and that no fine or civil penalty should be assessed against the Committee. The Committee respectfully requests that the Board grant the Committee's appeal and vacate the November 9, 2010 Notice.

Signed and Sworn to by:

John Heitz
Before me this 11th day of
December, 2010

[Signature]
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, and its value exceeded \$500, occupation & employer must be provided.

To: Patrick Keenan-Devlin for Illinois
 1630 Orrington Avenue
 Evanston, IL 60201

From: AFSCME
 1625 L Street, NW
 Washington, DC 20036

Occupation & Employer (if applicable)

In-Kind Contribution

REV

Full Name, Mailing Address and Zip Code of Vendor (if applicable)	Date	Value
AFSCME 1625 L Street, NW Washington, DC 20036	1/27/10	\$187.00
	Description Inkind phonebank calls on January 27, 2010	

The political committee must report these donations on the Form D-2, Report of Campaign Contributions and Expenditures, Part 5.


 Signature of Contributor



Gerald W. McEntee
President

William Lucy
Secretary-Treasurer

Vice Presidents

Ken Allen
Portland, OR

Henry L. Bayer
Chicago, IL

George Boncoraglio
New York, NY

Anthony Caso
Boston, MA

Greg Devereux
Olympia, WA

Danny Donohue
Albany, NY

David R. Fillman
Harrisburg, PA

Michael Fox
Harrisburg, PA

Albert Garrett
Detroit, MI

Raglan George Jr.
New York, NY

Sherryl Gordon
Trenton, NJ

Lakesha Harrison
Oakland, CA

Danny J. Homan
Des Moines, IA

Salvatore Luciano
New Britain, CT

John A. Lyall
Worthington, OH

Roberta Lynch
Chicago, IL

Glenard S. Middleton Sr.
Baltimore, MD

Veronica Montgomery-Costa
New York, NY

Doug Moore
San Diego, CA

Michael D. Murphy
Madison, WI

Henry Nicholas
Philadelphia, PA

Eddie L. Parks
Westerville, OH

Randy Perreira
Honolulu, HI

George E. Popyack
Oakland, CA

Greg Powell
Austin, TX

Laura Reyes
San Diego, CA

Eddie Rodriguez
New York, NY

Lawrence A. Roehrig
Lansing, MI

Joseph P. Rugola
Columbus, OH

Kathy J. Sackman
Pomona, CA

Eliot Seide
South St. Paul, MN

Mary E. Sullivan
Albany, NY

Braulio Torres
San Juan, PR

David Warrick
Indianapolis, IN

Jeanette D. Wynn
Tallahassee, FL

January 27, 2010

Patrick Keenan-Devlin for Illinois
1630 Orrington Avenue
Evanston, IL 60201

Dear Mr. Keenan-Devlin:

On behalf of the American Federation of State, County and Municipal Employees, we are pleased to inform you that an in-kind contribution of \$187 was provided to Patrick Keenan-Devlin for Illinois. The in-kind contribution was in the form of persuasion phonebank calls to voters in HD 18. These calls were made on February 27th, 2010.

This contribution is made with the understanding that it is not in violation of any current state laws on political contributions. This money comes from AFSCME's membership dues, and as such, it cannot be used in ways that are inconsistent with the Federal Election Campaign Act of 1971, as amended.

If you have any questions pertaining to our in-kind contribution, please contact the Political Action Department at (202) 429-1088.

We extend AFSCME's best wishes to you.

Sincerely,



WILLIAM LUCY
International Secretary-Treasurer



GERALD W. McENTEE
International President

GWMcE/WL:ch

cc: Henry Bayer, International Vice President and Executive Director, Council 31
Roberta Lynch, International Vice President
Stephan Fantauzzo, Regional Director
Jim Admire, Area Field Services Director

American Federation of State, County and Municipal Employees, AFL-CIO

TEL (202) 429-1000 FAX (202) 429-1293 TDD (202) 659-0

afscme.org 1625 L Street, NW, Washington, DC 20036-5687

EXHIBIT C

Rec'd 1/30/10

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, and its value exceeded \$500, occupation & employer must be provided.

To: Patrick Keenan-Devlin for Illinois
1630 Orrington Avenue
Evanston, IL 60201

From: AFSCME
1625 L Street, NW
Washington, DC 20036

Occupation & Employer (if applicable)

In-Kind Contribution

RE✓

Full Name, Mailing Address and Zip Code of Vendor (if applicable)	Date	Value
AFSCME 1625 L Street, NW Washington, DC 20036	1/27/10	\$11,159.00
	Description Cost of assigning four staff members to campaign for the period 1/27 to 2/3/10.	

The political committee must report these donations on the Form D-2, Report of Campaign Contributions and Expenditures, Part 5.


Signature of Contributor



Gerald W. McEntee
President

William Lucy
Secretary-Treasurer

Vice Presidents

Ken Allen
Portland, OR

Henry L. Bayer
Chicago, IL

George Boncoraglio
New York, NY

Anthony Caso
Boston, MA

Greg Devereux
Olympia, WA

Danny Donohue
Albany, NY

David R. Fillman
Harrisburg, PA

Michael Fox
Harrisburg, PA

Albert Garrett
Detroit, MI

Raglan George Jr.
New York, NY

Sherryl Gordon
Trenton, NJ

Lakesha Harrison
Oakland, CA

Danny J. Homan
Des Moines, IA

Salvatore Luciano
New Britain, CT

John A. Lyall
Worthington, OH

Roberta Lynch
Chicago, IL

Glenard S. Middleton Sr.
Baltimore, MD

Veronica Montgomery-Costa
New York, NY

Doug Moore
San Diego, CA

Michael D. Murphy
Madison, WI

Henry Nicholas
Philadelphia, PA

Eddie L. Parks
Westerville, OH

Randy Perreira
Honolulu, HI

George E. Poppyack
Oakland, CA

Greg Powell
Austin, TX

Laura Reyes
San Diego, CA

Eddie Rodriguez
New York, NY

Lawrence A. Roehrig
Lansing, MI

Joseph P. Rugola
Columbus, OH

Kathy J. Sackman
Pomona, CA

Elliot Seide
South St. Paul, MN

Mary E. Sullivan
Albany, NY

Braufio Torres
San Juan, PR

David Wamick
Indianapolis, IN

Jeanette D. Wynn
Tallahassee, FL

January 27, 2010

Patrick Keenan-Devlin for Illinois
1630 Orrington Avenue
Evanston, IL 60201

Dear Mr. Keenan-Devlin:

On behalf of the American Federation of State, County and Municipal Employees, we are pleased to inform you that an in-kind contribution of \$11,159 is being provided to Patrick Keenan-Devlin for Illinois. The in-kind contribution is the cost of assigning four staff members to your campaign for the period January 27th to February 3rd, 2010. Our costs are the value of our staff members' salary, benefits and travel expenses during the assigned period.

This contribution is made with the understanding that it is not in violation of any current state laws on political contributions. This money comes from AFSCME's membership dues, and as such, it cannot be used in ways that are inconsistent with the Federal Election Campaign Act of 1971, as amended.

If you have any questions pertaining to our in-kind contribution, please contact the Political Action Department at (202) 429-1088.

We extend AFSCME's best wishes to you.

Sincerely,


WILLIAM LUCY
International Secretary-Treasurer


GERALD W. McENTEE
International President

GWMcE/WL:ch

cc: Henry Bayer, International Vice President and Executive Director, Council 31
Roberta Lynch, International Vice President
Stephan Fantauzzo, Regional Director
Jim Admire, Area Field Services Director

American Federation of State, County and Municipal Employees, AFL-CIO

TEL (202) 429-1000 FAX (202) 429-1293 TDD (202)

www.afscme.org 1625 L Street, NW, Washington, DC 20036-5687



2017 SEWARD STREET - EVANSTON, ILLINOIS 60202 - 847.644.5284 - ANJANA.HANSEN@COMCAST.NET

ANJANA HANSEN
ATTORNEY AT LAW

CHICAGO

10 NOV 16 AM 11: 27

STATE BOARD OF ELECTIONS

22116-08

November 15, 2010

State Board of Elections
James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago, IL 60601
Attn: Tony

RE: Patrick for Illinois
Committee Number 22116

Dear State Board of Elections,

It came to my attention that there were three clerical errors on the Semi-Annual D2 filed on July 12, 2010 for the above referenced committee. The clerical errors consisted of three in-kind contributions by AFSCME. The entries as filed on July 12th are as follows:

<u>Date of Contribution</u>	<u>Amount</u>
1/30/2010	187.00
1/30/2010	11,159.00
1/22/2010	6,975.00

The two dates of contribution of 1/30/2010 were inaccurate. The actual date of receipt of contribution is 2/2/2010. The clerical error occurred when I was entering the information for the Semi-Annual D2 report. The date of contribution of 1/22/2010 is also inaccurate. I entered this contribution twice when only one contribution in the amount of \$6,975.00 was in fact received. The correct date of this contributed amount is 1/26/2010. An A-1 was properly filed for this date and amount.

The clerical errors occurred during my input of all revenue and expenditures for the first half of 2010 in the IDIS system. The In-Kind contributions were the last to be entered into the system. Clearly the vast amount of paperwork to sift through combined with the time it took to enter all the information contributed to my error. Thank you for the opportunity to amend the D2 to properly reflect the revenues.

Sincerely,

Anjana Hansen

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AG 024

Citizens for Jeffrey L. Junkas

ID# 22127

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing Schedule A-1s for the 2010 General Election

The Committee received four in-kind contributions totaling \$10,888.92 on 10/7/10 and listed them on a Schedule A-1 received by the Board on 10/13/10, 1 day late. The total assessment is \$10,888.92.

Michael Aurelio, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Aurelio states the 10/7/10 date for all four in-kind contributions was entered in error. He says the Committee received the notifications of the contributions on 10/13/10 and he includes copies of the in-kind contribution notification forms faxed to the Committee.

The faxes presented by Mr. Aurelio show a time stamped date of 10/12/10. Whether the Committee actually received them on this date or on 10/13/10 as Mr. Aurelio claims, the Schedule A-1 filing would be timely in either case. I recommend the appeal be granted. (As of 12/31/10, this Committee reported a funds available balance of \$7,070.75.)



Tom Newman – Hearing Officer
March 24, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Citizens for Jeffrey L. Junkas
Michael Aurelio
59 Woodview Ln.
Lemont, IL 60439

ID# 22127

Dear Citizens for Jeffrey L. Junkas;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Illinois Republican Party	10/7/2010	\$3809.58	\$3809.58	10/13/2010	1
Illinois Republican Party	10/7/2010	\$1974.19	\$1974.19	10/13/2010	1
Illinois Republican Party	10/7/2010	\$2264.02	\$2264.02	10/13/2010	1
Illinois Republican Party	10/7/2010	\$2841.13	\$2841.13	10/13/2010	1

The committee is fined a **total** of \$10,888.92.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1089.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the*

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS
11 JAN 31 PM 12:03

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens for Jeffrey L. Junkas)
Respondent(s).)

Case No. 10 A6 024

APPEAL AFFIDAVIT

708-257-0044

I, Michael Aurelio, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Jeffrey L. Junkas
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

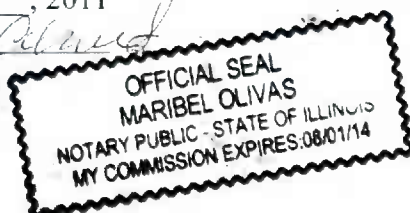
I sent the A-1 in question in w/ the date 10/17/10 in error. I received notification on all of the A1 in question on 10/13/10. I very quickly discovered my error and resubmitted the same A1 with the correct date of 10/13/10
Also, faxed in copy explaining my correction to Board of Elections on 10/13/10

Signed and Sworn to by:

before me this 27 Day of
January, 2011

Notary Public

Michael P. Aurelio
(Signature of Chairman/Treasurer)





SCHEDULE A-1

REPORT OF CAMPAIGN CONTRIBUTIONS
OF
\$500 OR MORE

1/1

217-782-5959

Full name and complete mailing address of Political Committee

Citizens for Jeffrey L Junkas

PO Box 2385

Orland Park IL

60462

*Please note this is
a correction from early A-1
Correction is in date
received notification*

THIS FORM MAY BE
TRANSMITTED BY FAX.

FOR OFFICE USE ONLY

IDENTIFICATION NO.

INSTRUCTIONS

ANY CONTRIBUTION IN AN AGGREGATE OF \$500.00 OR MORE RECEIVED IN THE THIRTY DAY PERIOD PRECEDING ANY ELECTION MUST BE REPORTED WITHIN TWO BUSINESS DAYS OF RECEIPT.

CONTRIBUTIONS MUST ALSO BE REPORTED ON THE NEXT REGULAR CAMPAIGN CONTRIBUTIONS REPORT - FORM D-2 - SCHEDULE A.

RECEIVED FROM: FULL NAME, MAILING ADDRESS, AND ZIP CODE	RECEIPT TYPE	DATE	AMOUNT
Illinois Republican Party 205 W Randolph st Suite 1245 Chicago IL 60606 mail production and Postage	<input type="checkbox"/> Individual Monetary Contributions <input type="checkbox"/> Transfers In <input type="checkbox"/> Loans Received <input type="checkbox"/> Other Receipts (No In-kind) <input checked="" type="checkbox"/> In-kind Contributions	10/13/2010	3809.58
Illinois Republican Party 205 W Randolph st Suite 1245 Chicago IL 60606 mail production and postage	<input type="checkbox"/> Individual Monetary Contributions <input type="checkbox"/> Transfers In <input type="checkbox"/> Loans Received <input type="checkbox"/> Other Receipts (No In-kind) <input checked="" type="checkbox"/> In-kind Contributions	10/13/2010	1974.19
Illinois Republican Party 205 W Randolph st Suite 1245 Chicago IL 60606 mail production and postage	<input type="checkbox"/> Individual Monetary Contributions <input type="checkbox"/> Transfers In <input type="checkbox"/> Loans Received <input type="checkbox"/> Other Receipts (No In-kind) <input checked="" type="checkbox"/> In-kind Contributions	10/13/2010	2264.02
Illinois Republican Party 205 W Randolph st Suite 1245 Chicago IL 60606 mail production and postage	<input type="checkbox"/> Individual Monetary Contributions <input type="checkbox"/> Transfers In <input type="checkbox"/> Loans Received <input type="checkbox"/> Other Receipts (No In-kind) <input checked="" type="checkbox"/> In-kind Contributions	10/13/2010	2841.13

Michael Aurelio

SIGNATURE OF TREASURER OR CANDIDATE

10/13/2010

DATE

THE ILLINOIS BOARD OF ELECTIONS IS REQUESTING DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1183. DISCLOSURE OF THIS INFORMATION IS REQUIRED. FAILURE TO PROVIDE ANY INFORMATION COULD RESULT IN A FINE UP TO \$1,000.00. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT.

STATE POLITICAL COMM
RETURN TO
STATE BOARD OF ELEC
1020 SOUTH SPRING ST
P.O BOX 4187
SPRINGFIELD IL 62708

100

POLITICAL COMMITTEES AND
LOCAL POLITICAL COMMITTEES
IN ORIGINAL TO:
BOARD OF ELECTIONS
COPY TO
STATE COUNTY CLERK

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

To: Citizens for Jeffrey L. Junkas
P.O. Box 2385
Orland Park, IL 60462

From: Illinois Republican Party
205 W. Randolph St.
Suite 1245
Chicago, IL 60606

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (If applicable)	Date	Value
Graphic Image Corporation 2035 W. Grand Ave, Chicago, IL 60612	10/07/2010	\$2,264.02
	Description Mail Production & Postage	

I got 4 of these sheets

Please Note date. I believe I got them the following day.

The political committee must report these donations on the Form D-2, Report of Campaign Contributions and Expenditures, Part 5.


Signature of Contributor

www.elections.il.gov

Revised 6/12/00

07/99 P 000000000000

<< 13 >>

13

2010-10-12 09:23

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

To: Citizens for Jeffrey L. Junkas
P.O. Box 2365
Orland Park, IL 60462

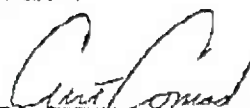
From: Illinois Republican Party
205 W. Randolph St.
Suite 1245
Chicago, IL 60606

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable)	Date	Value
Express Mailing Services, Inc. P.O. Box 256 Itasca, IL 60143	10/07/2010	\$2,841.10
	Description	
	Mall Production & Postage	

The political committee must report these donations on the Form D-2, Report of Campaign Contributions and Expenditures, Part 5.



Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

To:

Citizens for Jeffrey L. Junkes

P.O. Box 2385

Orland Park, IL 60462

From:

Illinois Republican Party

205 W. Randolph St.

Suite 1245

Chicago, IL 60606

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable)	Date	Value
	Description	
Graphic Image Corporation 2035 W. Grand Ave. Chicago, IL 60612	10/07/2010	\$1,974.19
	Mail Production & Postage	

The political committee must report these donations on the Form D-2, Report of Campaign Contributions and Expenditures, Part 5.



Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

To:

Citizens for Jeffrey L. Junkas

P.O. Box 2386

Orland Park, IL 60462

From:

Illinois Republican Party

205 W. Randolph St.

Suite 1245

Chicago, IL 60606

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable)	Date	Value
Express Mailing Services, Inc. P.O. Box 256 Itasca, IL 60143	10/07/2010	\$3,809.58
	Description	
	Mail Production & Postage	

The political committee must report these donations on the Form D-2, Report of Campaign Contributions and Expenditures, Part 5.



Signature of Contributor

www.elections.il.gov

Revised 5/12/00

01/79 P 000000000000

<< 13

13

2010-10-12 09:23

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AP 099

Friends of Robyn Gabel ID# 22260

Respondent

REPORT OF HEARING OFFICER


Appeal of Civil Penalty Assessment
For Failing to File Schedule A-1s for the 2010 General Election

The Committee received a \$2000 contribution on 1/7/10 and a \$1000 contribution on 1/26/10, but failed to list either on a Schedule A-1. The total assessment is \$3000.

Jim Donenberg, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on an Appeal Affidavit the following:

On the Affidavit, Mr. Donenberg says the \$2000 contribution was actually received by the Committee on 1/11/10. He says an A-1 was filed for the contribution on 1/12/10, but was mistakenly filed using the name of a representative of the contributor, rather than the contributor itself. Mr. Donenberg says a correspondence was faxed to the Board on 2/1/10 to clarify the correct contributor name. Additionally, when the Committee filed its Semi-Annual Report, he says the date on the check (1/7/10) was inadvertently entered instead of the actual date of receipt. As for the \$1000 contribution, Mr. Donenberg states it too was reported on the Committee's Semi-Annual Report using the date on the check (1/26/10) rather than the actual date of receipt, which he says was 2/4/10 and should not have required an A-1 filing. He includes copies of the checks and bank deposit slips showing the two contributions deposited on 1/11/10 and 2/4/10 respectively. Mr. Donenberg adds that the Committee is in the process of amending its Semi-Annual Report to correct the receipt information.

I can find no record of the fax Mr. Donenberg refers to regarding the 1/12/10 A-1 filing. However, based on the other evidence provided regarding the two contributions, I see no reason to doubt the Committee's claim that incorrect dates and an incorrect name were used to report the receipts. I therefore recommend the appeal be granted. To date, the Committee has not amended its Semi-Annual Report to correct the information – I recommend they be ordered to file this amendment with the correct receipt information within 30 days of the date of the Final Board Order. (As of 12/31/10, this Committee reported a funds available balance of \$48,135.64.)



Tom Newman – Hearing Officer
March 15, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Friends of Robyn Gabel
Jim Danenberg
96 Revere Dr., Suite D
Northbrook, IL 60062

ID# 22260

Dear Friends of Robyn Gabel;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Jeffrey A Wolfson*	1/26/2010	\$1000	\$1000		3
Illinois Hospital Association*	1/7/2010	\$2000	\$2000		15

The committee is fined a **total** of \$3000.00 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$300.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.* Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)
)
 County of COOK)

STATE BOARD OF ELECTIONS

11 FEB 14 AM 10:34

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 10 AP 099FRIENDS OF ROBYN GABEL

Respondent(s).

APPEAL AFFIDAVIT

I, JIM DONENBERG, the TREASURER of the
 (Name) (Chairman/Treasurer)

FRIENDS OF ROBYN GABEL

(Name of the Committee)

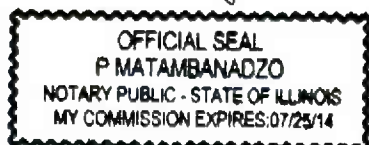
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

SEE ATTACHED

Signed and Sworn to by:

JIM DONENBERG
 before me this 11th Day of
February, 2011

Notary Public



Jim Donenberg
 (Signature of Chairman/Treasurer)

JIM DONENBERG

847-498-9200

1) Contribution from Jeffrey A. Wolfson for \$1,000.

The check from Jeffrey A. Wolfson was written on 1/26/2010 but not received until 2/4/2010, and accordingly, did not require an A1. A copy of the check and the deposit slip are attached.

2) 2) Contribution from Illinois Hospital Association for \$2,000

The check from the Illinois Hospital Association (IHA) was written on 1/7/2010 and received on 1/11/2010. An A1 was filed on 1/12/2010 but mistakenly filed under Howard Peters, a representative of the IHA, with the IHA listed as the address.

We are in the process of amending our reports to reflect the following changes:

The **1/20/2010 D2 report** will be amended as follows: The date for the Jeffrey A. Wolfson will be changed from 1/26/2010 to 2/4/2010 (the date received). Also, the date for the IHA check will be changed from 1/7/2010 to 1/11/2010 (the date received).

A correspondence, regarding the **A1 report filed on 1/12/2010**, was faxed to the State Board of Elections on 2/1/2010 that clarified that the contributor was the Illinois Hospital Association (IHA), not Howard Peters (their employee).

We are appealing the notice and request that the fee be waived based on the realization that neither A1 was missing or late. We are making a good faith effort to correct the errors by amending our reports.

FIRST BANK & TRUST

Customer Receipt

MEMBER
FDIC

If you have any questions about your account, please call our Customer Service Department

Thank You

TR: 500-53 01/11/10 09:43 AM
[REDACTED] Ck Deposit \$11,492.01

Thank you for banking with Us!

Please remember that checks and other items are received for deposit subject to the terms and conditions of this bank's deposit account rules.

552471



Illinois Hospital
Association
Political Action Committee
700 South Second Street
Springfield, Illinois 62704

6663

70-9218/2719

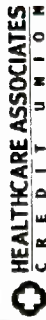
DATE January 7, 2010

PAY
TO THE
ORDER OF

Friends of Robyn Gabel

\$ 2000.00

Two Thousand and 00/100 ----- DOLLARS



HEALTHCARE ASSOCIATES
CREDIT UNION
1151 E. Warrenville Road
Naperville, IL 60566-7053



Security
watermark
Printed on
Back

Kathleen Dunn

FOR

MP

ACTBLUE ILLINOIS
STATE CONTRIBUTIONS
P.O. BOX 382110
CAMBRIDGE, MA 02238-2110

BANK OF AMERICA
ACH R/T 054001204
15-1207540 DC

3000003809

31 DECEMBER 2009

PAY Two thousand eight hundred forty-three and 99/100 ***** \$ 2,843.99
Not Valid After 90 Days

TO THE
ORDER
OF
Friends of Robyn Gabel
905 Forest Ave.
#1N
Evanston, IL 60202

Two signatures required for amounts exceeding \$100,000

MF

CHICAGOLAND CHAMBER OF COMMERCE

PAC

200 E. Randolph St., Suite 2200
Chicago, IL 60601-6436
(312) 494-6700 Fax: (312) 861-0660

001971

2-1-710

DATE 12/22/2009

PAY TO THE ORDER OF Friends of Robyn Gabel

\$ 500.00

Five Hundred and 00/100

DOLLARS

CHASE

JPMorgan Chase Bank, N.A.
Chicago, Illinois 60670
www.Chase.com

William L. Ford

FOR Contribution



Associated Beer Distributors of Illinois
Political Action Committee

P. O. Box 396
Springfield, IL 62705

BANK OF SPRINGFIELD
3400 WEST WABASH
SPRINGFIELD, IL 62707

70-885/711

008975
008975

PAY One Thousand and 00/100 Dollars

Jan 5, 2010

DATE

***\$1,000.00

AMOUNT

Robyn Gabel Campaign Committee

TO THE
ORDER
OF

William L. Ford

John A. Ford

Sent
1/12/10
1/15

SEIU Healthcare
United for Quality Care
SEIU HealthCare IL IN PAC
HCL: PAC
209 W Jackson Blvd, Ste 200
Chicago, IL 60606

JPMORGAN CHASE BANK, NA
02-0017710

1144

1/7/2010

PAY TO THE ORDER OF Friends for Robyn Gabel

\$ **5,000.00

***** DOLLARS *****

Five Thousand and 00/100

Friends for Robyn Gabel
P.O. Box 6453
Evanston, IL 60204-6453

[Signature]
[Signature]

MEMO Contribution for Primary Race

[Redacted]

[Redacted]

[Redacted]

© 2005 INTUIT INC. # 072 800-433-6670

ACTBLUE ILLINOIS
STATE CONTRIBUTIONS
P.O. BOX 382110
CAMBRIDGE MA 02238-2110

BANK OF AMERICA
ACH/R/T 054001204
15-120 / 540 DC

3000003995

03 JANUARY 2010

PAY Forty-eight and 2/100
Not Valid After 90 Days

TO THE ORDER OF Friends of Robyn Gabel
905 Forest Ave
#1N
Evanston, IL 60202

Two signatures required for amounts exceeding \$100,000.

\$ *****48.02

RICHARD FOXMAN
EILEEN N. FOXMAN
1805 W CHASE AVE.
CHICAGO, IL 60626

70-2390/719
0005072016

DATE

1/10/10

1391

PAID TO THE ORDER OF

Friends of Robyn Gabel
One Hundred

\$100.00

Security Features
Include
Hologram on Back

DOLLARS



FIFTH THIRD BANK

E. N. Foxman

MEMO

FIRST BANK & TRUST

Customer Receipt

MEMBER
FDIC

If you have any questions about your account, please call our Customer Service Department

Thank You

TR:32 500-55

02/04/10 02:14 PM

[REDACTED]

Chk Deposit

\$1,600.00

Thank you for banking with Us!

Please remember that checks and other items are received for deposit subject to the terms and conditions of this bank's deposit account rules.

52381

DENNIS M. KLEPER 10/98
ANN-LOUISE KLEPER
15 SEQUOIA LN.
DEERFIELD, IL 60015-4426

7573

70-477/719

January 31 2010

PAY TO THE ORDER OF Friends of Robyn Gabel \$ 100⁰⁰/₁₀₀

One Hundred and no/100

US BANK
DOWNTOWN DEERFIELD OFFICE
CHICAGO, IL 60602

Security, Insurance
and Holdback
Check on back

FOR

Ann-Louise Kleper

JEFFREY A. WOLFSON

440 S. LA SALLE STREET, SUITE 950
CHICAGO, IL 60605
(312) 260-5810

LAKESIDE BANK
CHICAGO, IL 60601
2-150/710

10098

1/26/2010

PAY TO THE ORDER OF FRIENDS OF ROBIN GABEL

\$**1,000.00

One Thousand and 00/100

DOLLARS

FRIENDS OF ROBIN GABEL
P.O. BOX 6453
EVANSTON ILLINIOS 60204-6453

Carol J. Bell

MEMO

COMPANY WATERMARK APPEARS IN CENTER OF CHECK

Walgreens
The Pharmacy America Trusts • Since 1901™

DEERFIELD, IL 60015

WALGREEN CO / IL

70-2382
719

30-0010933525

Northern Trust
Chicago IL 0710
Payable Through
Northern Trust Bank/Dupage
Oakbrook, IL

PAY Five Hundred and 00/100 Dollars

Walgreens
The Pharmacy America Trusts • Since 1901™

DATE
01/28/10

AMOUNT

*****500.00

FRIENDS OF ROBYN GABEL
PO BOX 6453
EVANSTON IL 60204

PAYABLE ONLY TO
FRIENDS OF ROBYN GABEL

PAY
TO THE
ORDER OF

Authorized Signature

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AG 059

Citizens for Ray Pendzinski

ID# 22429

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Failure to File a Schedule A-1 for the 2010 General Election

The Committee received a \$2500 contribution on 10/22/10 but failed to report it on a Schedule A-1. The total assessment is \$2500.

Ray Pendzinski, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Pendzinski indicates the wrong date of receipt was used by the Committee to report the contribution on its Semi-Annual Report. He says the Committee did not actually receive the check in question until after November 2. He includes a copy of a letter from the contributor, dated 11/2/10, that accompanied the contribution

Considering the evidence provided, I see no reason to disbelieve the Committee's claim. It appears likely the contribution was not in fact received until November 2 at the earliest, meaning no A-1 filing was required. I recommend the appeal be granted. I further recommend that the Committee amend its Semi-Annual Report within 30 days of the Final Board Order to reflect the correct date of receipt. (As of 12/31/10, this Committee reported a funds available balance of \$3,036.30.)



Tom Newman – Hearing Officer
March 30, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2011

Citizens for Ray Pendzinski
Ray Pendzinski, Krystal Pendzinski
508 Commander Place
Belvidere, IL 61008

ID# 22429

Dear Citizens for Ray Pendzinski;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
IBEW	10/22/2010	\$2,500	\$2,500	*	

The committee is fined a **total** of \$2500 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.* Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS

11 MAR 21 AM 9:26

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs. Citizens for)
Ray Pendzinski)
Respondent(s).)

Case No. 10A6059

APPEAL AFFIDAVIT

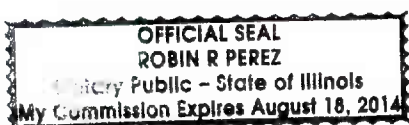
I, Ray Pendzinski, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens for Ray Pendzinski
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Comm. fee did not receive the check
until after November 2, 2010 Please see attached
letter from IBEW

Signed and Sworn to by:
Ray Pendzinski
before me this 11th Day of
March, 2011
Robin R Perez
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



Local Union No. 702

International
ElectricalBrotherhood
Workers

106 NORTH MONROE STREET

West Frankfort, Illinois

62896



November 2, 2010

Mr. Ray Pendzinski
P.O. Box 121
Belvidere, IL. 61008

Dear Ray:

On behalf of members of Local Union 702, International Brotherhood of Electrical Workers, please accept this check for \$2,500.00.

Your support of the working men and women will be greatly appreciated.
Uniting together in a common goal can only strengthen our efforts.

If you have any questions, please call the telephone number listed above.

Sincerely,

IBEW, LOCAL UNION 702*Steve Hughart*

Steve Hughart
Business Manager

Daniel F. Miller

Daniel F. Miller, Local 702 COPE Director
Business Representative

:SW

Enclosure

RECEIVED
11-5-2010

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

10 GE 040

Committee to Elect Mike Cook
Respondent

ID 22570

REPORT OF HEARING EXAMINER
Appeal of Civil Penalty Assessment for Delinquent Filing
the Pre-Election Report for the 2010 General Election

The report was received by the Board on October 27, 2010, 7 days late, resulting in a civil penalty assessment of \$500.

Brendan Nester, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Nester states that the Committee attempted to file the Pre-Election Report electronically prior to the deadline, but an unnoticed technological error prevented the Report from being successfully uploaded. He says the Committee entered a "filed on" date for the report in the IDIS electronic filing software, so when the actual upload of the Report to the Board failed, the Committee thought the filing was successful because the Report was marked in the Committee's system as filed. Mr. Nester adds that when the error was brought to the Committee's attention, the report was immediately uploaded.

Under the old version of the Board's IDIS electronic filing software, the filer would add a "filed on" date to its report, but that report was not actually sent to the Board until the Committee completed the upload process. This could and did lead to situations like the one described by Mr. Nester, where the Committee may have believed the report had been filed when in fact it had not. (This type of problem cannot happen under the new version of IDIS now in use.) Section 100.150(c)(5) of the Board's Rules and Regulations states, "*If a committee is assessed a civil penalty for delinquent filing a report required to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal.*" In order to be consistent with prior Board decisions when an electronic filing issue is presented as a defense and since this defense has not previously been offered by this Committee, I recommend the appeal be granted. (As of 12/31/10, this Committee reported a funds available balance of \$38,327.58.)



Tom Newman – Hearing Examiner
March 23, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 S. Spring Street
PO Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Committee to Elect Mike Cook
Leah Captain
12 W Lincoln St
Belleville, IL 62220-2018

ID# 22570

Dear Committee to Elect Mike Cook;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Pre-Election Report of Campaign Contributions
Report Period:	July 1, 2010 through October 3, 2010
Filing Period:	October 4, 2010 through October 18, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on October 27, 2010, 7 day(s) late. As such, this committee has been assessed a fine of \$500.00.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be stayed. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days. Therefore, you need not pay this assessed civil penalty unless another violation occurs.

If you have questions regarding the appeal process, please call Jennifer Ronimus at 217/782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward", is written over a horizontal line.

Sharon Steward
Director, Campaign Disclosure Division

SS:jr
Enclosure(s): appeal packet

State of Illinois)
)
County of St. Clair)

IN THE MATTER OF:

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant,

v.

COMMITTEE TO ELECT MIKE COOK,

Respondent.

Case No. 10 GE 640

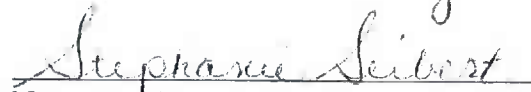
I, Brendan M. Nester, the Chairman of the Committee to Elect Mike Cook, first being duly sworn an deposes and states that he represents that said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

1. Electronically filing the Pre-Election Report of Campaign Contributions is a two-step process. First, Respondent must click the “file button” which marks the report filed. Subsequently, Respondent is required to then “upload” the filed report. During the second stage of the process, a technological error occurred and the report did not “upload,” however, it was indeed marked filed at the appropriate time as prescribed by 10 ILCS 5/9-10 of the Election Code. The error was brought to Respondent’s attention a short time thereafter and the appropriate action was immediately taken to “upload” the report.



Brendan M. Nester, Chairman
Committee to Elect Mike Cook
Donovan, Rose, Nester & Joley, P.C.
8 East Washington Street
Belleville, IL 62220
618-235-2020
618-235-9632 Facsimile

Signed and sworn to by Brendan M. Nester before me this 2nd day of February, 2011.


Notary Public



PROOF OF SERVICE

The undersigned certifies that a copy of the foregoing instrument was served upon the attorneys of record of all parties to the above styled case by enclosing same in an envelope addressed to such attorneys at their business address as disclosed by the pleadings of record herein, with postage duly prepaid and by depositing said envelopes in a U.S. Post Office Mail Box in Belleville, Illinois, on the 7th day of February, 2011.



Copies Forwarded To:

State Board of Elections
Attn: Campaign Disclosure
1020 South Spring Street
Springfield, IL 62704

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

10 JS 208

Friends of Lynn Scott Pearson
Cmte ID: 22725
Respondent

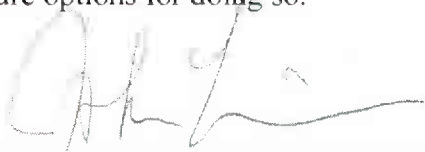
REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing the June 2010 Semi-Annual Report

The Report was received one day late, resulting in an assessment of \$25. The Committee has not been previously assessed.

Lynn Scott Pearson, the Chairman of the Committee and Candidate supported by the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Ms. Pearson said she had been instructed to fax the Report (presumably by Board staff) as the deadline approached. The Report was time-stamped on July 20, 2010, and marked a Pre-Election Report. However, it featured the Semi-Annual reporting period. Board staff entered notes while logging in the Report confirming it had been faxed on July 20, 2010, although the log-in date for the Report is July 21, 2010.

I recommend the appeal be granted. The Report was marked improperly by the Committee, but because the correct reporting period (January 1, 2010-June 30, 2010) was marked, it seems clear the Report was intended to be a Semi-Annual Report. The Committee filed a Final Report on January 20, 2011, with an ending balance of \$3,339.93. Ms. Pearson has been instructed to file an amended Report if she intends to dissolve the Committee and has been apprised of the expenditure options for doing so.



John Levin – Hearing Officer
March 21, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Daniel W. White
August 27, 2010

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Friends of Lynn Scott Pearson
Pearly Bonds
410 N Nappanee Pl
Peoria, IL 61604

L15864/22725

Dear Committee;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2010 through June 30, 2010
Filing Period:	July 1, 2010 through July 20, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on July 21, 2010, 1 day(s) late. As such, this committee has been assessed a fine of \$25.00.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.***

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days. Therefore, you need not pay this assessed civil penalty unless another violation occurs. If you have questions regarding the appeal process, please call Sue Klos at 217/782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Rupert T. Borgsmiller".

Rupert T. Borgsmiller
Assistant Executive director

RTB: sk

State of Illinois)
County of Peoria)

STATE BOARD OF ELECTIONS
11 JAN 26 AM 10:08

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

vs.

Friends of Lynn Scott Pearson

Respondent(s).

No. 22725

APPEAL AFFIDAVIT

I, Lynn Scott Pearson, the Chairman of the
(Name) (Chairman/Treasurer)

Friends of Lynn Scott Pearson

(Name of the Committee)

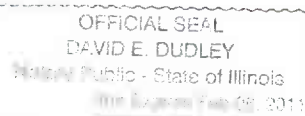
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Subscribed and Sworn to Before me

This 18 Day Of Jan, 2011

[Signature]
NOTARY PUBLIC

[Signature: Lynn Scott Pearson]
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
11 JAN 26 AM 10:08

OFFICE DEPOT

801 WEST LAKE ST.
PEORIA, IL 61614
309-681-0001

WE FIX COMPUTERS

FREE PC CHECK UP

SALE STR0238 REG012 2005

07/20/10 17:44 EMP 535915 PMS 5 098

833081 FAXSENDLD 2.39E

833091 FAXSENDLDEAADDLPAG

2 @ 1.000 2.00E

SUBTOTAL 4.39

SALES TAX 0.00

TOTAL 4.39

CASH 20.00

CHANGE -15.61



22VTYPYA455YM8X46

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for a chance to win your share of \$500
50 Shoppers daily each win a \$100 Office
Depot Gift Card and 10 Teachers daily
win a \$500 Office Depot Gift Card. Enter
your 17 digit receipt code at www.school.com
to reveal if you're a winner. **NO PURCHASE
NECESSARY.** Ends 9/5/10. Subject to official
rules at www.school.com.

4403

642 Tom

Disclosure

FAX 217-

782-5959

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

10 AG 002

Jackson County Republican Central Committee ID# 358

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Failing to File a Schedule A-1 for the 2010 General Election

The Committee received a \$900 loan on 10/27/10 but failed to list it on a Schedule A-1. The total assessment is \$900.

Carolyn Cano, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on an Appeal Affidavit the following:

On the Affidavit Ms. Cano admits the Committee received a loan from an individual on the date listed, but she disagrees as to whether an A-1 was needed for the loan. She says the loan was not a contribution, but instead it is a debt and obligation until paid in full or forgiven.

Section 5/9-1.4 of the Campaign Disclosure Act clearly states that a contribution includes, "*a gift, subscription, donation, dues, loan, advance, or deposit of money or anything of value...*" While the loan in question will remain as a debt for the Committee until repaid, it also was a contribution and did need to be reported on a Schedule A-1. I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the failure to file was anything other than inadvertent and unintentional, I recommend the \$900 penalty be reduced to 10% of the original amount, or \$90. As a first violation, the penalty is stayed. (As of 12/31/10, this Committee reported a funds available balance of \$393.06.)



Tom Newman – Hearing Officer
March 15, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Jackson County Republican Central Cmte
Bruce Wallace
1915 Minton St.
Murphysboro, IL 62966

ID# 358

Dear Jackson County Republican Central Cmte;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Bruce Wallace*	10/27/2010	\$900	\$900		2

The committee is fined a **total** of \$900.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$90.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.* Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)
County of JACKSON)
ILLINOIS)

STATE BOARD OF ELECTIONS

11 FEB 16 AM 9:11

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
JACKSON County ^{Republicans} Central Committee)
Respondent(s).)

Case No. 10 AG 002

APPEAL AFFIDAVIT

I, CAROLYN J. CANO, the TREASURER of the
(Name) (Chairman/Treasurer)
JACKSON County REPUBLICANS Central Committee
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

We disagree a Schedule A-1 should have been filed
as this was not a Contribution it was a loan made
from an individual which is required for the Committee
to repay to the loaner. A Contribution is not to be
repaid. A loan must be paid and therefore it is a
debt and obligation until paid in full or forgiven.

Signed and Sworn to by:
Carolyn J. Cano
before me this 14th Day of
February, 2011
Melissa S. Britt
Notary Public

Carolyn J. Cano
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 GE 003

Gallatin County Republican Central Committee ID# 380

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
The Pre-Election Report for the 2010 General Election

The Report was received by the Board on October 20, 2010, 2 days late, resulting in a civil penalty assessment of \$200. Additionally, the Committee had previously been assessed a \$100 civil penalty (not appealed, stayed) for delinquently filing the June 2009 Semi-Annual Report. The total assessment is \$300.

John Mark DeVous, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. DeVous states that he resigned as the Committee Treasurer several months ago and is in the process of getting his name taken off the Committee's paperwork. He adds that he assumed that he and the new Committee Treasurer were receiving duplicate copies of the Board's mailings.

I recommend the appeal be denied for lack of an adequate defense. The Committee to date has not filed an amended Statement of Organization to update its officer information, so Mr. DeVous remains listed as the Treasurer of record, and the Committee's mailing address has not been changed from Mr. DeVous' residence. If this recommendation is accepted by the Board, the stay would be removed from the previous assessment, and the total civil penalty assessment of \$300 would be due and owing. (As of 12/31/10, this Committee reported a funds available balance of \$2,910.)



Tom Newman – Hearing Officer
March 24, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 S. Spring Street
PO Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Gallatin County Republican Central Committee
John Morris
PO Box 306
Shawneetown, IL 62984

ID# 380

Dear Gallatin County Republican Central Committee;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Pre-Election Report of Campaign Contributions
Report Period:	July 1, 2010 through October 3, 2010
Filing Period:	October 4, 2010 through October 18, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on October October 20, 2010, 2 day(s) late. As such, this committee has been assessed a fine of \$200.00.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
1/1/09 to 6/30/09	Semi-Annual	\$100.00
TOTAL AMOUNT NOW DUE		\$300.00

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217/782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS:jr
Enclosure(s): appeal packet

State of Illinois)
County of Gallatin)

STATE BOARD OF ELECTIONS

11 JAN 31 PM 12:03

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 10 GE 003

Gallatin Co. Republican
Respondent(s).
Central Comt.

APPEAL AFFIDAVIT

I, John Mark DeVous, the Treasurer of the
(Name) (Chairman/Treasurer)

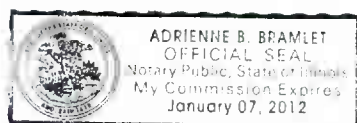
Gallatin County Republican Central Committee
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I, John DeVous, Have Resigned As Treasurer Several Months Ago.
I Assumed ^{that} I + the Current Treasurer Were Receiving Duplicate Copies.
I Am In The Process of Getting My Name Off the Paper Work +
Transferred To The Current Treasurer. Please Do Take This Into
Consideration + I Thank You For Your Time.

Signed and Sworn to by:
John M DeVous
before me this 26 Day of
January, 2011
Adrienne B Bramlet
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 GE 004

North Suburban Teachers Union COPE ID# 450

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
The Pre-Election Report for the 2010 General Election

The Report was received by the Board on October 23, 2010, 4 days late, resulting in a civil penalty assessment of \$800. Additionally, the Committee has previously been assessed a \$50 civil penalty (not appealed, stayed) for delinquently filing the December 2008 Semi-Annual Report. The total assessment is \$850.

Steve Grossman, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Grossman states that the Pre-Election Report filing period was a time of transition for the Committee. Specifically he says the Committee's Treasurer resigned to take on a new position on October 16th and prior to that date had been busy preparing for an upcoming convention. Mr. Grossman assumed the position of Treasurer on October 18th, the date the report was due, but he was unable to meet with the old Treasurer to prepare the report until October 23, the date it was actually filed.

While I understand the transition to a new Treasurer may have been difficult for the Committee, there still should have been adequate time to file the Report, especially since this particular filing only contained six entries. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the earlier assessment, and the total civil penalty assessment of \$850 would be due and owing. (As of 12/31/10, this Committee reported a funds available balance of \$13,095.02.)



Tom Newman – Hearing Officer
March 9, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 S. Spring Street
PO Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

North Suburban Teachers Union COPE
Steven Grossman
9933 N Lawler Ave, Suite 222
Skokie, IL 60077-3705

ID# 450

Dear North Suburban Teachers Union COPE;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Pre-Election Report of Campaign Contributions
Report Period:	July 1, 2010 through October 3, 2010
Filing Period:	October 4, 2010 through October 18, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on October October 23, 2010, 4 day(s) late. As such, this committee has been assessed a fine of \$800.00.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
7/1/08 to 12/31/08	Semi-Annual	\$50.00
TOTAL AMOUNT NOW DUE		\$850.00

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217/782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS:jr
Enclosure(s): appeal packet

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS
11 FEB 18 AM 10:56

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs. NORTH SUBURBAN)
TEACHERS UNION, COPE)
Respondent(s).)

Case No. 10 GE 004

APPEAL AFFIDAVIT

I, STEVE GROSSMAN, the Treasurer of the
(Name) (Chairman/Treasurer)
NORTH SUBURBAN TEACHERS UNION COPE
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

PLEASE SEE REVERSE SIDE

Signed and Sworn to by:

Steve Grossman
before me this 15 Day of
February, 2011
Patricia Moir
Notary Public

Steve Grossman
(Signature of Chairman/Treasurer)



The filing period for the Pre-Election Report was a time of transition for our Committee, presenting a challenge in meeting the October 18th deadline. On October 16th, our previous Treasurer Dan Montgomery was elected to the office of President of the Illinois Federation of Teachers and immediately resigned as the President of the North Suburban Teachers Union and Treasurer of our COPE Committee. Prior to October 16th, Mr. Montgomery was busy preparing for the IFT Convention. I officially succeeded Mr. Montgomery on Monday, October 18th, the day that the report was due. It was not until October 23rd that Mr. Montgomery and I had a chance to work together to submit that report. The attached printout from the IFT website shows the convention calendar and election results to support the above timeline of events.



Illinois Federation of Teachers
A Union of Professionals

SEARCH

[ABOUT IFT](#) [BENEFITS](#) [MEMBER RESOURCES](#) [NEWS](#)
[PROFESSIONAL DEVELOPMENT](#) [LEGISLATIVE](#) [POLITICS](#) [LABOR](#) [LASR](#)



2010 CONVENTION : OCTOBER 15-17



Program

Convention Report

Friday October 15

Saturday October 16

Sunday October 17

Election Results

Solidarity Awards

Anniversaries

100% Membership Award

Election Results

The final audited results of the election on Saturday, October 16, 2010, for the IFT president, secretary-treasurer, executive vice president and vice presidents are as follows:

PRESIDENT

Dan Montgomery (acclamation)

SECRETARY-TREASURER

Marcia Boone (acclamation)

EXECUTIVE VICE PRESIDENT

Karen Lewis (acclamation)

VICE PRESIDENTS

William Naegele

Thomas Dowd

Jane Russell

Heidi Lawson

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

10 GE 007

ICA PAC ID# 4253

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
The Pre-Election Report for the 2010 General Election

The Report was received by the Board on October 21, 2010, 3 days late, resulting in a civil penalty assessment of \$300. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, stayed) for delinquently filing the December 2009 Semi-Annual Report. The total assessment is \$350.

Peter Kralka, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Kralka states the failure to timely file the Pre-Election Report was due to a change in the management staff of the Committee's office at the time of the filing.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the earlier assessment, and the total civil penalty assessment of \$350 would be due and owing. (As of 12/31/10, this Committee reported a funds available balance of \$5945.83.)



Tom Newman – Hearing Officer
March 11, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 S. Spring Street
PO Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

ICA PAC
Ray Hollenbeck, Peter Kralka
PO Box 33
Normal, IL 61761-0033

ID# 4253

Dear ICA PAC;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Pre-Election Report of Campaign Contributions
Report Period:	July 1, 2010 through October 3, 2010
Filing Period:	October 4, 2010 through October 18, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on October October 21, 2010, 3 day(s) late. As such, this committee has been assessed a fine of \$300.00.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
7/1/09 to 12/31/09	Semi-Annual	\$50.00
TOTAL AMOUNT NOW DUE		\$350.00

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217/782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS:jr
Enclosure(s): appeal packet

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS

11 FEB 25 AM 10:14

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 10 GE 007

ICA PAC)
Respondent(s).)

APPEAL AFFIDAVIT

I, PETER KRALKA, the TREASURER of the
(Name) (Chairman/Treasurer)

ICA PAC

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The ICA has changed the management office around that time and the omission of filing the report was due to the transition. One of the officers of the current management firm communicated with Mr. Levin on October 19, 2011 explaining the situation and apologized for the delay. (attached

Signed and Sworn to by:

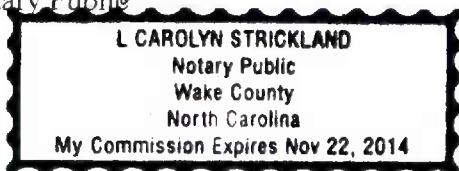
Peter Kralka
before me this 21st Day of

February 2011

L Carolyn Strickland
Notary Public

[Signature]

(Signature of Chairman/Treasurer)



Peter Kralka

From: Aaron White
Sent: Tuesday, October 19, 2010 4:54 PM
To: 'JLevin@elections.il.gov'
Cc: Peter Kralka
Subject: From Aaron @ the Illinois Collectors Association

John,

I hope that this email finds you doing well. I just left you a message on your voicemail regarding the Pre-Election Report for the Illinois Collectors Association (ICA). The ICA has recently changed its management staff and it appears we missed the deadline for the Pre Election Form.

I have searched "high and low" on the Illinois State Board of Elections website and have not been able to locate a standardized form for the Pre-Election Report.

Is there one? Could you forward such a document to me or send me the link?

We will have the rectified as soon as possible, which I hope to be on Wednesday, October 20th.

Best wishes,

Aaron White

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

10 JS 222

Citizens for Sig (ID 9379)

Respondent

REPORT OF HEARING EXAMINER
Appeal of Civil Penalty Assessment for Delinquently
Filing the 2010 June Semi-Annual Report

The 2010 June Semi-Annual report was received on September 25, 2010, 47 days late, resulting in a civil penalty assessment of \$3,525.00. In addition, the committee has previously been assessed a \$200 civil penalty (not appealed, not paid) for delinquently filing the 2008 Semi-Annual Report; a \$450 civil penalty (not appealed, not paid) for delinquently filing the 2009 June Semi-Annual report; and \$4,050 civil penalty (not appealed, not paid) for delinquently filing the 2009 December Semi-Annual report. The total assessment is \$8,225.00.

An appeal hearing was held on January 5, 2010. Mr. Sig Vaznelis, the candidate and Mr. Vytas Paskus, the treasurer appeared on behalf of the committee.

In the hearing, Mr. Vaznelis stated he has been the Lemont Highway Commissioner for 17 years, heads a small campaign and at times runs un-opposed. The committee spent more than \$10,000 to host a golf fundraiser in 2008, but since has kept very little money in the campaign account. Mr. Vaznelis stated he does not keep a campaign office or staff to oversee the day-to-day management of campaign finances. Mr. Paskus, Treasurer, helps the campaign on a voluntary part time basis, stated that since 2008 he has had difficulty filing electronically due to confusion about how the IDIS software uploads reports along with various technical problems. Mr. Paskus had several conversations with Board staff to rectify his software issues. In certain instances, he found he had timely completed entering the Committee's activity into the program but failed to click the upload button to transmit the report. In regards to the 2010 June Semi-Annual Report, Mr. Paskus stated his computer had crashed and lost all committee data therefore requiring him to re-create the file. Because of this, he was unable to file the report by the deadline.

Since 2008 the Committee has been aware of their requirement to electronically file campaign disclosure reports; however, they have a pattern of filing the reports late. They were over a month late on the 2009 December and 2010 June Semi-Annual reports. It appears Mr. Vaznelis and Mr. Paskus were aware of their difficulties and took a passive approach to bring the committee into compliance. In addition, the 2010 June Semi-Annual report shows zero receipts and expenditures for the period. Although the computer crashed, recreating a report with no activity requires minimal effort and should have been filed soon after the deadline. All political committees established under the Act are required to abide by the filing requirements regardless of the amount of activity or funds available. It is my opinion that the candidate and committee officers did not attend to the requirements of Illinois Campaign Disclosure

resulting in late filings and civil penalties. The defense given of running a small operation and failing to educate themselves on electronic filing is inadequate. Therefore I recommend the appeal is denied. If this recommendation is accepted by the Board, the total assessment is \$8,225.00. (As of 12/31/10 the committee reported an available funds amount of \$2,243.77).

A handwritten signature in cursive script, reading "Tara Cachur", written in dark ink.

Tara Cachur – Hearing Officer

January 24, 2010

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Daniel W. White
November 9, 2010

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Citizens for Sig
Al Ruglienus
930 Oldfield
Downers Grove, IL 60516

ID# 9379

Dear Committee;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2010 through June 30, 2010
Filing Period:	July 1, 2010 through July 20, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on September 25, 2010, 47 day(s) late. As such, this committee has been assessed a fine of \$3525.00.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
7/1/08 – 12/31/08	Semi-annual	\$200.00
1/1/09 – 6/30/09	Semi-annual	\$400.00
7/1/09 – 12/31/09	Semi-annual	\$4050.00
TOTAL AMOUNT NOW DUE		\$8225.00

If you have any questions regarding the appeal process, please call Sue Klos at 217/782-0608.

Sincerely,

Rupert T. Borgsmiller
Assistant Executive Director

RTB: sk
Enclosure(s) appeal documents

State of Illinois)
)
County of COOK)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 10JS222
)
CITIZENS FOR SIG)
Respondent(s).)

STATE BOARD OF ELECTIONS
10JSC-2 AMH:45

APPEAL AFFIDAVIT

I, SIGITAS P. VAZNELIS, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
CITIZENS FOR SIG
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

PLEASE SEE ATTACHED RESPONSE

Signed and Sworn to by:

before me this 1st Day of
December, 2009 2010.

Patricia M Sheppard
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS
IN THE MATTER OF:

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

CITIZENS FOR SIG

Respondent

Committee, first being duly sworn deposes and states that he represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

We are a small committee representing a local official (Lemont, IL Township Highway Commissioner) with extremely limited financial and personnel resources. The committee has no employees, no office, no computer equipment, and limited cash to administer committee business. We have attempted, to the best of our ability, to comply with all reporting requirements and disclosure information with the Board. At times, we have completed information on line only to find out that an "upload" feature needed to be used with the software. At no time was the committee attempting to circumvent any regulation in place; we are simply strapped with limited expertise and funds to employ an individual (at any cost) to monitor any and all mail and reporting. We are all new at this process; we have since learned what is necessary to remain in compliance with laws regarding election committees and commit ourselves to remain diligent with future reporting. As noted on our last report, our financial resources are certainly not very strong. At any one time, we may have an average of two to three thousand dollars available to the committee for expenses incurred; we are a small organization. However, we have made honest mistakes strictly due to being unaware of both the requirements and the software. Some of the reports were filed on paper returns only to find out later that electronic ones were necessary. We ask for your forgiveness on the basis of extreme financial hardship, the lack of any logistics available in staff or equipment, and the fact that this elective position is a very limited, local, and part-time office along with the fact that nothing was done in a manner that would suggest a non-caring or arrogant attitude on our (my) part.

Respectfully submitted with the Appeal Affidavit,

A handwritten signature in black ink, appearing to read "Sigita P. Vaznelis", with a stylized flourish at the end.

Sigita P. Vaznelis, Chairman and Lemont Township Highway Commissioner

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 MA 001

Citizens for Thaddeus Jones

ID# 12697

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the Pre-Election Report
And a Schedule A-1 for the 2010 General Election

The Pre-Election Report was received by the Board on October 22, 2010, 4 days late, resulting in a civil penalty assessment of \$500. The Committee also received a \$2500 contribution and a \$1914.65 in-kind contribution on 10/12/10, and listed them on a Schedule A-1 received by the Board on 10/18/10, 2 days late. The total assessment is \$4414.65.

Alesia McKinley, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. McKinley states that she encountered computer problems in trying to file the A-1 report. She says she immediately arranged to take off work and seek assistance at the Board's Chicago office, where she was able to complete the filings.

The Committee did attempt to file the two A-1 contributions on paper on 10/13/10. However the paper reports were considered non-filings under Section 100.150(c)(2) of the Board's Rules and Regulations, which states in part: "*A paper report shall be considered a non-filing if the committee has previously received the notification referred to in subsection(c)(1).*" This Committee had received the notification in February 2010 (after it filed several A-1 reports on paper) that all its reports must be filed electronically and future paper filings would be considered non-filings. While Ms. McKinley does not specify what computer problems she encountered, it is important to note that she did not seek electronic filing assistance from the Board until October 18 – six days after receiving the A-1 contributions. There additionally is no explanation as to why the Committee failed to file its Pre-Election Report until October 22, rather than on the filing deadline of October 18 when it received assistance on its other filings. I recommend the appeal be denied for lack of an adequate defense. However, in the case of the A-1 filings, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first election for which the Committee was delinquent in filing a Schedule A-1, I recommend the penalty for the A-1 violations be reduced to 10% of the original assessment, or \$442. If the Board accepts these recommendations, the total civil penalty

would be \$942 (including the \$500 penalty for the late Pre-Election Report). (As of 12/31/10, this Committee reported a funds available balance of \$10,327.90.)

A handwritten signature in dark ink, appearing to read "Tom Newman", is written over a horizontal line.

Tom Newman – Hearing Officer
March 11, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

BOARD MEMBERS
Bryan Schneider, Chairman
Wanda Rednour, Vice Chairman
Patrick Brady
John Keith
William McGuffage
Albert Porter
Jesse Smart
Robert Walters

Citizens for Thaddeus Jones
Alesia McKinney
338 Crandon Ave.
Calumet City, IL 60409

12697

Dear Citizens for Thaddeus Jones;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Pre-Election Report of Campaign Contributions
Report Period:	July 1, 2010 through October 3, 2010
Filing Period:	October 4, 2010 through October 18, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on October 22, 2010, 4 day(s) late. As such, this committee has been assessed a fine of \$500.00.

In addition, this committee failed to file the following documents during the requisite filing period:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
IBEW	10/12/2010	\$2500	\$2500	10/18/2010	2
Democratic Party of Illinois	10/12/2010	\$1914.65	\$1914.65	10/18/2010	2

The committee is fined a **total** of \$4414.65 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

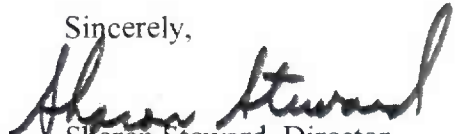
Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$442.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.***

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217/782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS:jr

State of Illinois)
County of Cook)

CHICAGO

11 FEB 16 AM 8 32

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizen for Thaddeus Jones)
Respondent(s).)

Case No. 11 MA001

APPEAL AFFIDAVIT

I, Alesia McKinley, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Thaddeus Jones
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I Alesia McKinley encountered computer problems during the date of the listed A-1 reports. I immediately arranged to take off work to seek assistance at the Chicago location. The representative there assisted me in filing the A-1s from the Chicago office. This is the first offense, beyond my control, asking for leniency.

Signed and Sworn to by:

before me this 17th Day of
February 2011

Cassandra Holbert
Notary Public

Alesia McKinley
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 DS 055

Will County Young Republicans

ID# 14432

Respondent

REPORT OF HEARING EXAMINER

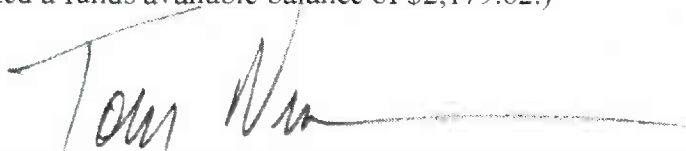
Appeal of Civil Penalty Assessment
For Delinquently Filing the 2010 December Semi-Annual Report

The Report was received by the Board on January 31, 2011, 7 days late, resulting in a civil penalty assessment of \$350. Additionally, the Committee had previously been assessed a \$100 civil penalty (not appealed, stayed) for delinquently filing the 2008 December Semi-Annual Report. The total assessment is \$450.

Gretchen Fritz, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Fritz says the Committee's previous treasurer was irresponsible in his duties, and failed to file the Report on time despite repeated reminders. Ms. Fritz states she was unable to file the Report because she did not have possession of or access to the Committee's financial records. She adds that the Treasurer was recently replaced.

While the situation described is an unfortunate one for the Committee, the fact remains that it is purely an internal matter and does not relieve the Committee of its obligation to file reports in a timely fashion. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous civil penalty and the total assessment of \$450 will be due and owing. (As of 12/31/10, this Committee reported a funds available balance of \$2,179.62.)



Tom Newman – Hearing Examiner
March 30, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2010

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Will County Young Republicans
Gretchen Fritz
14507 S Naperville Rd
Plainfield, IL 60544-3331

ID# 14432

Dear Will County Young Republicans;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 31, 2011, 7 day(s) late. As such, this committee has been assessed a fine of \$350.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.***

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
July 1, 2008 to December 31, 2008	Semi-Annual Report	\$100
TOTAL AMOUNT NOW DUE		\$450

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s) appeal documents

State of Illinois)
County of Will)

STATE BOARD OF ELECTIONS

11 MAR 17 PM 2:07

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Will County Young Republicans)
Respondent(s))

Case No. 11DS055

APPEAL AFFIDAVIT

I, Gretchen Fritz, the Chairman / Treasurer of the
(Name) (Chairman/Treasurer)
Will County Young Republicans
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Our past treasurer, Jeff Wartman, was completely irresponsible in his duties in 2010 - early 2011. I reminded him about filing the D-2 reports every time, to no avail. I could not file the reports because I did not have possession of or access to our financial records. Jeff Wartman was recently replaced as treasurer of our club.

Signed and Sworn to by:

Gretchen Fritz

before me this 15 Day of

March 2011, 2009

Theresa Atilano

Notary Public

Gretchen Fritz
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

10 AP 043

Citizens for David E Miller 14923

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 for the 2010 General Primary

This committee received two contributions of \$1,000 on 1/19/10 and one contribution of \$1,000 on 1/28/10, but failed to list them on a Schedule A-1, resulting in a civil penalty of \$3,000. In addition, this committee was previously assessed a \$914 civil penalty (appealed, appeal granted) for failing to file a contribution on a Schedule A-1 for the 2003 CE; \$25,500 (appealed, appeal granted) for delinquently filing eight contributions on a Schedule A-1 for the 2010 GP; \$50 (not appealed, stayed) for the delinquent filing of the June 2010 semi-annual report. The total assessment is \$3,050.

The respondent did not appear for the January 4, 2011 appeal hearing. The hearing officer attempted to contact the respondent on January 11, 2011 and left a telephone message for David Miller.

The Respondent did not appear at the appeal hearing and I have not talked to nor have I heard from them regarding this appeal. I am basing my recommendation on the information the committee supplied on the appeal affidavit that they submitted to the State Board of Elections

The respondent indicated on the appeal affidavit that the committee needed time to review their records to determine mitigating factors.

I recommend that the appeal be denied for lack of an adequate defense. Furthermore, I recommend since there is no indication that this violation was anything other than inadvertent and unintentional and since this is the committee's first violation involving a Schedule A-1, I also recommend that the penalty be reduced to 10% of the original assessment or \$300. If this recommendation is accepted by the Board, the civil penalty totaling \$350 will be due and owing. (As of 6/30/10 this committee reported a funds available balance of \$158,992.21.)



Andy Nauman – Hearing Officer

January 15 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Daniel W. White
November 9, 2010

Citizens for David Miller
David E Miller
672 Calhoun
Calumet City, IL 60409

14923

Dear Citizens for David Miller;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
K12 Management Inc	1/19/10	1000.00	1000.00	*not filed	8
Citz for Elizabeth Hernandez	1/28/10	1000.00	1000.00	*not filed	1
William Davis for State Rep	1/19/10	1000.00	1000.00	*not filed	8

The committee is fined a **total** of \$3000.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$300.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)

CHICAGO

County of COOK)

10 DEC -9 PM 2:03

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 10 AP 043

CITIZENS FOR DAVID MILLER)
Respondent(s).)

APPEAL AFFIDAVIT

I, DAVID MILLER, the CHAIRMAN of the
(Name) (Chairman/Treasurer)

CITIZENS FOR DAVID MILLER
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Allow time to REVIEW RECORDS
OF MIAMI-ADAMS FACTORY

Signed and Sworn to by:

David E Miller
before me this 09 Day of

September, 2009
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

10 MA 022

Friends of Zenovia G Evans (ID 16193)

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently
Filing the 2009 June Semi-Annual Report; the 2009 December Semi-Annual Report; and
The 2010 June Semi-Annual Report

On September 16, 2010, the Board received the 2009 June Semi-Annual Report, 291 days late, resulting in a civil penalty assessment of \$5,000; the 2009 December Semi-annual Report, 167 days late, resulting in a civil penalty assessment of \$5,000; and the 2010 June Semi-Annual Report, 41 days late resulting in a civil penalty assessment of \$3,075. In addition, this committee was assessed a \$50.00 civil penalty (not appealed, stayed) for delinquently filing the 2007 December Semi-Annual Report and a \$500 civil penalty (not appealed, not paid) for delinquently filing the Pre-Election Report for the 2009 Consolidated Election. The total outstanding assessment is \$13,625.00.

An appeal hearing was held in Chicago on January 5, 2011. Ms. Francis Richard-Bey, Treasurer and Ms. Zenovia Evans, Chairman appeared on behalf of the committee.

In the hearing, Ms. Richard-Bey stated she served as treasurer for the political committees, Moving Forward for Riverdale and Friends of Zenovia G Evans. Ms. Richard-Bey worked for the Village of Riverdale and was a candidate in the 2009 Consolidated Election. She was unable to handle all of these responsibilities along with losing her job at the same time; Ms. Richard-Bey neglected filing the Pre-Election and Semi-Annual reports. She did not inform other committee members of her failure to timely file the reports. Ms. Richard-Bey stated the committee is inactive; the bank account is empty and the committee is no longer in operation.

I am sympathetic of Ms. Richard-Bey's personal hardships and her actions to file the reports however, miscommunications and personal grievances are not an adequate defense. I recommend the appeal be denied. If the board should accept this recommendation, the total assessment is \$13,625.00. (The committee reported funds available of \$1,588.12 as of December 31, 2010.)



Tara Cachur – Hearing Officer

January 24, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Daniel W. White
November 9, 2010

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Friends of Zenovia G Evans
Zenovia Evans
14506 S Atlantic Ave.
Riverdale, IL 60827

L10677

Dear Friends of Zenovia G Evans;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2009 through June 30, 2009
Filing Period:	July 1, 2009 through July 20, 2009

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on September 16, 2010, 291 day(s) late. As such, this committee has been assessed a fine of \$5000.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2009 through December 31, 2009
Filing Period:	January 4, 2010 through January 20, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on September 16, 2010, 167 day(s) late. As such, this committee has been assessed a fine of \$5000.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type: Semiannual Report of Campaign Contribution and Expenditures
Report Period: January 1, 2010 through June 30, 2010
Filing Period: July 1, 2010 through July 20, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on September 16, 2010, 41 day(s) late. As such, this committee has been assessed a fine of \$3075.00.

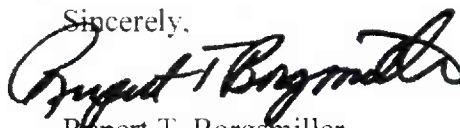
Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
7/1/07 – 12/31/07	Semi-annual	\$50.00
2009 Consolidated Election	Pre-election	\$500.00
<i>TOTAL AMOUNT NOW DUE</i>		\$13625.00

If you have questions regarding the appeal process, please call Sue Klos at 217/782-1543.

Sincerely,



Rupert T. Borgsmiller
Assistant Executive Director

RTB:sk

Enclosure(s): appeal forms

State of Illinois)
County of Cook)

CHICAGO
10 DEC -8 PM 12:11
STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
FRIENDS OF ZENOUIA C. EVANS)
Respondent(s).)

Case No. 10 MA 022

APPEAL AFFIDAVIT

I, FRANCIS RICHARD-BEY, the SECRETARY/TREASURER of the
(Name) (Chairman/Treasurer)
FRIENDS OF ZENOUIA C. EVANS
(Name of the Committee)

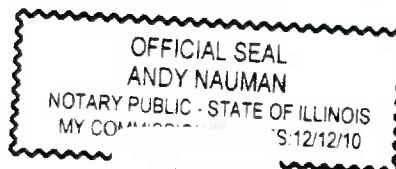
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

SEE ATTACHED

Signed and Sworn to by:

Francis Richard-Bey
before me this 8 Day of
December, ~~2009~~ 2010
Andy Nauman
Notary Public

Francis Richard-Bey
(Signature of Chairman/Treasurer)



November 8, 2010

State Board of Elections

Re: Friends of Zenovia G. Evans #16193 (10 CD 015)

This appeal is for three semiannual reports/fines and a fine amount that had previously been stayed:

1. **Semiannual report for January 1, 2009 and June 30, 2009 – fine amt. \$5,000.00.**
2. **Semiannual report for July 1, 2009 and Dec 31, 2009 – fine amt. \$5,000.00.**
3. **Semiannual report for January 1, 2010 and June 30, 2010 – fine amt. \$5,000.00.**

It is true the reports were not filed on the dates required. The reason for the negligence on my part happened because of the circumstances surrounding the election. As the treasurer of the committee and the person that filed the reports. I made the mistake during this period, of filing reports for two committees at the same time, 'Moving Forward for Riverdale' and 'Friends of G. Zenovia Evans' (both which I am asking for appeals on); I was also working full time for the Village of Riverdale while running for the position of Village Clerk, with the Moving Forward for Riverdale committee. I mentally took on more than I could possibly handle along with the fact that we lost the election.

After losing the election, I emotionally, mentally and physically shut down. I had worked for the Village of Riverdale for 21 years and was not mentally ready to becoming unemployed. Along with not filing the necessary reports, there were many other areas of my life that I have not handled correctly during this time. It has only been within the last four months that I have started taking care of this business and the other areas of my life that are so far behind.

I had been the person doing the committee reports for over eight years and had worked on more than one committee before, which was stressful, but this is the first time I ran for a position within the committee. The other committee members did not know how far behind the committee was in the filing requirements; they thought I was working on bringing everything up to date.

In September 2010, we filed all of the necessary reports, showing that we currently had \$1,588.12 in the account at the end of the first semiannual reporting period. The next two reports and a pre-election report that was filed in October shows that we did not have any additional funds received or expenses paid out since that time.

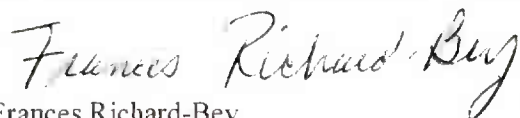
At this point we, (Zenovia Evans, Frances Richard-Bey, and Cheryl Foy), have collectively agreed to use the funds to pay towards whatever our fines will be, and at that time finalize the committee. We do not have any reason to continue that committee and we do not have plans to run for political office.

4. The previous fines that were stayed.

We are hoping that it will be taken into consideration that both reports were late by a minimal amount. One of them was one day, and the other was actually minutes past midnight.

I am sure your records show that since we filed all of the reports in September 2010, I have attended every hearing, responded to all mail, and I will continue to do this. I am available to answer any questions asked to help resolve this situation for the entire committee.

Thank you for the opportunity to appeal and for your consideration,



Frances Richard-Bey
Secretary/Treasurer
708/267-5881
fbey2000@yahoo.com

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AG 013

Friends for State Rep Anthony DeLuca

ID# 17153

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing a Schedule A-1 for the 2010 General Election

The Committee received four contributions totaling \$7500 on 10/12/10 and listed them on a Schedule A-1 received by the Board on 10/17/10, one day late. Additionally, the Committee had previously been assessed a civil penalty totaling \$2750 (appealed, appeal denied, paid) for delinquently filing three contributions on a Schedule A-1 for the 2010 General Primary Election. The total assessment is \$7500.

Matthew Fares, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Fares states that as in his previous appeal, he was not aware of the two business day filing requirement for a Schedule A-1. He previously believed the deadline was five days and only learned of the correct requirement as a result of receiving notice of the earlier violation. Mr. Fares notes that he did not receive that notice until November 9, 2010 – after the late A-1 in this case had already been filed.

While it is unfortunate that it may appear the Committee is being punished twice for the same type of offense without having a chance to correct its procedures, the fact remains that the Schedule A-1 filing requirements are clearly listed in numerous Board publications and on the A-1 form itself. I recommend the appeal be denied for lack of an adequate defense. Since the Schedule A-1 violation is the second for this Committee, I also recommend the \$7500 penalty be reduced to 50% of the original amount, or \$2750. If this recommendation is accepted by the Board, the \$2750 civil penalty will be due and owing. (As of 12/31/10, this Committee reported a funds available balance of \$24,462.31.)



Tom Newman – Hearing Officer
March 7, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan Schneider, Chairman
Wanda Rednour, Vice Chairman
Patrick Brady
John Keith
William McGuffage
Albert Porter
Jesse Smart
Robert Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Friends for State Rep Anthony DeLuca
Matthew Fares
513 Wood St
Chicago Heights, IL 60411

ID # 17153

Dear Committee;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Altria Client Services	10/12/2010	\$1000	\$1000	10/17/2010	1
Comcast Financial Agency	10/12/2010	\$3,000	\$3,000	10/17/2010	1
Illinois State Medical Society PAC	10/12/2010	\$1,000	\$1,000	10/17/2010	1
Illinois Trial Lawyers Association PAC	10/12/2010	\$2,500	\$2,500	10/17/2010	1

The committee is fined a **total** of \$7500.00 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$3750.00, (50% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

STATE BOARD OF ELECTIONS

11 FEB 22 AM 8:27

State of Illinois)
)
 County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

IN THE MATTER OF:)

ILLINOIS STATE BOARD OF ELECTIONS.)

Complainant)

vs.)

Friends for State Rep Anthony DeLuca,)

Committee ID#17153)

Respondent.)

10 AG 013

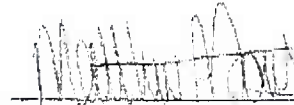
APPEAL AFFIDAVIT

I, Matthew A. Fares, the Treasurer of the Friends for State Rep Anthony DeLuca, Committee #17153, first being duly sworn depose and state that I represent the said committee and hereby offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

1. On January 11, 2010, The committee inadvertently filed an A-1 Report thirteen (13) days late.
2. On November 9, 2010, I received notice of this violation.
3. I issued the attached Affidavit explaining that said late filing was inadvertent and not intentional.
4. I was unaware of the two (2) business day filing requirement at the time I received the first notice of violation.
5. Accordingly, I was not aware of the two (2) business day requirement on October 17, 2010, at the time I filed the second A-1 Report.
6. Our filing procedures were revised and updated immediately upon notice of the first violation on or about November 9, 2010.

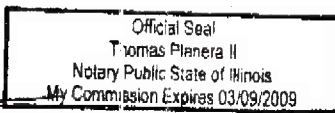
7 The filing of the present A-1 report filed one (1) day late is also not intentional and completely inadvertent.

Further affiant sayeth not.



Matthew A. Fares

SUBSCRIBED AND SWORN TO
before me this 18 day of
February, 2011


Notary Public

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 D1 01

Little Rock 8 Republican PAC

Cmte: 18401

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

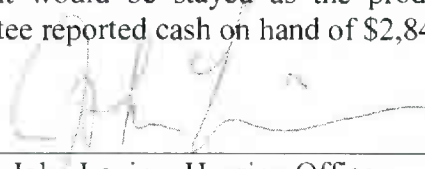
For Delinquently Filing the D-1 Statement of Organization

The Statement was received on July 8, 2010, 44 days late, resulting in a civil penalty assessment of \$1100. This is the Committee's first assessment.

Attorney Deanna Mool testified on behalf of the Respondent. She also submitted a signed affidavit on behalf of Treasurer Larry Nelson.

Ms. Mool testified the Committee previously was active between 2004 and 2009. Upon deciding to reactivate the Committee, Treasurer Nelson sent the Board a D-1 Statement of Organization on January 26, 2010, via Priority Mail, from the U.S. Post Office in Plano, IL. The D-1 featured a Date of Creation of January 25, 2010. However, Ms. Mool testified the Committee did not exceed the \$3000 receipt threshold for mandatory reactivation until April 20, 2010. The assessment was based on the April date. Ms. Mool testified the D-1 sent by Mr. Nelson was lost by the Postal Service. When he realized in checking the Board's web site in July that the Committee was not considered active, Mr. Nelson contacted Ms. Mool, and she hand-delivered the document on July 8, 2010, at the Board's Springfield office. She provided as additional evidence e-mail communications between the parties supporting her timeline in this matter. In a follow-up phone conversation, Ms. Mool indicated there is no evidence such as a receipt from the Post Office confirming the D-1 was originally mailed in January, 2010.

While I sympathize with the Committee, I recommend that the appeal be denied for lack of an adequate defense. There is no reason to doubt any of the testimony or evidence offered by the Respondent. However, the Rules that accompany the Act offer no relief with respect to postal delays in the timely delivery of a Statement of Organization. If the Board accepts this recommendation, the assessment would be stayed as the product of a first offense. As of December 31, 2010, the Committee reported cash on hand of \$2,842.00.



John Levin – Hearing Officer
March 22, 2011

11 FEB 16 AM 10:19

State of Illinois)
)
 County of Sangamon)

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS)

Complainant)

) Case No. 1101-01

vs.)

Little Rock 8 Republican PAC)

Respondent.)

APPEAL AFFIDAVIT

I, Larry Nelson, the Chairman and Treasurer of the Little Rock 8 Republican PAC, first being duly sworn deposes and states that he represents the committee and that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

1. Pursuant to 26 Ill. Admin. Code 125.425(e)(5)(B)(i), Little Rock 8 Republican PAC believes there was no violation. If a violation existed, the Committee states that the violation was inadvertent and was not intentional.
2. The Little Rock 8 Republican PAC ceased operations on or about March 24, 2009 and closed the committee.
3. In late January, 2010, Little Rock 8 Republican PAC decided to resume operations and re-opened the account by executing a new D-1 requesting that the committee be re-opened.
4. I signed the D-1 to re-open the committee between January 26 and January 28, 2010.
 After signing the D-1, I placed it in a properly addressed, stamped envelope addressed to

the State Board of Elections and deposited the letter with the United States Postal Service.

5. On or about July 1, 2010, I prepared the committee D-2 (semi-annual report) for filing with the State Board of Elections. At this time, I accessed the State Board of Elections website and saw that the committee was still being shown as closed.
6. My counsel informed State Board of Elections of the problem. State Board of Elections asked to have the D-1 refiled and redated.
7. Through my attorney, I again signed the D-1 and dated it April 20, 2010 and July 8, 2010. April 20, 2010 was the date when the committee first received \$3,000 in contributions. July 8, 2010 was the date the D-1 was re-delivered to the State Board of Elections by my attorney.
8. My attorney spoke with State Board of Elections staff who indicated that, per State Board Rules, one lost filing due to Postal Service error was allowed.
9. This D-1 was filed 3 months early and only delayed due to error of the United States Postal Service.
10. There is no possibility that this error will re-occur.
11. The fine as proposed is exceedingly punitive.

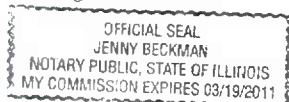
Signed and sworn to by:

Larry Nelson

Before me this 9th day of
February, 2011.

Jenny Beckman
Notary Public

Tony White
Signature of Chairman





FORM

D-1

STATEMENT OF ORGANIZATION

PLEASE TYPE OR PRINT IN BLACK INK

Full name and complete mailing address of Political Committee:

Little Rock 8 Republican PAC
c/o Larry Nelson
R.R. 1
Plano, IL 60545-2100

E-MAIL ADDRESS: larry.nelson@nelsonmultimedia.net

☐ CHECK HERE IF ADDRESS CHANGE

FOR OFFICE USE ONLY

THIS FORM MAY BE
TRANSMITTED BY FAX.
THE ORIGINAL MUST BE
FORWARDED ON THE DAY
OF FAX TRANSMITTAL.

POLITICAL COMMITTEE
IDENTIFICATION NO.

fid2805

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

1.	DATE COMMITTEE CREATED: 01/25/10	2.	AMOUNT OF FUNDS AVAILABLE FOR CAMPAIGN EXPENDITURES AS OF THE DATE THE COMMITTEE WAS CREATED: \$ 0.00
3.	<input type="checkbox"/> NEW COMMITTEE <input checked="" type="checkbox"/> REACTIVATING <input type="checkbox"/> AMENDMENT: (MUST BE FILED WITHIN 10 DAYS OF ANY CHANGES)		
4.	<p>POLITICAL COMMITTEE'S AREA OF ACTIVITY, SCOPE AND PARTY AFFILIATION:</p> <p>A - <input type="checkbox"/> STATE POLITICAL COMMITTEE <input checked="" type="checkbox"/> STATE & LOCAL POLITICAL COMMITTEE <input type="checkbox"/> LOCAL POLITICAL COMMITTEE</p> <p>B - IF THIS IS A LOCAL OR A STATE & LOCAL POLITICAL COMMITTEE, PLEASE LIST THE COUNTY OR COUNTIES IN WHICH IT WILL OPERATE: Kendall</p> <p>C - THIS COMMITTEE WILL PRIMARILY: <input type="checkbox"/> SUPPORT OR <input type="checkbox"/> OPPOSE CANDIDATES FOR LOCAL OR STATE OFFICE.</p> <p>D - THIS COMMITTEE WILL: <input type="checkbox"/> SUPPORT OR <input type="checkbox"/> OPPOSE QUESTIONS OF PUBLIC POLICY.</p> <p>E - POLITICAL PARTY AFFILIATION: Republican</p> <p>F - COUNTY OF RESIDENCY OF CANDIDATE: _____</p>		
5.	PURPOSE (S) OF THE POLITICAL COMMITTEE * Support state and local Republican candidates for public office.		
6.	CANDIDATE (S) THE COMMITTEE IS SUPPORTING OR OPPOSING. *(IF AMENDING, LIST ALL AS OF TODAY'S DATE)		

NAME AND ADDRESS	SUPPORT	OPPOSE	OFFICE	PARTY AFFILIATION
Various				

*IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

NAME OF POLITICAL COMMITTEE Little Rock 8 Republican PAC	POLITICAL COMMITTEE IDENTIFICATION NUMBER
---	--

7. REQUIRED COMMITTEE OFFICERS.* (IF AMENDING, LIST ALL AS OF TODAY'S DATE.)

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS
CHAIRMAN	Larry Nelson	R.R. #1, Plano, IL 60545-2100
TREASURER	Larry Nelson	R.R. #1, Plano, IL 60545-2100

8. POSITION, NAME & MAILING ADDRESS OF EACH CUSTODIAN OF THE COMMITTEE'S BOOKS AND ACCOUNTS.* (IF AMENDING, LIST ALL AS OF TODAY'S DATE.)

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS
Treasurer, Chairman	Larry Nelson	R. R. #1, Plano, IL 60545-2100 (630) 552-7018 larry.nelson@nelsonmultimedia.net

9. LIST OF ALL FINANCIAL INSTITUTIONS AND OTHER REPOSITORIES OF THE COMMITTEE FUNDS.* (IF AMENDING, LIST ALL AS OF TODAY'S DATE.)

NAME	MAILING ADDRESS AND PHONE NUMBER
Castle Bank	141 W Lincoln Hwy Decatur IL.

10. DISPOSITION OF RESIDUAL FUNDS IN THE EVENT OF DISSOLUTION OR TERMINATION OF THE COMMITTEE:

☐ RETURN TO CONTRIBUTORS IN AMOUNTS NOT TO EXCEED THEIR INDIVIDUAL CONTRIBUTIONS.

☐ TRANSFER TO ANOTHER POLITICAL COMMITTEE: _____

☒ TRANSFER TO A CHARITABLE ORGANIZATION: _____

*IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

VERIFICATION

I DECLARE THAT THIS STATEMENT OF ORGANIZATION (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE STATEMENT OF ORGANIZATION AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS A BUSINESS OFFENSE SUBJECT TO A FINE OF AT LEAST \$1000 AND UP TO \$5000.

[Signature] Larry Nelson, Treasurer 01/25/2010 4/20/2010 7/8/2010

PRINTED AND WRITTEN SIGNATURE OF TREASURER OR CANDIDATE DATE

THE ILLINOIS STATE BOARD OF ELECTIONS REQUIRES THE DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1183. WILLFUL FAILURE TO FILE OR WILLFUL FILING OF FALSE OR INCOMPLETE INFORMATION REQUIRED BY THIS ARTICLE SHALL CONSTITUTE A BUSINESS OFFENSE SUBJECT TO A FINE OF UP TO \$5000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT.

STATE POLITICAL COMMITTEE RETURN TO:
STATE BOARD OF ELECTIONS
1020 S SPRING ST
SPRINGFIELD, IL 62704-2824

LOCAL POLITICAL COMMITTEES AND
STATE AND LOCAL POLITICAL COMMITTEES RETURN
ORIGINAL TO:
STATE BOARD OF ELECTIONS AND A COPY TO EACH
APPROPRIATE COUNTY CLERK.

Deanna Mool

From: Deanna Mool <dmool@mool-law.com>
Sent: Friday, January 22, 2010 2:58 PM
To: larry.nelson@nelsonmultimedia.net
Subject: D-1
Attachments: d1-reopen-lr8.pdf

Larry:

Here is the D-1 mostly filled in. You can either fill in Number 9 with the bank information or send me the information and I will fill it in for you.
You can then sign and send to SBE for filing.

If you want, you can sign it, send it to me, and I can hand file it. Let's try to file it before you spend more than \$3,000 if we can.

Remember, any contribution of \$500 or more, you have to file within 48 hours of the contribution on Form A-1 because we are so close to the Primary.

Deanna S. Mool

Mool Law Firm, LLC

P. O. Box 8624

Springfield, IL 62791-8624

(217) 523-6665

(217) 523-0655 (fax)

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Deanna Mool

From: Larry.Nelson <larry.nelson@nelsonmultimedia.net>
Sent: Thursday, July 01, 2010 10:56 AM
To: Deanna (L R 8) Mool
Subject: Little Rock 8 D2 July 2010
Attachments: Little Rock 8 D-1 1-25-10.pdf; Little Rock 8 D-2 Draft 7-1-10.pdf

Deanna,

Good talking with you, after we talked about L R 8 and filing the D2, I went in and looked up LR 8 @ the SBE and the still show it close out... I sent the form you filled out back in JAN. (attached) to the SBE, should I re sent it ?? I opened the ck account in Jan with \$100 and added \$10,000 4-20-10,, we spent a total of \$2,000 in April and May 2010.

Balance on hand \$ 8,100.00. Draft of D-2 attached

let me know

Larry

Deanna Mool

From: Deanna Mool <dmool@mool-law.com>
Sent: Thursday, July 08, 2010 8:08 AM
To: 'Larry.Nelson'
Cc: dmool@mool-law.com
Subject: RE: Little Rock 8 D2 July 2010

Larry:

I spoke to St. Board of Elections. They confirmed they did not receive the D-1. I will hand-deliver the copy you sent me today but I need an original to give them. I suggest you resign your copy of the scan, leave the original date and send it to me. My address is PO Box 8624, Springfield, IL 62791.

I want you to send it to me so I can put a cover letter on it that you sent the D-1 in January and it was not received by them. Hopefully, this will minimize any complaint that you didn't file on time when you did-they just didn't get it.

-Deanna

Deanna Mool

From: Larry Nelson <larry.nelson@nelsonmultimedia.net>
Sent: Friday, July 09, 2010 8:24 AM
To: Deanna Mool
Cc: dmool@mool-law.com
Subject: Little Rock 8 D1

Deanna,'

Re Signed and mailed to you yesterday...Larry

----- Original Message -----

From: Deanna Mool <mailto:dmool@mool-law.com>
To: 'Larry Nelson' <mailto:larry.nelson@nelsonmultimedia.net>
Cc: dmool@mool-law.com
Sent: Thursday, July 08, 2010 8:07 AM
Subject: RE: Little Rock 8 D2 July 2010

Larry:

I spoke to St. Board of Elections. They confirmed they did not receive the D-1. I will hand-deliver the copy you sent me today but I need an original to give them. I suggest you resign your copy of the scan, leave the original date and send it to me. My address is PO Box 8624, Springfield, IL 62791.

I want you to send it to me so I can put a cover letter on it that you sent the D-1 in January and it was not received by them. Hopefully, this will minimize any complaint that you didn't file on time when you did—they just didn't get it.

-Deanna

Deanna Mool

From: Deanna Mool <dmool@mool-law.com>
Sent: Thursday, July 15, 2010 10:11 AM
To: 'Larry.Nelson'
Subject: got the D-1

The envelope was trashed but the contents is fine. It looks like a car ran over it and was in an clear bag that apologized for the damage. I think I will take it with me to State Board.

Deanna S. Mool

Mool Law Firm, LLC

P. O. Box 8624

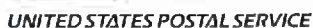
Springfield, IL 62791-8624

(217) 523-6665

(217) 523-0655 (fax)

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To: /Destinataire:

Deanna Mool
PO Box 8624
Springfield, IL 62791

Country of Destination: / Pays de destination:



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Cradle to Cradle CertifiedSM is a certification mark of MBDC.



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**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 DS 108

Vote Bob Scott
Cmte ID: 20381
Respondent

REPORT OF HEARING OFFICER

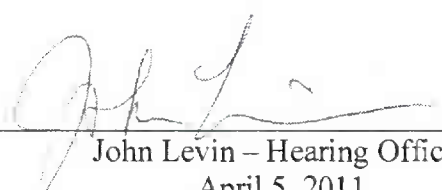
Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2010 Semi-Annual Report

The Report was received January 28, 2011, 6 days late, resulting in an assessment of \$300. The Committee was previously assessed \$500 for delinquently filing the June 2009 Semi-Annual Report.

Robert Scott, the Candidate and Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Scott stated that "personal and financial hardships brought on by the decline in the economy" were behind the late filing. He said the late filing was unintentional, and he has filed a Final Report.

I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, a total of \$800 would be due and owing. As of December 31, 2010, the Committee's cash balance was reported as \$776.53. The Committee's Final Report -- received in the Board's Chicago office on January 28, 2011 -- does not appear to indicate how the balance was disbursed. Board staff contacted Mr. Scott and instructed him to file an amended Final Report in order to show the expenditure(s). He said he intends to do so. Assuming the amended Final Report is acceptable, I further recommend that, should the Committee remain dissolved for a period of 2 years following the date of the Final Order imposing the penalty, the fine be abated.



John Levin -- Hearing Officer
April 5, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2010

Vote Bob Scott
Robert Scott, Frances Scott
777 Oakwood Dr
Westmont, IL 60559-1227

ID# 20381

Dear Vote Bob Scott;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 28, 2011, 6 day(s) late. As such, this committee has been assessed a fine of \$300.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.***

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
January 1, 2009 to June 30, 2009	Semi-Annual Report	\$500
TOTAL AMOUNT NOW DUE		\$800

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s) appeal documents

State of Illinois)
County of DuPage)

STATE BOARD OF ELECTIONS

11 MAR 28 AM 11:12

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Vote Bob Scott)
Respondent(s).)

Case No. 41 DS 108

APPEAL AFFIDAVIT

I, Robert Scott, the Chairman of the
(Name) (Chairman/Treasurer)
Vote Bob Scott Committee
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Due to personal + Financial hardships brought on by
the decline in the economy, numerous errors were made
by me concerning important issues. It was never my
intent to create the pending problem. I have dissolved
the committee and have enclosed a receipt of such action

Signed and Sworn to by:
ROBERT SCOTT
before me this 24 Day of
MARCH 2009/2011
Katherine Kania
Notary Public

Robert Scott
(Signature of Chairman/Treasurer)



2/4/2011

Vote Bob Scott
777 Oakwood Dr
Westmont, IL 60559-1227

State of Illinois
State Board of Elections
Campaign Disclosure Division

1020 South Spring St.
PO Box 4187
Springfield, Illinois 62708

100 West Randolph St.
Suite 14-100
Chicago, Illinois 60601

Candidate 20381 12
Vote Bob Scott

PLEASE RETAIN THIS RECEIPT FOR YOUR RECORDS

The Illinois State Board of Elections has received the following document(s) from your committee.
If this information is incorrect, notify our office at (217)782-4141 or (312)814-6440.

1/28/2011 3:57:48PM	Received in Chicago PMD 01/26/2011	D-2 Semiannual Report
1/28/2011 4:00:02PM	Received in Chicago	D-2 Final Report

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 DS 116

Committee to Elect Marty P Leffler ID# 20736

Respondent

REPORT OF HEARING EXAMINER

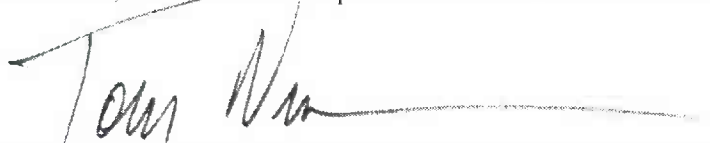
Appeal of Civil Penalty Assessment
For Delinquently Filing the 2010 December Semi-Annual Report

The Report was received by the Board on January 31, 2011, 7 days late, resulting in a civil penalty assessment of \$350. In addition, the Committee had previously been assessed a \$75 civil penalty (not appealed, stayed) for delinquently filing the 2008 December Semi-Annual Report. The total assessment is \$425.

Marty P. Leffler, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Leffler questions the need for him to file disclosure reports in the years not pertaining to him running for public office. He also indicates the late filing of the Report in question was the result of a heavy work load in his job as County Coroner that distracted him from taking care of the report filing.

Illinois disclosure law requires political committees to continue filing disclosure reports until such time as they dissolve or determine they will no longer receive or expend money – and file a Final Report with the Board. Since this Committee has not yet filed a Final Report, it must continue to file disclosure reports as they come due. While I understand Mr. Leffler's frustration, it should also be pointed out that for a committee like his, with no activity to report, the filing of a report should be a minor inconvenience at best, taking a very short time to complete. I suggest the Committee consider filing a Final Report to cease its operations, until such time as Mr. Leffler seeks re-election and re-activates the Committee (remaining Committee funds would have to be used to pay any outstanding penalties). I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the earlier civil penalty, and the total assessment of \$425 will be due and owing. (As of 12/31/10, this Committee reported a funds available balance of \$57.)



Tom Newman – Hearing Examiner
March 31, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2010

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Committee to Elect Marty P Leffler
Alisa Leffler, Marty Leffler
210 W Boling St
Benton, IL 62812-1811

ID# 20736

Dear Committee to Elect Marty P Leffler;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 31, 2011, 7 day(s) late. As such, this committee has been assessed a fine of \$350.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.***

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
July 1, 2008 to December 31, 2008	Semi-Annual Report	\$75
TOTAL AMOUNT NOW DUE		\$425

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s) appeal documents

State of Illinois)
)
 County of _____)

STATE BOARD OF ELECTIONS

11 MAR 21 AM 10:17

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
 ILLINOIS STATE BOARD OF ELECTIONS,)
)
 Complainant)
)
 Vs.)
)
COMMITTEE TO ELECT MARTY P. LEFFLER)
 Respondent(s).)

Case No. 11 DS 116

APPEAL AFFIDAVIT

I, MARTY P. LEFFLER, the CHAIRMAN of the
 (Name) (Chairman/Treasurer)
COMMITTEE TO ELECT MARTY P. LEFFLER
 (Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

WHY DO I EVEN HAVE TO FILL OUT D-2'S
IN BETWEEN YEARS OF HAVING TO RUN FOR
OFFICE? I AM BUSY DOING MY JOB AS COUNTY
CORONER AND GET TOO IN DEPTH IN TAKING CARE
OF MY FAMILIES I SERVE AND OVER LOOK THIS.
THIS FILING IS FOR BIG TIME POLITICIANS NOT SMALL
GUYS LIKE ME.

Signed and Sworn to by:
MARTY P. LEFFLER

before me this 18th Day of

MARCH, 2009

Bernadette Statten
 Notary Public

3/18/11

Marty P. Leffler
 (Signature of Chairman/Treasurer)



Franklin County Coroner

Marty P. Leffler

March 17, 2011

Illinois State Board of Elections

This letter is in response to the letter and fine assessed to my Committee to Elect Marty P. Leffler.

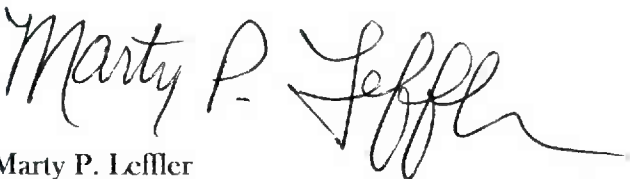
First of all I do not understand why I as an elected official have to file paperwork in the years not pertaining to me running for any office. I am about as low and bottom of the feeding chain that there is in the political realm of things, and my entire campaign cost was less than some individuals give to other candidates.

I am guilty of being late in filing this report first due to the fact I get covered up doing my job for the citizens that elected me in taking care of the many coroner cases that I handle in my county (325 in 2009, 341 in 2010) and am fulfilling my duties to the fullest and time creeps up upon me with taking care of these reports.

I wish someone would explain to me why I have to take time out of my obligations to the families I serve when I am not running for anything during these report periods.

Lastly I regret any penalties that have been placed upon my Committee to Elect Marty P. Leffler and if it is found that I still owe these fines, take them out of the money owed to my business and get it from Governor Quinn and the Illinois Comptroller cutting the IDHS re-imbursement of funerals, that has now caused a great burden and hardship upon my business and my family.

I am sorry, and will try to never let this happen again.



Marty P. Leffler

PHONE#(618)439-6850 EXT. 2501

FAX#(618)439-6850

e-mail: franklincountycoroner@yahoo.com

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AG 017

Friends of Jehan Gordon ID# 20886

Respondent

REPORT OF HEARING OFFICER

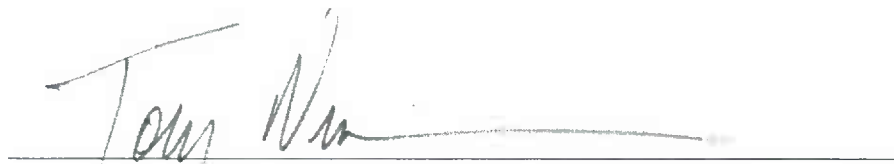
Appeal of Civil Penalty Assessment
For Delinquently Filing Schedule A-1s for the 2010 General Election

The Committee received an \$11,106.15 in-kind contribution on 10/17/10, a \$5000 in-kind contribution on 10/18/10, and a \$2353.11 in-kind contribution on 10/19/10 and listed all three on a Schedule A-1 received by the Board on 10/27/10, 4, 5, and 6 days late respectively. The total assessment is \$18,459.26.

Jean Polk, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Polk admits the A-1 filings were late, which she says was partly the result of the Committee's use of pro bono services of an outside accounting firm to file the Committee's reports. She says confusion about the reporting requirements along with a large volume of contributions during the campaign ultimately led to the late filings.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, I also recommend the penalty be reduced to 10% of the original amount, or \$1846. If this recommendation is accepted by the Board, the \$1846 civil penalty will be due and owing. (As of 12/31/10, this Committee reported a funds available balance of \$18,256.11.)



Tom Newman – Hearing Officer
March 16, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Friends of Jehan Gordon
Helen King
3403 N. Peoria Ave.
Peoria, IL 61603

ID# 20886

Dear Friends of Jehan Gordon;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Democratic Party of Illinois	10/17/2010	\$11,106.15	\$11,106.15	10/27/2010	6
Democratic Party of Illinois	10/18/2010	\$5000	\$5000	10/27/2010	5
Democratic Party of Illinois	10/19/2010	\$2353.11	\$2353.11	10/27/2010	4

The committee is fined a **total** of \$18,459.26.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1846.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)
County of Peoria)

STATE BOARD OF ELECTIONS

11 FEB 10 PM 12:09

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 10 AG 07

Friends of Jehan Gordon)
Respondent(s).)

APPEAL AFFIDAVIT

I, Jean Polk, the Treasurer of the
(Name) (Chairman/Treasurer)

Friends of Jehan Gordon
(Name of the Committee)

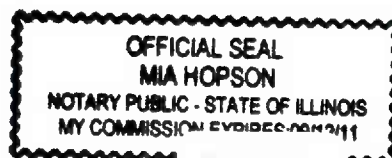
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached Memo

Signed and Sworn to by:

before me this 8th Day of
February, 2011
Mia Hopson
Notary Public

Jean A. Polk
(Signature of Chairman/Treasurer)



Memorandum

20886

To: State Board of Elections
From: Jean Polk, Treasurer, Friends of Jehan Gordon
Date: 1/30/2011
Re: Case 20886 Appeal Affidavit

To Whom it May Concern:

This committee admits to late filing of "In-Kind" contributions, which required A-1 filings within 2 business days of receipt as required by Illinois Campaign Disclosure Act. However, this Committee requests the State Board of Elections to consider the following extenuating circumstances:

1. The Friends of Jehan Gordon Committee uses pro bono services of an outside accounting firm to file the committee's reports with the State Board's IDIS system. As a result, during the final few weeks of the most recent campaign season, the volume of contributions in general and the "in-kind" contributions in particular tested the systems employed to report A-1s. For example, during the campaign, the Democratic Party of Illinois was making "in-kind" contributions that were not necessarily known to the Treasurer because an on-site Campaign Manager had assumed control of campaign activities. As such, "in-kind" contributions were made in Springfield, reported to the Campaign Office in Peoria, forwarded to the Treasurer and ultimately emailed or faxed to the accounting firm for reporting to the State Board of Elections via the IDIS system.
2. The three "in-kind" contributions identified on the Assessment letter dated January 20, 2011, were made on a Sunday, Monday and Tuesday in October. The contributions were not properly handled by the accounting firm's administrative staff. The staff person did not understand the significance of the faxes in contrast to other similar communications received during the year. As a result, they sat in a file until discovered by the CPA charged with the responsibility for filing the A-1s. It simply was a mistake and not an egregious intentional act to deceive the State Board or the public. The proof of this is the fact that all three were reported on the same day.
3. During the campaign, the method developed to handle monetary contributions involved a scanning and emailing process that proved to be much more efficient. The "in-kind" contributions, because of their nature, became a more awkward process and that awkwardness showed at the end. In the next 30-day period other systems will be created to prevent another occurrence. This committee's history and intent is to always report timely.

Therefore, on behalf of the Friends of Jehan Gordon, I respectfully request a reprieve from the penalties assessed as the committee believes this to be a unique and infrequent human error.

CONFIDENTIAL

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AG 018

Citizens for Mark Walker

ID# 20977

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing a Schedule A-1 for the 2010 General Election


The Committee received a \$5000 contribution on 10/12/10 and listed it on a Schedule A-1 received by the Board on 10/17/10, 1 day late. In addition, this Committee had previously been assessed a \$7400 civil penalty (appealed, appeal denied, paid) for failure to file a total of four contributions on a Schedule A-1 for the 2008 General Election. The total assessment is \$5000.

Mark Walker, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Walker states the \$5000 contribution was actually received from UAW Illinois PAC on 10/14/10, and was therefore reported in a timely manner. As evidence he encloses two exhibits. The first shows a Treasurer's report for the period ending 10/12/10 that does not include the UAW contribution. The second exhibit includes copies of checks for all contributions received by the Committee on 10/14/10, including the UAW contribution, and a bank deposit slip showing those items deposited in the Committee's account on 10/14/10.

While the Treasurer's report enclosed as evidence does not include the UAW contribution, the exhibit offers no definitive proof one way or the other as to when the contribution was actually received by the Committee. However, the evidence included in the second exhibit appears to refute Mr. Walker's claim regarding the date of receipt. The copies of checks in that exhibit include a \$1000 contribution received from Bernardin, Lochmueller & Associates, Inc., a \$1000 contribution received from C & G Consulting Partners, and a \$2500 contribution received from Claremont Extended Healthcare. Mr. Walker claims these three contributions, as well as the UAW contribution, were all received and deposited on October 14th. However, the three contributions were previously reported by the Committee as being received on October 12, both on a Schedule A-1 filed on 10/13/10 and on the Committee's Semi-Annual Report. It therefore seems likely the UAW contribution was also received on October 12, as originally reported by the Committee. I recommend the appeal be denied for lack of an adequate

defense. Since the Schedule A-1 violation was the second for this Committee, I also recommend the penalty for the delinquent Schedule A-1 be reduced to 50% of the original assessment, or \$2500. If these recommendations are accepted by the Board, the civil penalty of \$2500 will be due and owing. (As of 12/31/10, this Committee reported a funds available balance of \$14,256.61.)

A handwritten signature in black ink, appearing to read "Tom Newman", is written over a horizontal line.

Tom Newman – Hearing Officer
February 23, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan Schneider, Chairman
Wanda Rednour, Vice Chairman
Patrick Brady
John Keith
William McGuffage
Albert Porter
Jesse Smart
Robert Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Citizens for Mark Walker
Mark Walker
645 S Burton Pl
Arlington Heights, IL 60005

ID # 20977

Dear Committee;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
UAW PAC	10/12/2010	\$5000	\$5000	10/17/2010	1

The committee is fined a **total** of \$5000.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$2500.00, (50% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

11 FEB 22 AM 11:49

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens for Mark Walker)
Respondent(s).)

Case No. 10 AG 018

APPEAL AFFIDAVIT

I, Mark L. Walker, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens for Mark Walker
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The campaign contribution of \$5000.00 from UAW Illinois PAC was actually received October 14, 2010, and therefore was reported in a timely manner.
See attached Exhibit A which lists for my Treasurer contributions received thru October 12, 2010, wherein the UAW item does not appear.

See Exhibit B which shows all items received October 14, 2010 and deposited on that day, which includes the UAW item.

Signed and Sworn to by:

Mark Walker
before me this 18 Day of
February, 2011
Kathleen Sances
Notary Public

Mark Walker
(Signature of Chairman/Treasurer)

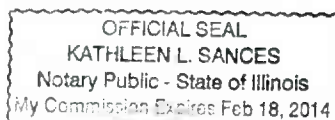


Exhibit A

Bert Schmitt

From: Elizabeth Moe [lizmoe79@yahoo.com]
Sent: Tuesday, October 12, 2010 6:35 PM
To: bert@bertschmitt.com
Subject: A1s
Attachments: contributions.xls

updated chart attached. tab 1 is comprised of checks. tab 2 contains in kinds.

note the in kinds dated oct 11 from DPI and the 20,000 wired in from DPI today.

Elizabeth Moe Garcia

Citizens for Mark Walker
1141 D S. Arlington Heights Road
Arlington Heights, IL. 60005
(847) 640-6619 - PH
(847) 640-1496 - FAX
(630) 673-3745 - Cell

4-Oct Act Blue

134.47

4-Oct	Conjia	Wilson Care, INC	465 Central Avenue, Suite 100	60093	500.00
4-Oct	John	Schlofrock	4544 North Hazel	60640	1,425.00
4-Oct		Alliance for Living PAC	One Northfield Plaza, Suite	60093	2,850.00
4-Oct		Albany Care Inc	901 Maple	60202	1,825.00
4-Oct		Bryn-Mawr Care, Inc	5547 N. Kenmore	60640	525.00
4-Oct		Decatur Manor Health	1016 W. Pershing Road	62526	225.00

4-Oct		Thornton Heights Terr	160 W. Tenth Street	60411	200.00
4-Oct		B & D Hotel Corporation	DBA Central Plaza Nursing Home	60644	500.00
4-Oct	Morris	Esformes	Distribution Account	712	4,250.00
4-Oct		Barton Management	465 Central Avenue, Suite 100	60093	500.00
4-Oct		Austine Mental Health	465 Central Avenue, Suite 100	60093	500.00
4-Oct	David	Burns, Chai Illinois State Conference	6820 Mill Road	61108	15,000.00

4-Oct	Darrin	Golden, Se Illinois State Conference	6820 Mill Road	61108	-
4-Oct		Golden, Se Illinois State Conference	6820 Mill Road	61108	50,000.00
4-Oct		Golden, Se Illinois State Conference	6820 Mill Road	61108	10,000.00

6-Oct Realtor Political Action po Box 19451

6-Oct	Nefalli	Garcia, Dir	McClintock	62794	250.00
8-Oct	Letitia	Dewith-Ar Hum	2085 Midw Carrollton	6006	1,000.00
8-Oct	John	Schlofrock	Living PAC One North	62705	500.00
9-Oct	Julie	Hanaos	Living PAC One North	60093	1,700.00
9-Oct	Flaine	Nekritz	Elaine Nek 3 One	60201	500.00
9-Oct	Lou	Lang	Elaine Nek 3 One	60062	500.00
9-Oct	Frank	Mautino	Elaine Nek 3 One	60076	5,000.00
9-Oct		Consolidation and Gen	999 McClintock	61362	5,000.00
9-Oct		Consolidation and Gen	999 McClintock	60527	20,000.00

12-Oct Act Blue

12-Oct		Clare	150 N. Weiland	60089	2,500.00
12-Oct		C&G	Partne 46 Clavens Rd	62712	1,000.00
12-Oct		Bernard	6200 Vogel Road	47715	1,000.00

204,615.80

THE PEOPLES' BANK OF ARLINGTON HEIGHTS

10 South Vail Avenue
1104 South Arlington Heights Road

Phone 847 368 0100
Phone 847 670 0909

Exhibit B(p.1)

Thank you!



PEOPLES' BANK

www.peoplesbanc.com

RECEIPT	DEPOSIT	200	10/14/10
	Transit	30	15:12:52
XXXXXXXXXXXXXXXXXXXX			*08414.66
Total Deposit			14519.21
*****ASK ABOUT OUR ON-LINE BANKING*****			

CUSTOMER RECEIPT - PLEASE RETAIN FOR YOUR RECORDS

DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL - MEMBER FDIC

Exhibit B (p.2)

Non-Negotiable Draft Stub - Detach and Retain for Your Records

← DETACH CHECK ALONG THIS PERFORATION →

THE BACK OF THIS CHECK CONTAINS A SECURITY MARK - DO NOT ACCEPT WITHOUT HOLDING AT AN ANGLE TO VERIFY SECURITY MARK



at&t

JPMorgan Chase Bank, N.A.
Syracuse, NY

50-937/213

Date 09/22/2010 Check Number 3313461642

Pay this amount
\$5,000.00

PAY
FIVE THOUSAND AND 00/100

17528227

SS

To the order of

CITIZENS FOR MARK WALKER
920 S STATE STREET
LOCKPORT IL 60441



Security
Features
Details on
Back

Void 180 days from check date

Jonathan P. Kling

Al received 10.14
* not reported

Exhibit B(p.3)



UAW ILLINOIS POLITICAL ACTION COMMITTEE (PAC)
680 Barclay Blvd.
Lincolnshire, IL 60069

MALGAMATED

Bank of Chicago

2-340/710
1



16389

UNION TREASURY FUNDS, FEDERAL LAW PROHIBITS
USE IN CONNECTION WITH FEDERAL ELECTION

This Payment Made Possible by UNION LABOR

PAY FIVE THOUSAND AND 00/100 DOLLARS
TO THE ORDER OF

DATE AMOUNT

10/05/10

**5000.00

VOID AFTER 180 DAYS

Ronald D McInroy

Spinal - fitch

MEMO 3

[REDACTED]

16389

Description	INVOICE	NET	Purpose
DONATIONS	5000.00	5000.00	CAMPAIGN CONTRIBUTION - MARK V

Fund	Vendor #	Check #	Date		
800-ILLINOIS STATE PAC F	691	016389	10/05/10	5000.00	5000.00
CITIZENS FOR MARK WALKER					

Exhibit B(4)

ACTBLUE ILLINOIS
CONDUIT STS
P.O. BOX 382110
CAMBRIDGE, MA 02238-2110

CITIBANK
ACH R/T 221172610
51-7261-2211

3000029568

06 OCTOBER 2010

PAY Nineteen and 21/100 *****
Not Valid After 90 Days

\$ *****19.21

TO THE ORDER OF Citizens for Mark Walker
PO Box 1373
Arlington Heights, IL 60006-1373

Two signatures required for amounts exceeding \$100,000

BERNARDIN, LOCHMUELLER & ASSOCIATES, INC.
6200 VOGEL ROAD
EVANSVILLE, IN 47715
(812) 479-6200

OLD NATIONAL BANK
EVANSVILLE, INDIANA 47705

091537

71-1
863

8/2/2010

NO. 091537

PAY *****1,000 DOLLARS AND *****00 CENTS \$ *****1,000.00

CITIZENS FOR MARK WALKER
PO BOX 1373
ARLINGTON HEIGHTS, IL 60006 US

BERNARDIN, LOCHMUELLER & ASSOCIATES, INC.

Keith Lochmueller
AUTHORIZED SIGNATURE

WARNING: THIS DOCUMENT IS VOID IF ACCOUNT NUMBER DOES NOT APPEAR ON THE REVERSE SIDE IN RED

Exhibit B (p.5)

1203

C & G CONSULTING PARTNERS

46 CRAVENS RD.
SPRINGFIELD, IL 62712-6411
(217) 528-0595

9-91-720

DATE 10-8-10

PAY
TO THE
ORDER OF

Citizens for Mark Walker

\$ 1,000.00

One thousand & 00/100

DOLLARS  Security Features
include
Details on Back

National City

FOR

campaign contribution

Cecelia Hylak-Reinholtz MP

THIS CHECK HAS A COLORED BACKGROUND AND CONTAINS MULTIPLE SECURITY FEATURES - SEE BACK FOR DETAILS

Claremont Extended Healthcare

153 N WEILAND, BUFFALO GROVE IL 60089

Bank of America
540 W MADISON
CHICAGO IL 60661

23-3
710

01000060

Check Date

09/30/2010

PAY *****TWO THOUSAND, FIVE HUNDRED DOLLARS

Check Amount

***\$2,500.00

TO THE
ORDER OF

CITIZENS FOR MARK WALKER
P.O. BOX 1373
ARLINGTON HEIGHTS IL 60008



AUTHORIZED SIGNATURE



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 DS 131

Friends of David Zimmerman ID# 21158

Respondent

REPORT OF HEARING OFFICER

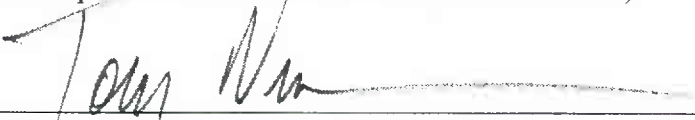
Appeal of Civil Penalty Assessment
for Delinquently filing the 2010 December Semi-Annual Report

The Report was received by the Board on February 2, 2011, 9 days late, resulting in a civil penalty assessment of \$225.

J. David Zimmerman, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Zimmerman states that his family purchased a new Apple computer in November of 2010 and ceased using its old Windows-based computer. He says in January, prior to the filing deadline, he attempted to download the Board's IDIS version 1.2 software to the new Apple computer and a Windows-based laptop, but the old software was no longer available. Mr. Zimmerman says he also stopped in the Board office in Springfield and offered to file his report on paper, but was told the Committee was required to file its reports electronically. Eventually, he got the old computer with the original software up and running, and was able to file the Report. Mr. Zimmerman adds that the Committee has had no activity for over two years and only a small balance.

This Committee is required to file its reports electronically, and had previously been warned after a paper report filing in January of 2009 that future paper filings from the Committee would not be acceptable. Although it is true that the old version (1.2) of the IDIS software was no longer available from the Board in January, Mr. Zimmerman does not explain why he did not attempt to use the new version (2.0) for his report filing. He should also have been able to prepare and file his Report using a computer in the Board office. I recommend the appeal be denied for lack of an adequate defense. As a first offense, the \$225 penalty is stayed. (As of 12/31/10, this Committee reported a funds available balance of \$69.21.)



Tom Newman – Hearing Officer

April 6, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert L. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2011

Friends of David Zimmerman
David Zimmerman
101 Forestview Rd
Morton, IL 61550-1105

ID# 21158

Dear Friends of David Zimmerman;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on February 2, 2011, 9 day(s) late. As such, this committee has been assessed a fine of \$225.00.

Enclosed please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward".

Sharon Steward

Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal forms

State of Illinois)
County of Tazewell)

STATE BOARD OF ELECTIONS

11 MAR 23 AM 10:19

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of David Zimmerman)
Respondent(s).)

Case No. 11 DS 131

APPEAL AFFIDAVIT

I, J. David Zimmerman, the Chairman of the
(Name) (Chairman/Treasurer)

Friends of David Zimmerman

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

- Please see attached -

Signed and Sworn to by:

before me this 21st Day of
March, 2011

Janet Hall
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



Let me begin by stating that I acknowledge that I am fully responsible for submitting my election paperwork in a timely manner and that I respect any decision ultimately made by this board.

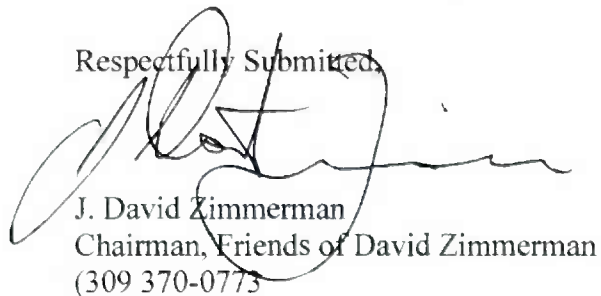
Having said that, I would like to have my paperwork violation removed from my record for the following reasons. First some background. In November of 2010, our family purchased a new Apple computer and we took our old Windows based computer off line. At the same time the Board of Elections was upgrading their software in preparation for changes in 2011. In January, before the deadline, I attempted to download the old 1.2 version to the new Apple computer and my Windows based laptop I use for work. Unfortunately, the old software was no longer available but I was still required to file electronically. I also stopped in the BOE office in Springfield and offered to manually fill out the paperwork. I was told that according to the law I had to file electronically.

I eventually got the old computer back with the original software on it and sent the report.

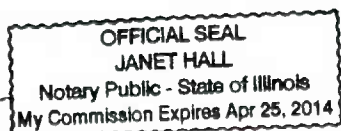
My bank balance has had no activity for over two years and has a balance of less than \$70.00. My reputation is important to me and that is why I am asking to have this removed from my record.

Again, I know that I am responsible for getting all my paperwork in on time and I will not allow this to happen again. Thank you in advance for any consideration you can give me.

Respectfully Submitted,



J. David Zimmerman
Chairman, Friends of David Zimmerman
(309 370-0773)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 DS 132

McDonough County Democratic Coalition
Cmte ID: 21214
Respondent

REPORT OF HEARING OFFICER

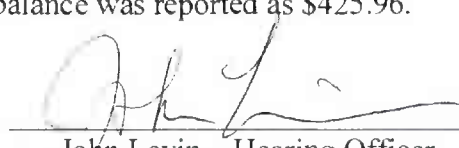
Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2010 Semi-Annual Report

The Report was received January 21, 2011, 1 day late, resulting in an assessment of \$25. The Committee has not been previously fined.

Richard Mathers, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Mathers indicated he entered the Committee's data into the IDIS 2.0 electronic filing program but was unable, over the course of two days, to successfully upload the Report. He put in a call to the Board for technical assistance roughly a week before the deadline. He was not home when Board staff returned his call. Mr. Mathers ultimately decided to mail the Report. He stated he placed it in the mail the night of January 18, 2010. There was no postmark on the envelope received by the Board at its Springfield office. While Mr. Mathers stated in the affidavit that he subsequently was able to upload an electronic report, said report has not been received by the Board. I have advised Mr. Mathers of this.

I recommend the appeal be denied. Section 100.150 of the Rules accompanying the Act states, in part, "If a committee is assessed a civil penalty for delinquently filing a report *required* to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal." (Emphasis added). The Committee was not required to file the Report in question electronically. Board staff will make every effort to ensure the Committee is able to report electronically, if it chooses or is required to do so in the future. If the Board accepts this recommendation, the fine would be stayed as the product of a first offense. As of December 31, 2010, the Committee's cash balance was reported as \$425.96.



John Levin – Hearing Officer
March 25, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert L. Walters

McDonough County Democratic Coalition
Richard Mathers
104 Oakland Ln
Macomb, IL 61455-1218

ID# 21214

Dear McDonough County Democratic Coalition;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

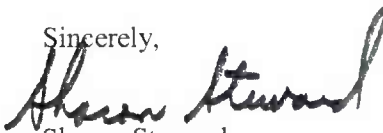
Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 21, 2011, 1 day(s) late. As such, this committee has been assessed a fine of \$25.00.

Enclosed please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal forms

State of Illinois)
County of McDonough)

STATE BOARD OF ELECTIONS

11 MAR 11 AM 9:09

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

vs.

McDonough County Democratic Coalition

Respondent(s).

No. 11DS132

APPEAL AFFIDAVIT

I, Richard A. Mathers, the _____ of the
(Name) (Chairman/Treasurer)

McDonough County Democratic Coalition

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I down loaded the IDIS 2.0 version about the 9th or 10th of January, 2011. I'm on an iMac with a program to use Windows 7. I finally got all the Committee data loaded and it would not send a report. Two days of trying to download and other failed efforts led to a call for assistance at the Board of Elections. I put in a call on the morning of the 13th or 14th and waited over four hours for a return call. I had to leave and got a call some time before 5pm but I did not get home in time to return the call to Ms. Kim Matres in your technical assistance office. Please contact her, she can provide the correct time and details.

I had to leave for work out of town the next day (I'm retired but do contact work on demand). I came home the night of 18th and completed a paper copy of the report and mailed it late that night and left before 6AM the next morning for work in Champaign. I put it in a street post box that I now know has a 4PM pick-up time and thus it was postmarked the 19th and arrived the 21st.

I called Ms. Kim Matres the next week and she downloaded the IDIS 2.0 with updates and I sent in a successful electronic report.

Had I unexpectedly not been called for contract work I clearly would have gotten the report in on time.

Subscribed and Sworn to Before me

This 10 Day Of MARCH, 20 11

Nancy Griffith
NOTARY PUBLIC

Richard A. Mathers Treasurer
(Signature of Chairman/Treasurer)

OFFICIAL SEAL
NANCY GRIFFITH
PUBLIC - STATE OF ILLINOIS
MISSION EXPIRES: 04/26/14

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 DS 133

Citizens for DuPar

ID# 21233

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
for Delinquently filing the 2010 December Semi-Annual Report

The Report was received by the Board on February 7, 2011, 12 days late, resulting in a civil penalty assessment of \$1200. In addition, the Committee was previously assessed a \$150 civil penalty (not appealed, stayed) for delinquently filing the 2008 December Semi-Annual Report. The total assessment is \$1350.

Joseph DuPar, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. DuPar states that the late filing was due to the death of the Committee's past Treasurer. He says the new Treasurer was unable to acquire the Committee's records because they had been stored in the old Treasurer's house. He includes a copy of the death certificate as evidence.

While I am sympathetic to the Committee's loss of its Treasurer, and the ensuing difficulty in obtaining all of the Committee's financial records, it must be pointed out that the death certificate shows the date of death as November 9, 2010. This gave the Committee more than two months to gather the necessary information to file its Semi-Annual Report, which should have been sufficient to allow for a timely filing. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous assessment, and the civil penalty assessment of \$1350 will be due and owing. (As of 12/31/10, this Committee reported a funds available balance of \$10,509.33.)



Tom Newman – Hearing Officer
April 1, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2010

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Citizens for Dupar
Joseph Dupar
12725 S Ada St
Calumet Park, IL 60827-6138

ID# 21233

Dear Citizens for Dupar;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on February 7, 2011, 12 day(s) late. As such, this committee has been assessed a fine of \$1200.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.***

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
July 1, 2008 to December 31, 2008	Semi-Annual Report	\$150
TOTAL AMOUNT NOW DUE		\$1350

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s) appeal documents

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

11 MAR 23 AM 10:03

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens for DuPar)
Respondent(s).)

Case No. 11 OS 133

APPEAL AFFIDAVIT

I, Joseph DuPar, the Chairman of the
(Name) (Chairman/Treasurer)

Citizens for DuPar

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

PLEASE SEE ATTACHMENT A & B., & C

Signed and Sworn to by:

JOSEPH DUPAR
before me this 15th Day of
March 2008
Donna M. Davis
Notary Public

Joseph DuPar
(Signature of Chairman/Treasurer)



ATTACHMENT A

March 14, 2011

Re: I.D. #21233

Dear Illinois State Board of Elections:

This letter is a written appeal in the matter of the Illinois State Board of Elections versus Citizens for DuPar.

Response:

Respondent's reason for the 12 days late filing was regretfully, due to the death of its past Treasurer, Thomas J. Griffin. Please see Attachment B.

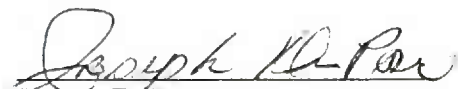
Mr. Griffin was the sole custodian of the records. These records were in the office of Mr. Griffin's home at 12546 S. Ada, Calumet Park, IL 60827.

The current Treasurer, Mary R. Ryan was unable to acquire all of the records from his office that were needed to complete the filing between January 3, 2011 through January 20, 2011 due to the unfortunate passing of Mr. Griffin; therefore, the 12 days late filing.

Due to the death of Mr. Griffin and the current Treasurer's difficulty obtaining all of the records within the requisite time, we are requesting your consideration of this appeal and forgiveness of our Committee, Citizens for DuPar, for the late filing as well as the Civil Penalty Assessment of \$1,200.00.

Regarding the matter of the July 1, 2008 to December 31, 2008 Semi-Annual Report, this report was to my knowledge timely filed by the former Treasurer, James R. Riedel. Please see Attachment C, Form D-2 for that filing period.

Again, thank you in advance for your consideration.


Joseph DuPar

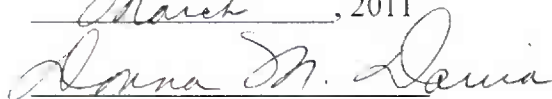
Citizens for DuPar
708-307-5548
708-372-1958

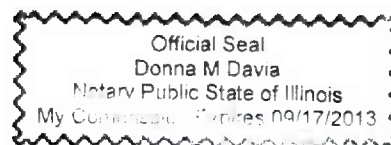
Signed and Sworn to by:



Before me this 15th day of

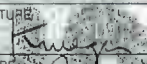

March, 2011


NOTARY PUBLIC



STATE OF ILLINOIS
CERTIFICATE OF DEATH

STATE FILE NUMBER

REGISTRATION DISTRICT NO. 16-31		LOCAL FILE NUMBER 239		16-31	
1. DECEASED'S LEGAL NAME (Include AKAs, last, first, middle, last)		2. SEX		3. DATE OF DEATH (Month/Day/Year) (Specify Month)	
Thomas John Griffin		Male		November 9, 2010	
4. COUNTY OF DEATH		5a. AGE AT LAST BIRTHDAY (Years)		5b. UNDER 1 YEAR	
Cook		67		5c. UNDER 1 DAY	
7a. CITY OR TOWN		7b. HOSPITAL OR OTHER INSTITUTION NAME (If not in either, give street and number)		6. DATE OF BIRTH (Month/Day/Year)	
Blue Island		MetroSouth Medical Center		October 16, 1943	
8. BIRTHPLACE (City and State or Foreign Country)		9. SOCIAL SECURITY NUMBER		10. MARITAL STATUS AT TIME OF DEATH	
Newburyport, MA		332-34-3756		<input checked="" type="checkbox"/> Married <input type="checkbox"/> Divorced <input type="checkbox"/> Widowed <input type="checkbox"/> Never Married <input type="checkbox"/> Unknown	
11. SURVIVING SPOUSE'S NAME (If wife, give full name prior to first marriage)		12. EVER IN U.S. ARMED FORCES?		13. INSIDE CITY LIMITS?	
Virginia L. Fleischman		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
14. FATHER'S NAME (First, Middle, Last)		15. MOTHER'S NAME PRIOR TO FIRST MARRIAGE (First, Middle, Last)		16. MAILING ADDRESS (Street and No., City or Town, State, ZIP Code)	
Thomas J. Griffin		Jeanette Moran		12546 S. Ada St., Calumet Park, IL 60827	
17. METHOD OF DISPOSITION: <input checked="" type="checkbox"/> Burial		18. PLACE OF DISPOSITION (Name of cemetery, crematory, other)		19. LOCATION - CITY, TOWN AND STATE	
<input type="checkbox"/> Cremation <input type="checkbox"/> Donation <input type="checkbox"/> Entombment <input type="checkbox"/> Other (Specify)		Mt. Greenwood Cemetery		Chicago, IL	
20. DATE OF DISPOSITION (Month/Day/Year)		21. FUNERAL HOME NAME		22. LOCAL REGISTRAR'S SIGNATURE	
November 13, 2010		Krueger Funeral Home, Ltd., 13050 Greenwood Ave., Blue Island, IL 60406		 R. Clayton Krueger	
21c. FUNERAL DIRECTOR'S ILLINOIS LICENSE NUMBER		23. DATE FILED WITH LOCAL REGISTRAR (Month/Day/Year)		24. PART I. Enter the chain of events - diseases, injuries or complications - that directly caused the death. DO NOT enter terminal events such as cardiac arrest, respiratory arrest or ventricular fibrillation without showing etiology. If the decedent had a dementia related disease, Parkinson's Disease, or Parkinson Dementia Complex, indicate in Part I or Part II. DO NOT ABBREVIATE. Enter only one cause on a line. Add additional lines if necessary.	
034-012022		Nov. 12, 2010		IMMEDIATE CAUSE (Final disease or condition resulting in death) → <u>Ventricular tachycardia</u> Secondary (Immediate cause) → <u>Septic shock</u> Underlying Cause (Disease or injury that initiated the events resulting in death) → <u>perforation of ischemic colon</u> Due to (or as a consequence of)	
25. WAS AN AUTOPSY PERFORMED? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		26. WERE AUTOPSY FINDINGS USED TO COMPLETE CAUSE OF DEATH? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		27. MANNER OF DEATH	
				<input checked="" type="checkbox"/> Natural <input type="checkbox"/> Suicide <input type="checkbox"/> Accidental <input type="checkbox"/> Homicide <input type="checkbox"/> Could not be determined <input type="checkbox"/> Pending investigation	
28. IF FEMALE		29. TIME OF INJURY		30. PLACE OF INJURY (e.g. Decedent's home, construction site, restaurant, wooded area)	
<input type="checkbox"/> Not pregnant within past 12 months <input type="checkbox"/> Not pregnant, but pregnant within 42 days of death <input type="checkbox"/> Not pregnant, but pregnant 43 days to 1 year before death		<input type="checkbox"/> A.M. <input type="checkbox"/> P.M.		<input type="checkbox"/> Apartment Number <input type="checkbox"/> City or Town <input type="checkbox"/> State <input type="checkbox"/> ZIP Code	
31. DATE OF INJURY (Month/Day/Year)		32. IF TRANSPORTATION INJURY, SPECIFY		33. INJURY AT WORK?	
		<input type="checkbox"/> Driver/Operator <input type="checkbox"/> Passenger <input type="checkbox"/> Other (Specify)		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
34. DESCRIBE HOW INJURY OCCURRED		35. (DID YOU NOT ATTEND THE DECEASED?) (Month/Day/Year)		36. WAS MEDICAL EXAMINER OR CORONER CONTACTED? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
		11-07-2010		11-09-2010	
37. (DID YOU NOT ATTEND THE DECEASED?) (Month/Day/Year)		38. DATE PRONOUNCED (Month/Day/Year)		39. TIME OF DEATH	
		11-09-2010		10:52 <input type="checkbox"/> A.M. <input checked="" type="checkbox"/> P.M.	
40. CERTIFIER (Check only one)		41. PHYSICIAN'S LICENSE NUMBER		42. NAME, ADDRESS AND ZIP CODE OF PERSON COMPLETING CAUSE OF DEATH (Item 24)	
<input checked="" type="checkbox"/> Physician in charge of patient's care. To the best of my knowledge, death occurred due to the cause(s) and manner stated. <input type="checkbox"/> Physician attended to a death on site. To the best of my knowledge, death occurred at the time, date and place, and due to the cause(s) and manner stated. <input type="checkbox"/> Medical Examiner/Coroner. On the basis of examination and/or investigation, in my opinion, death occurred at the time, date and place, and due to the cause(s) and manner stated.		036-100511		Kaihua Lai, MD 2320 W. High St., Blue Island, IL 60406	
43. TITLE OF CERTIFIER		44. DATE CERTIFIED (Month/Day/Year)		45. SIGNATURE OF CERTIFIER	
M.D.		11/10/2010			
46. DECEASED'S EDUCATION - Check the		47. DECEASED OF HISPANIC ORIGIN? Check the box that best		48. DECEASED'S RACE - Check one or more boxes to indicate what the decedent	

This is to certify that this is a true and correct copy of the official death record filed with the Illinois Department of Public Health.

NOV 17 2010





FORM

D-2REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES
(CHECK APPROPRIATE BOXES) (PLEASE TYPE OR PRINT IN BLACK INK)

- ☐ Pre-Election Report - Election Date _____
☒ Semi-Annual Report _____
☐ Non-Participation - Election Date _____
☐ FINAL REPORT _____
☒ Amendment of Report indicated above

1/4

Full name and complete mailing address of Political Committee

CITIZENS FOR MAYOR DUPAR
12537 S. THROOP ST.

CALUMET PARK

IL 60827

☐ CHECK IF ADDRESS CHANGE

FOR OFFICE USE ONLY

IDENTIFICATION NO.

REPORTING PERIOD

07/01/2008 12/31/2008
FROM THRUCASH AVAILABLE AT
THE BEGINNING OF THE
REPORTING PERIOD

\$ 6850.00

STATE POLITICAL COMMITTEES
RETURN TO:
STATE BOARD OF ELECTIONS
P.O. BOX 4187
SPRINGFIELD, IL 62708LOCAL POLITICAL COMMITTEES AND
STATE AND LOCAL POLITICAL COMMITTEES
RETURN ORIGINAL TO:
STATE BOARD OF ELECTIONS
AND COPY TO:
APPROPRIATE COUNTY CLERK

SEE GUIDE TO CAMPAIGN DISCLOSURE FOR INSTRUCTIONS

COMPLETE 1-7 FOR PRE-ELECTION REPORTS

COMPLETE ALL SECTIONS FOR
SEMI-ANNUAL AND FINAL REPORTS

SECTION A - RECEIPTS

1. Individual Contributions:
- a. Itemized (from Schedule A) .. \$ 1500.00 (1a)
b. Not-Itemized \$ 0.00 (1b)
2. Transfers In:
- a. Itemized (from Schedule A) .. \$ 0.00 (2a)
b. Not-Itemized \$ 0.00 (2b)
3. Loans Received:
- a. Itemized (from Schedule A) .. \$ 0.00 (3a)
b. Not-Itemized \$ 0.00 (3b)
4. Other Receipts:
- a. Itemized (from Schedule A) .. \$ 0.00 (4a)
b. Not-Itemized \$ 2.28 (4b)
TOTAL RECEIPTS (1-4) \$ 1502.28
- *****
5. In-kind Contributions:
- a. Itemized (from Schedule I) .. \$ 0.00 (5a)
b. Not-Itemized \$ 0.00 (5b)
TOTAL IN-KIND \$ 0.00

SECTION B - EXPENDITURES

6. Transfers Out:
- a. Itemized (from Schedule B) .. \$ 550.00 (6a)
b. Not-Itemized \$ 100.00 (6b)
7. Loans Made:
- a. Itemized (from Schedule B) .. \$ 0.00 (7a)
b. Not-Itemized \$ 0.00 (7b)
8. Expenditures:
- a. Itemized (from Schedule B) .. \$ 1235.60 (8a)
b. Not-Itemized \$ 545.75 (8b)
TOTAL EXPENDITURES (6-8) \$ 2431.35

SECTION C - DEBTS AND OBLIGATIONS

(Include previously reported unpaid debts)

9. a. Itemized (from Schedule C) .. \$ 0.00 (9a)
b. Not-Itemized \$ 0.00 (9b)
TOTAL DEBTS & OBLIGATIONS \$ 0.00

SECTION D - CASH BALANCE

- Funds available at the beginning of
the reporting period \$ 6850.00 (A)
Total Receipts (Section A) \$ 1502.28 (B)
Subtotal \$ 8352.28 (C)
Total Expenditures (Section B) .. \$ 2431.35 (D)
Funds available at the close of
the reporting period \$ 5920.93 (E)
- *****
- Investment Total \$ 0.00 (F)

VERIFICATION

I DECLARE THAT THIS REPORT OF CAMPAIGN CONTRIBUTIONS OR THIS SEMI-ANNUAL REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (INCLUDING ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE REPORT IS A BUSINESS OFFENSE SUBJECT TO A FINE OF UP TO \$5000

JAMES RIEDEL

SIGNATURE OF TREASURER OR CANDIDATE

(THIS FORM MAY BE REPRODUCED)

DATE

NAME OF POLITICAL COMMITTEE: CITIZENS FOR MAYOR DUPAR

2 / 4

REPORTING PERIOD: FROM 07/01/2008 THRU 12/31/2008

SCHEDULE A

INDICATE THE PART OF FORM D-2 BEING ITEMIZED:

☒ PART 1 - INDIVIDUAL CONTRIBUTIONS
INCLUDING TICKET AND RAFFLE SALES

☐ PART 3 - LOANS RECEIVED
INCLUDING ENDORSER

☐ PART 2 - TRANSFERS IN
POLITICAL COMMITTEE CONTRIBUTIONS
INCLUDING TICKET AND RAFFLE SALES

☐ PART 4 - OTHER RECEIPTS

FOR OFFICE USE ONLY

IDENTIFICATION NO.

SEE GUIDE TO CAMPAIGN DISCLOSURE FOR INSTRUCTIONS

ITEMIZED RECEIPTS FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE RECEIVED	AMOUNT OF EACH RECEIPT	AGGREGATE AMOUNT FOR THIS REPORTING PERIOD
		EMPLOYER :	OCCUPATION :
FISHER COMMERCIAL CONSTRUCTION 75RANDALL ST ELK GROVE IL 60007	10/22/2008	1500.00	1500.00

NAME OF POLITICAL COMMITTEE: CITIZENS FOR MAYOR DUPAR

3 / 4

REPORTING PERIOD: FROM 07/01/2008 THRU 12/31/2008

SCHEDULE B

INDICATE THE PART OF FORM D-2 BEING ITEMIZED:

☒ PART 6 — TRANSFERS OUT

EXPENDITURES TO POLITICAL COMMITTEES —
INCLUDING TICKET & RAFFLE PURCHASES

☐ PART 7 — LOANS MADE

☐ PART 8 — EXPENDITURES

FOR OFFICE USE ONLY

IDENTIFICATION NO

SEE GUIDE TO CAMPAIGN DISCLOSURE FOR INSTRUCTIONS

ITEMIZED EXPENDITURES FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE OF EXPENDITURE	BENEFICIARY	AMOUNT OF EACH EXPENDITURE THIS REPORTING PERIOD	AGGREGATE AMOUNT THIS REPORTING PERIOD
CITIZENS FOR DEBORAH SIMS P.O. BOX 133 POSEN IL	08/18/2008	CITIZENS FOR MAYOR DUPAR	250.00	250.00
PURPOSE: DONATION				
COALITION PARTY 13051 GREENWOOD , BLUE ISLAND IL 60406	08/05/2008	CITIZENS FOR MAYOR DUPAR	300.00	300.00
PURPOSE: DONATION				

NAME OF POLITICAL COMMITTEE: CITIZENS FOR MAYOR DUPAR

4 / 4

REPORTING PERIOD: FROM 07/01/2008 THRU 12/31/2008

SCHEDULE B

INDICATE THE PART OF FORM D-2 BEING ITEMIZED:

☐ PART 6 — TRANSFERS OUTEXPENDITURES TO POLITICAL COMMITTEES —
INCLUDING TICKET & RAFFLE PURCHASES☐ PART 7 — LOANS MADE☒ PART 8 — EXPENDITURES**FOR OFFICE USE ONLY**

IDENTIFICATION NO.

SEE GUIDE TO CAMPAIGN DISCLOSURE FOR INSTRUCTIONS

ITEMIZED EXPENDITURES FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE OF EXPENDITURE	BENEFICIARY	AMOUNT OF EACH EXPENDITURE THIS REPORTING PERIOD	AGGREGATE AMOUNT THIS REPORTING PERIOD
GENOS RESTAURANT 12400 S ASHLAND CALUMET PARK IL 60827 PURPOSE: ELECTION LUNCHES	11/06/2008	CITIZENS FOR MAYOR DUPAR	747.60	837.60
GENOS RESTAURANT 12400 S ASHLAND CALUMET PARK IL 60827 PURPOSE: CHRISTMAS PARTY FOOD	12/09/2008	CITIZENS FOR MAYOR DUPAR	90.00	837.60
VILLAGE OF CALUMET PARK 12409 S THROOP CALUMET PARK IL 60827 PURPOSE: REGATTA SHIRTS	10/29/2008	CITIZENS FOR MAYOR DUPAR	398.00	398.00

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AP 098

Friends of Eddie Lee Jackson

ID 21760

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
Schedule A-1s for the 2010 General Primary Election

The Committee received 11 contributions and in-kind contributions totaling \$83,862.96 between 1/8/10 and 1/26/10 that it failed to report on Schedule A-1s. In addition, the Committee had previously been assessed a \$100 civil penalty (not appealed, stayed) for delinquent filing of a Schedule A-1 for the 2010 General Primary Election. The total assessment is \$83,962.96.

Eddie Lee Jackson, the Candidate of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Jackson submitted a letter from Bessie Jones, the Secretary who prepared and filed the Committee's reports. Ms. Jones states that she is 100% sure that all Schedule A-1s were filed. She adds that she has been filing reports for years and knows to make sure an A-1 contribution does not go through without being filed.

Regardless of Ms. Jones' assurances or recollections, no A-1 filings were received by the Board for the contributions in question. I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional and since this is the first election for which this Committee failed to file a Schedule A-1, I recommend the penalty be reduced to 10% of the original assessment, or \$8,387. If these recommendations are accepted by the Board, the stay would be lifted from the previous \$100 assessment and the \$8,487 civil penalty will be due and owing. (As of 12/31/10, this Committee reported a funds available balance of \$848.95.)



Tom Newman – Hearing Examiner
March 25, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Friends of Eddie Lee Jackson
Linda Wallace
2405 St Louis Ave
East St Louis, IL 62205

ID# 21760

Dear Friends of Eddie Lee Jackson;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Friends of Michael J. Madigan*	1/8/2010	\$25,511	\$25,511		14
Thomas Q Keefe Jr.*	1/26/2010	\$20,000	\$20,000		3
Friends of Michael J. Madison*	1/8/2010	\$25,511	\$25,511		14
Friends of Michael J. Madison*	1/8/2010	\$1075.84	\$1075.84		14
Friends of Michael J. Madison*	1/9/2010	\$2537.78	\$2537.78		14
Friends of Michael J. Madison*	1/12/2010	\$976.12	\$976.12		12
Friends of Michael J. Madison*	1/14/2010	\$2511.85	\$2511.85		10
Friends of Michael J. Madison*	1/14/2010	\$1075.84	\$1075.84		10
Friends of Michael J. Madison*	1/14/2010	\$1075.84	\$1075.84		10
Friends of Michael J. Madison*	1/8/2010	\$1075.84	\$1075.84		14
Friends of Michael J. Madison*	1/8/2010	\$2511.85	\$2511.85		14

The committee is fined a **total** of \$83862.96.00 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

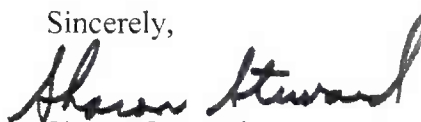
Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$8387.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.* Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217/782-1543.

Sincerely,



Sharon Steward

Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the June 2010 Semi-annual Report but should have been reported on a Schedule A-1 Report of Campaign Contributions of more than \$500.00, within 2 business days of receipt.

** This contribution did not, by itself, necessitate filing of a Schedule A-1. No fine was assessed for this contribution – it is listed for informational purposes only. A subsequent contribution, when aggregated with this contribution, exceeded the "more than \$500.00" threshold and was used as the basis for the fine assessment.

State of Illinois)
County of St. Clair)

STATE BOARD OF ELECTIONS

11 FEB -1 AM 10:48

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Eddie Lee Jackson)
Respondent(s).)

Case No. 10 AP 098

APPEAL AFFIDAVIT

I, Bessie Jones, the Chairman/Secretary of the
(Name) (Chairman/Treasurer)

Friends of Eddie Lee Jackson

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

My name is Bessie Jones and I filed all Receipts and Expendures
for Rep's Campaign.

Please see attachment.

Signed and Sworn to by:

before me this 31st Day of
January, 2011

Mary T Reddick
Notary Public

Eddie Lee Jackson Sr. Treasurer
(Signature of Chairman/Treasurer)



January 28, 2011

Attn: Campaign Disclosure
State Board of Elections
1020 South Spring Street
Springfield, Illinois 62704

Re: Friends of Eddie Lee Jackson

Dear Sir/Madam:

My name is Bessie Jones, and I filed all Reports for the Friends of Eddie Lee Jackson Campaign. I received the notice and was shocked to see these A-1 not being filed.

I know I worked tirelessly to make sure all A-1 were filed on the date they were received. I never put one off to the next day or anything. I am 100% sure these A-1 were filed. I have been filing for years and I know not to let an A-1 go through without being filed.

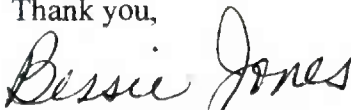
I tried to go back and look at the A-1 and see which one was filed, but because of the new program, and my limited knowledge of the new system I was not able to pull them up.

I am not 90% sure, I am 100% sure that these A-1 was filed. If there is a need to see my paper work I will make available to you. One reason I know I filed is because I never put the A-1 on Semi-Annual because it automatically goes to the Semi Annual Report.

I apologize for any inconvenience this may have cause and I take full responsibility. The Friends of Eddie Lee Jackson Campaign is not responsible and whatever I need to do to prove that I filed I will do that.

Thank you for your time and attention in this matter.

Thank you,


Bessie Jones, Secretary

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 MA 002

Brady PAC - Illinois

ID# 22097

Respondent

REPORT OF HEARING OFFICER

**Appeal of Civil Penalty Assessment for Delinquently Filing the Pre-Election Report
And a Schedule A-1 for the 2010 General Election**

The Pre-Election Report was received by the Board on October 28, 2010, 8 days late, resulting in a civil penalty assessment of \$500. The Committee also received 15 contributions of more than \$500 – totaling \$32,500 – on 10/6/10, and listed them on a Schedule A-1 received by the Board on 10/27/10, 12 days late, resulting in a civil penalty assessment of \$32,500. Additionally, the Committee had previously been assessed a \$200 civil penalty (not appealed, stayed) for delinquently filing the June 2010 Semi-Annual Report. The total assessment is \$33,200.

Christopher Carr, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Carr states that at the time of the receipt of the contributions, the Committee was undergoing a reduction in force affecting one quarter of the group's staff. He says that resulted in some record keeping difficulties and thus, the late filings. Mr. Carr adds that the problems have since been resolved.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, I also recommend the penalty for the late A-1 filings be reduced to 10% of the original assessment, or \$3,250. If these recommendations are accepted by the Board, the stay would be lifted from the original \$200 assessment and, combined with the \$500 penalty from the Pre-Election Report, the total assessment of \$3,950 would be due and owing. (As of 12/31/10, this Committee reported a funds available balance of \$51,203.)



Tom Newman – Hearing Officer
March 24, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Appeal

BOARD MEMBERS
Bryan Schneider, Chairman
Wanda Rednour, Vice Chairman
Patrick Brady
John Keith
William McGuffage
Albert Porter
Jesse Smart
Robert Walters

Brady PAC - Illinois
Mark Ingram
1225 Eye St, NW Ste 1100
Washington, DC, 20005-3914

22097

Dear Brady PAC - Illinois;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Pre-Election Report of Campaign Contributions
Report Period:	July 1, 2010 through October 3, 2010
Filing Period:	October 4, 2010 through October 18, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on October 28, 2010, 8 day(s) late. As such, this committee has been assessed a fine of \$500.00.

In addition, this committee failed to file the following documents during the requisite filing period:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Citizens for Richard Devine Inc.	10/6/2010	\$1000	\$1000	10/27/2010	12
Bruce Simon	10/6/2010	\$1000	\$1000	10/27/2010	12
Manning Consulting Group	10/6/2010	\$2500	\$2500	10/27/2010	12
Elite Staffing	10/6/2010	\$1000	\$1000	10/27/2010	12
Michael Pfleger	10/6/2010	\$1000	\$1000	10/27/2010	12
Joh Worssek	10/6/2010	\$1000	\$1000	10/27/2010	12
Friends of Will Burns	10/6/2010	\$1000	\$1000	10/27/2010	12
Robert Feitler	10/6/2010	\$1000	\$1000	10/27/2010	12
Franczek Radelet	10/6/2010	\$5000	\$5000	10/27/2010	12
Richard Fleming	10/6/2010	\$1000	\$1000	10/27/2010	12
Ross Bricker/Nina Vinik	10/6/2010	\$1000	\$1000	10/27/2010	12
Paul Finnegan	10/6/2010	\$5000	\$5000	10/27/2010	12
Tom Vandenberk	10/6/2010	\$5000	\$5000	10/27/2010	12
Dan & Patty Walsh	10/6/2010	\$5000	\$5000	10/27/2010	12
Bruce Simon Consulting	10/6/2010	\$1000	\$1000	10/27/2010	12

The committee is fined a **total** of \$32,500.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$3250.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.***

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
1/1/10 to 6/30/10	Semi-Annual	\$200.00
<i>TOTAL AMOUNT NOW DUE</i>		<i>\$3950.00</i>

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217/782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS:jr

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS

11 FEB 15 PM 12:01

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 11 MA 002

Brady Campaign - Illinois)
Respondent(s).)

APPEAL AFFIDAVIT

I, Christopher F. Carle, the Treasurer of the
(Name) (Chairman/Treasurer)

Brady Campaign - Illinois
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

At the time of the receipt of the contributions,
the sponsoring organization was undergoing a
reduction in force affecting 25% of our staff, which
caused us to have record keeping difficulties
which have now been resolved.

Signed and Sworn to by:

before me this 11th Day of

Feb 2011

Seung K. Ahn
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

10 AP 068

Citizens for Wallace Davis III (ID 22266)

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Failure to File a
Schedule A-1 Report for the 2010 General Primary Election

The Committee received four contributions of more than \$500 but failed to list them on a Schedule A-1. It received a contribution of \$2,500 on 1/15/10, a contribution of \$1,500 on 1/20/10, a contribution of \$1,500 on 1/20/10 and a contribution of \$1,500 on 1/29/10. The total assessment is \$7,000.00.

On January 12, 2011, the candidate Mr. Wallace Davis III was contacted by telephone for the appeal hearing.

Mr. Davis stated that he was unaware of the Schedule A-1 filing requirement for contributions over \$500 received 30 days prior to the election. He was consumed with other responsibilities managing his campaign and felt that he reported correctly by including the contribution on the 2010 June Semi-Annual report. Mr. Davis closed the committee in July after he lost the election.

I recommend the appeal be denied for lack of an adequate defense. However, since this as an unintentional violation and the committee's first Schedule A-1 offense, I recommend only 10% of the civil penalty be assessed, reducing the fine to \$700.00. If this recommendation is accepted by the Board, the \$700.00 civil penalty will be due and owing. In addition, since the Committee filed a Final Report on July 19, 2010 I further recommend that the assessment will be abated two years following the effective date of the Final Board Order if the committee remains dissolved until that time without forming a Successor committee pursuant to Rules and Regulations 100.110(b). (As of 7/19/10 the committee reported an available funds amount of \$0.00)



Tara Cachur – Hearing Officer

January 24, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Daniel W. White
November 9, 2010

Citizens to Elect Wallace Davis III
Frankie Jackson
3450 W 82nd Place
Chicago, IL 60652

22266

Dear Citizens to Elect Wallace Davis III;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Benchmark Construction	1/20/10	1500.00	1500.00	*not filed	7
John Kenny	1/29/10	1500.00	1500.00	*not filed	1
Smith Maintenance	1/20/10	1500.00	1500.00	*not filed	7
Prairie PAC	1/5/10	2500.00	2500.00	*not filed	17

The committee is fined a **total** of \$7000.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$700.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

State of Illinois)

County of Cook)BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 10AP068Citizens to Elect Wallace Davis III
Respondent(s).

APPEAL AFFIDAVIT

I, Wallace Davis III, the Chairman of the
(Name) (Chairman/Treasurer)Citizens to Elect Wallace Davis III
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I was unaware that individual contributions
were added together to force a requirement
to file schedule A-1. I thought that individual
contributions stand alone.

Signed and Sworn to by:

Wallace Davis IIIbefore me this 9th Day ofDecember, 2011 2010

Notary Public

Wallace Davis III

(Signature of Chairman/Treasurer)



STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

10 AG 026

Friends for Harris

22270

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing

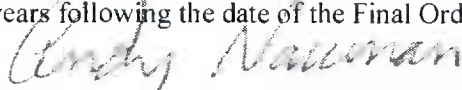
A Schedule A-1 for the 2010 General Election

This committee received one contribution from Jay Bergman for \$5,000 on 10/19/2010 and three in-kind contributions from the Illinois Republican Party for \$2,729.37, \$1,921.16 and \$6,428.05 on 10/12/10, but failed to list them on a Schedule A-1, resulting in a civil penalty assessment of \$16,078.58.

Maureen Sherwood, the treasurer of the Committee, appeared on March 22, 2011 for the appeal hearing.

Maureen Sherwood is a volunteer for the political committee who happens to be an accountant. This is the first time she has been involved in a committee and she indicated that she can attest to the accuracy of the Committee's finances. However, she stated she is not an election law expert. Ms. Sherwood stated that the Committee received a \$5,000 contribution from Jay Bergman on October 19, 2010 and sent a copy of the check to her on that day. When she received a copy of the check she was in a meeting with one of her clients and she accidentally placed the check in the wrong folder. Ms. Sherwood unfortunately did not discover this error until after the Schedule A-1 was due. She filed the Schedule A-1 immediately upon this discovery but the Schedule A-1 was 4 days late. Ms. Sherwood was aware that the Committee was responsible for filing a Schedule A-1 contribution for direct contributions and she admits the error was completely hers. She requests the Board to take this into consideration and not make the Committee pay for her mistake. In regards to the three in-kind contributions from the Illinois Republican Party, Ms. Sherwood indicated that neither Mr. Harris, the candidate of the Committee or she was aware that in-kind contributions were treated the same way as direct contributions for the purpose of reporting on a Schedule A-1. She indicated that had she been aware of this, a Schedule A-1 would have been filed. However, she had no knowledge of this until the in-kind contributions were entered into the electronic filing software and the program indicated that a Schedule A-1 was due. A Schedule A-1 was then filed but it was 6 days late. The Committee now knows that in-kind contributions have to be reported on a Schedule A-1, and they would like to make it clear that this was in no way done intentionally and there was no intent to hide these contributions.

I recommend that the appeal be denied for lack of an adequate defense. Maureen Sherwood acknowledges that the committee should have filed a Schedule A-1 for the \$5,000 Jay Bergman contribution and that it was unintentional error on her behalf. Furthermore the fact that the candidate and the treasurer were unaware that an in-kind contributions would fall under the same reporting standards as a direct contribution would not be an adequate defense. However, since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment, or \$1,608. Furthermore, since this Committee has filed a Final Report on 1/20/11, I further recommend that should the Committee remain dissolved and not establish a successor committee for a period of two years following the date of the Final Order imposing the fine, the fine be abated.



Andy Nauman – Hearing Officer

March 30, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Friends for Harris
Maureen Sherwood
509 S. Patton
Arlington Heights, IL 60005

ID# 22270

Dear Friends for Harris;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Illinois Republican Party	10/12/2010	\$2729.37	\$2729.37	10/22/2010	6
Illinois Republican Party	10/12/2010	\$1921.16	\$1921.16	10/22/2010	6
Illinois Republican Party	10/12/2010	\$6428.05	\$6428.05	10/22/2010	6
Jay Bergman	10/19/2010	\$5000	\$5000	10/27/2010	4

The committee is fined a **total** of \$16,078.58.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1608.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the***

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

11 FEB -7 AM 11:37

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends for Harris Committee)
Respondent(s).)

Case No. 10 AG 026

APPEAL AFFIDAVIT

I, Maureen Sherwood, the Treasurer of the
(Name) (Chairman/Treasurer)

Friends for Harris

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

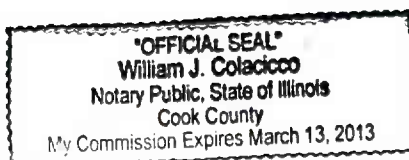
See attached statement.

Signed and Sworn to by:

William J. Colacicco
before me this 5th Day of
January, 2011

William J. Colacicco
Notary Public

Maureen B. Sherwood
(Signature of Chairman/Treasurer)



Appeal Affidavit
State Board of Elections
State of Illinois

I, Maureen Sherwood, the Treasurer of the Friends for Harris Committee, first being duly sworn, want to appeal the State Board of Election's civil penalty fine assessed against the Friends for Harris Committee for the tardy filing of four A-1 reports in October of last year. Let me offer the reasons that the A-1s were late.

If the Board will notice, three of the four reports were for A-1s for In-Kind Contributions.

I am a volunteer Treasurer for the Friends for Harris Committee. I have never before been involved with a political campaign. I am an accountant, and I can attest to the accuracy of the Committee's finances. However, I am not an election law expert, and I am not fully familiar with State election law.

Quite simply, I was unaware that In-Kind Contributions were treated the same way as direct contributions for purposes of A-1 reporting. As soon as I was made aware of that, I filed the necessary A-1s for the three In-Kind Contributions requiring such reports.

As for the direct contribution made on 19 October but reported 4 days late, that was a bad clerical error on my part. The contribution was received at the campaign headquarters, as were most of the committee's campaign contributions.

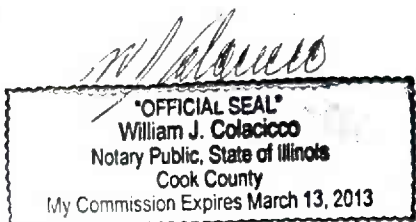
The committee's process for contributions was this: a campaign official reviewed the contribution document and ensured that it was an appropriate contribution; two copies of the contribution document were then made: one for the Thank You note file and one for me; the contribution was then deposited in the committee's bank account; the deposit receipt was attached to the copy of the contribution document intended for me; and then the contribution document with receipt attached was brought to me.

I received the copy of the 19 October contribution in a timely manner. However, I inadvertently put it in an incorrect file folder, and I did not uncover it until the filing date had passed. If I had not put the document in the wrong folder, I would have filed an A-1 in a timely manner. The error was completely mine. Unlike the In-Kind Contributions, which I did not know needed an A-1 filing, I was aware that A-1s had to be filed for direct contributions above \$500.

Thank you for giving me the opportunity to explain why these A-1 reports were late.



Maureen Sherwood
Treasurer
Friends for Harris Committee



STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

10 GE 038

Maureen Berkowitz for Supervisor of Assessments

ID# 22336

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the Pre-Election Report for the 2010 General Election

The Report was received by the Board on October 20, 2010, 2 days late, resulting in a civil penalty assessment of \$200. Additionally, the Committee has previously been assessed an \$86 civil penalty (appealed, appeal denied, paid) for delinquently filing two Schedule A-1s for the 2010 General Primary Election. The total assessment is \$200.

Mark Berkowitz, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Berkowitz says the late filing was due to the Committee's difficulty with downloading and installing the newest version of the Board's electronic filing software (IDIS 2.0). Mr. Berkowitz says the Committee eventually had to get a disc of the software, since downloading it from a rural area with poor internet access made that task impossible. He adds that the Committee knew it could have used the old version of the IDIS software to file its report, but that software was apparently lost when the Committee upgraded its computer's operating system.

I am sympathetic to the Committee's difficulties in downloading the software from a rural area. Unfortunately however, Section 100.150(c)(4) of the Board's Rules and Regulations states that a committee can only utilize an electronic filing defense, "*If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically...*" This Committee never met the threshold requiring it to file electronically, so the report could have filed on paper -- which it eventually was, albeit two days late. I must therefore recommend the appeal be denied. If this recommendation is accepted by the Board, the total assessment is \$200. However, since this Committee has filed a Final Report (on 1/18/11), I further recommend that should the Committee remain dissolved for a period of two years following the date of the Final Order imposing the fine, the fine be abated.



Tom Newman – Hearing Officer
March 16, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 S. Spring Street
PO Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



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Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Maureen Berkowitz for Supervisor of Assessments
Cheryl Gilbert
349 Wood Duck Ln
Murphysboro, IL 62966

ID# 22336

Dear Maureen Berkowitz for Supervisor of Assessments;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Pre-Election Report of Campaign Contributions
Report Period:	July 1, 2010 through October 3, 2010
Filing Period:	October 4, 2010 through October 18, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on October October 20, 2010, 2 day(s) late. As such, this committee has been assessed a fine of \$200.00.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
1/1/10 to 2/1/10	Schedule A-1	\$86.00
TOTAL AMOUNT NOW DUE		\$286.00

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217/782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS:jr
Enclosure(s): appeal packet

State of Illinois)

County of Jackson)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

17 FEB 10 PM 12:09

IN THE MATTER OF:)

ILLINOIS STATE BOARD OF ELECTIONS.)

Complainant)

Vs.)

Case No. 10 GE 038

Maureen Berkowitz for Supervisor of
Respondent(s). Assessments

APPEAL AFFIDAVIT

I, Mark Berkowitz, the Treasurer of the
(Name) (Chairman/Treasurer)

Maureen Berkowitz for Supervisor of Assessments
(Name of the Committee)

We had a lot of trouble down loading the new version of IDIS. We live in a rural area and use an air card for internet access. We tried several times to down load the new version, one time even waiting 5 hours before we realized it was not happening.

Our son in Chicago downloaded the new IDIS and burned it on a disk and mailed it to us. We know the CD was available from the State Board of Elections but we work full time and only have evenings and weekends to work on campaign disclosure, and of course the help desk is not open then.

We have now successfully submitted one report using the new IDIS. We know we could have used the old version last October but it was somehow lost when we transferred from Vista to Windows 7.

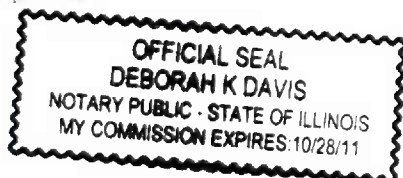
We are very conscientious and try to follow the rules. The candidate attended a class on campaign disclosure held at John A. Logan College during the summer of 2010.

Signed and Sworn to by:

Feb. 9, 2011 Mark Berkowitz
before me this 9 Day of

Feb. 2011
Deborah K. Davis
Notary Public

Mark J. Berkowitz
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

10 AP 074

Citizens to Elect Nicholas Karas (ID 22362)

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 for the 2010 General Primary

This committee received one contribution of \$45,000 on 1/1/10, but failed to list it on a Schedule A-1, resulting in a civil penalty of \$45,000.

The Respondent was represented by James P Nally at the January 5, 2011 appeal hearing.

James Nally indicated that the committee received a \$45,000 contribution on 1/1/10 from Ilias Karas. The contribution was received outside of the 30 day window prior to the February 2, 2010 general primary election and therefore a Schedule A-1 was not required to be filed. The statute and rules indicate contributions received within the 30 days prior to an election must be filed within two business days. The committee reflected the contribution on the June 2010 semi-annual report as required. The respondent's contention is that no violation occurred because the contribution was received more than 30 days prior to the election.

I recommend that the appeal be denied for lack of an adequate defense. At the time of this filing, the statute lists in section 5/9-10 (b-5) that the general primary election Schedule A-1 period begins January 1. I believe the respondent may have been relying on an outdated statute. The Schedule A-1 period changed for the general primary election in 2008 and that was the first election in which the A-1 period started on January 1. Furthermore, since this as an unintentional violation and the committee's first Schedule A-1 offense, I recommend only 10% of the civil penalty be assessed, reducing the fine to \$4,500.00. As a first violation, the penalty is stayed. (As of 6/30/10, this committee reported a funds available balance of \$123.99.)



Tara Cachur – Hearing Officer

January 24, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Daniel W. White
November 9, 2010

Citizens to Elect Nicholas Karas
Jennifer Maconochie
865 Northwood St. unit 3
Chicago, IL 60622

22362

Dear Citizens to Elect Nicholas Karas:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Ilias Karas	1/1/10	45000.00	45000.00	*not filed	19

The committee is fined a **total** of \$45000.00 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$4500.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. If your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

10 DEC -1 AM 8:28

State of Illinois)
 County of COOK)

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
 ILLINOIS STATE BOARD OF ELECTIONS,)
)
 Complainant)

vs.)
)
Citizens to Elect)
Nicholas W. Karas)
 Respondent(s).)

No. 10AP074

APPEAL AFFIDAVIT

I, Henry Tomecki, the Treasurer of the
 (Name) (Chairman/Treasurer)
Citizens to Elect Nicholas W. Karas
 (Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The committee reported the sum
 of money alleged in accordance
 with the requirements



Subscribed and Sworn to Before me
 This 24th Day Of November 2010

[Signature]
 NOTARY PUBLIC

[Signature]
 (Signature of Chairman/Treasurer)

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AG 027

Citizens for Jesus Garcia ID# 22443

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing Schedule A-1s for the 2010 General Election

The Committee received a \$1000 contribution on 10/4/10 and listed it on a Schedule A-1 received by the Board on 11/1/10, 17 days late, and a second \$1000 contribution on 10/20/10 and listed it on a Schedule A-1 received by the Board on 11/1/10, 6 days late. Additionally, the Committee had previously been assessed a civil penalty totaling \$2300 (not appealed, paid) for delinquently filing three Schedule A-1s for the 2010 General Primary Election. The total assessment is \$2000.

Linda G. Coronado, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Coronado states that a lack of experience on the part of the person responsible for the filings, particularly in regards to electronic filing, was the reason for the late filing. She also asks for an additional reduction in the amount of the fine.

I recommend the appeal be denied for lack of an adequate defense. Since the Schedule A-1 violation is the second for this Committee, I also recommend the \$2000 penalty be reduced to 50% of the original amount, or \$1000. If this recommendation is accepted by the Board, the \$1000 civil penalty will be due and owing. (As of 12/31/10, this Committee reported a funds available balance of \$5,228.91.)



Tom Newman – Hearing Officer

March 9, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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Wanda Rednour, Vice Chairman
Patrick Brady
John Keith
William McGuffage
Albert Porter
Jesse Smart
Robert Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Citizens for Jesus Garcia
Linda G Coronado
4249 S Archer Ave
Chicago, IL 60632

ID # 22443

Dear Committee;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Judson Miner	10/4/2010	\$1000	\$1000	11/1/2010	17
Teamsters Volunteers in Politics	10/20/2010	\$1000	\$1000	11/1/2010	6

The committee is fined a **total** of \$2000.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1000.00, (50% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)
)
 County of Cook)

STATE BOARD OF ELECTIONS

11 FEB 22 AM 8:24

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
 ILLINOIS STATE BOARD OF ELECTIONS,)
)
 Complainant)
)
 Vs.) Case No. 10 AG 027
)
Citizens for Jesús García)
 Respondent(s).)

APPEAL AFFIDAVIT

I, Linda G. Coronado, the Treasurer of the
 (Name) (Chairman/Treasurer)
Citizens for Jesus Garcia
 (Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The person responsible for the task was at a clear disadvantage primarily as a result of a lack of experience and the use of technology for reporting purposes. The Committee had no previous experience in navigating the nuances of electronic filing system particularly since its last participation in an election was more than ten (10) years ago. The Committee is still attempting to liquidate expenditures incurred from the election. The Committee is not opposed to the Board's responsibility to levy fines for infractions; however, the Committee is requesting consideration for an additional reduction of the amount of the fine. Thank you for your consideration in this matter.

Signed and Sworn to by:

before me this 20 Day of
February, 2011
Gabriela Vergara
 Notary Public

Linda G. Coronado
 (Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

10 AP 080

Friends of Peter Kramer 22456

Respondent

REPORT OF HEARING EXAMINER

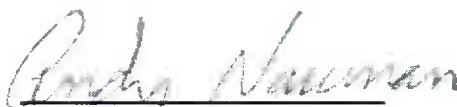
Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 for the 2010 General Primary

This committee received one contribution of \$60,000 on 1/1/10, but failed to list it on a Schedule A-1, resulting in a civil penalty of \$60,000.

The Respondent was represented by James P Nally at the January 10, 2011 appeal hearing. Also attending was Peter Kramer, the candidate for Friends of Peter Kramer.

James Nally indicated that the committee received a \$60,000 contribution on 1/1/10 from James Kramer. The contribution was received outside of the 30 day window prior to the February 2, 2010 general primary election and therefore a Schedule A-1 was not required to be filed. The statute and rules indicate contributions received within the 30 days prior to an election must be filed within two business days. The committee reflected the contribution on the June 2010 semi-annual report as required. The respondent's contention is that no violation occurred because the contribution was received more than 30 days prior to the election.

I recommend that the appeal be denied for lack of an adequate defense. The statute at the time of this filing indicated in 5/9-10 (b-5) that the general primary election Schedule A-1 period begins January 1. I believe the respondent may have been relying on an outdated statute. The Schedule A-1 period changed for the general primary election in 2008 and that was the first election in which the A-1 period started on January 1. Furthermore, I recommend since there is no indication that this violation was anything other than inadvertent and unintentional and since this is the committee's first violation involving a Schedule A-1, I also recommend that the penalty be reduced to 10% of the original assessment or \$6,000. As a first violation, the penalty is stayed. (As of 12/31/10, this committee reported a funds available balance of \$6,455.45.)



Andy Nauman – Hearing Officer

January 24, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
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Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Daniel W. White
November 9, 2010

Friends of Peter Kramer
James Kramer
9231 S Bell
Chicago, IL 60643

22456

Dear Friends of Peter Kramer:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
James Kramer	1/1/10	60000.00	60000.00	*not filed	18

The committee is fined a **total** of \$60000.00 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$6000.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. If your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)
)
County of _____)

CHICAGO

10 NOV 16 AM 9 27

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 10 AP080

Friends of Peter Kramer
Respondent(s).

APPEAL AFFIDAVIT

I, James Kramer, the Chairman / Treasurer of the
(Name) (Chairman/Treasurer)

Friends of Peter Kramer

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

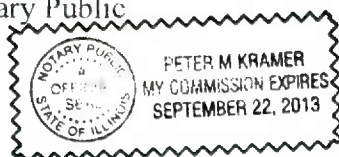
Reporting mistake.

Signed and Sworn to by:

Peter M. Kramer
before me this 15th Day of
November, ~~2009~~ 2010

James M. Kramer
(Signature of Chairman/Treasurer)

Notary Public



Citizens for Reilly
D-2 Semiannual Report
1/1/2010 to 6/30/2010

This report has 3 itemized Transfers Out totaling \$3,250.00

[Print this list](#)

Received By	Address	Amount	Expended By	Purpose
Citizens to Elect Judge Scully	190 S La Salle St Suite 2850 Chicago, IL 60603	\$250.00 1/19/2010	Transfer Out Citizens for Reilly	Campaign Contribution
Friends of Robin Kelly	P.O. Box 6953 Chicago, IL 60680-6953	\$500.00 6/25/2010	Transfer Out Citizens for Reilly	Campaign Contribution
Preckwinkle for President	4659 S. Cottage Grove Ave. Suite 205 Chicago, IL 60653	\$2,500.00 1/7/2010	Transfer Out Citizens for Reilly	Campaign Contribution

[[First](#) [Previous](#) [Next](#) [Last](#)]

Records 1 to 3 of 3

[Return to Previous Page](#)

PUBWEB3

LEX 1



SHOREBANK

Let's change the world.

7936 S. Cottage Grove
Chicago, Illinois 60619
773/288-1000

17 5 41263

Transaction Receipt

Teller transactions received after 2:00 p.m. will be posted on the next business day.
Teller transactions received on Saturdays and days before legal holidays, will be posted on the second business day.
The Trans. No. and Date, Bank Symbol, Account No., Teller No. & Amt. of Deposit are Shown Below. Subject to Provisions of the Uniform Commercial Code and to Detailed Verification

KENWOOD
170 59 03/26/10 13:07
DOB DEPOSIT
ACCT# [REDACTED] \$5,000.00

WELCOME TO SHOREBANK - KENWOOD!

Retain This Official Receipt With Your Records

REX 2

DEPOSIT TICKET

ShoreBank

DATE

DOLLARS CENTS

CURRENCY

COIN

CHECKS LIST EACH SEPARATELY

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17

PRECKWINKLE FOR PRESIDENT
4659 S. Cottage Grove, Suite 205
Chicago, IL 60653

TOTAL ITEMS

\$

500.00

CHECKS AND OTHER ITEMS ARE RECEIVED FOR DEPOSIT SUBJECT TO THE PROVISIONS OF THE UNIFORM COMMERCIAL CODE AND ANY APPLICABLE COLLECTION AGREEMENT.

Prepared By

Bag #

C60166-2

251



BAXTER CONSTRUCTION
COMPANY, LLC

PH: (319) 372-7285
3225 AVENUE N
FORT MADISON, IOWA 52627

STATE CENTRAL BANK
FORT MADISON, IOWA

289
738

6124

Pay: *****Two thousand five hundred dollars and no cents

DATE CHECK NO AMOUNT

January 27, 2010 61246 *****2,500.00

PAY PRECKWINKLE FOR PRESIDENT

Portis

TO THE ORDER OF

081246 510 270 210

7166

DATE MAY 25 2010

PAY TO PRECKWINKLE FOR PRESIDENT

THE ORDER OF

Two thousand five hundred dollars

JAMES E. WOLFE
NANCY SUNDSTROM WOLFE
1060 LAURELIN
EUNR RIDGE, IL 60527-4821

HINSDALE BANK

100 EAST FIRST STREET
HINSDALE, ILLINOIS 60142-4404

MEMO

00719254021 0200092049 7166

DEPOSIT TICKET

ShoreBank
Chicago, IL 60649

2-422710

DATE

	DOLLARS	CENTS
CURRENCY		
COIN		
CHECKS LIST EACH SEPARATELY		
1 Hairston	2,500	
2 Ungar	1,500	
3 Gelfsky	1,500	
4 Keswin	1,500	
5 Teacher	1,000	
6 Usdan	1,000	
7 Sweaney	1,000	
8 Shapiro	1,000	
9 Leventon	1,000	
10 Charenoff	1,000	
11		
12		
13		
14		
15		
16		
17		
TOTAL	13,000	

CHECKS AND OTHER ITEMS ARE RECEIVED FOR DEPOSIT SUBJECT TO THE PROVISIONS OF THE UNIFORM COMMERCIAL CODE AND ANY APPLICABLE COLLECTION AGREEMENT.

Prepared By _____ Please Print

Bag # _____

C60166-2

PRECKWINKLE FOR PRESIDENT
4659 S. Cottage Grove, Suite 205
Chicago, IL 60653

TOTAL ITEMS

10

\$

13,000.00

00710042261

009

SUSAN CHARENDOFF
MARK CHARENDOFF
370 HILLCREST ROAD
ENGLEWOOD NJ 07631

PAY TO THE ORDER OF PRECKWINKLE FOR PRESIDENT \$1000 -

DATE JAN 11, 2010

55-235 37
212
6831009664

518

CHASE

JPMorgan Chase Bank, N.A.
Montclair, New Jersey 07042
www.chase.com

HP-CM1

00212023371

REFX3

LAWRENCE F. LEVENTON

ATTORNEY AT LAW

310 GRANT STREET FLOOR 36

PITTSBURGH, PA 15219

DATE

JANUARY 11, 2010

\$1,000.00

DOLLARS

PNC BANK

PNC Bank, N.A. 001
Pittsburgh, PA

FOR

PAY TO THE ORDER OF

Preckwinkle for President

8-9 130
027

7496

252

ELI UNGAR

1-8530
210
20597262

3149

1000 10/10

PAID TO THE ORDER OF

Prockman for President

\$ 150.00

One Thousand Five Hundred

DOLLARS

citibank

CITIBANK N.A. BR #530
665 FIFTH AVENUE 6TH FLOOR
NEW YORK, NY 10103

Citi Private Bank

Count by hand President



MP

⑆021000089⑆

[Redacted MICR line]

11-11-11

DAVID H. GERSKY
55 KENYAN LANE
CHATEAQUE, NY 10514

1-2790
210
555131297755

DATE 1/11/10

2312

Preckwinkle for President
One thousand three hundred

\$ 1,300.00

DOLLARS

CHASE

JPMorgan Chase Bank, N.A.
New York, New York 10017
www.chase.com

⑆021000021⑆

[Signature]

LESLIE TEICHER
5 KENSINGTON CT
TENAFLY, NJ 07670

55-7041/2212
900217

139

DATE 1/11/10

Preckwinkle for President
the third and

\$ 1000.00

DOLLARS

NVE
BANK

70 Engle St. Englewood, NJ 07631

30

⑆221270415⑆

[Signature]

ROBERT IAN USDAN
AMY YENKIN

DATE 1/11/10

3008

CHASE

JPMorgan Chase Bank, N.A.
New York, New York 10017
www.chase.com

⑆021000021⑆

Preckwinkle for President
One thousand

\$ 1,000.00

DOLLARS

[Signature]

⑆314074259⑆

USAA FEDERAL SAVINGS BANK
10750 McDERMOTT Fwy
SAN ANTONIO, TEXAS 78238-0544
(210) 456-8000 / 1-800-832-3724

WILLIAM J SWEENEY
JULIE L SWEENEY
1 ROBINSON ST
DARIEN, CT 06820-3927

Pay to the Order of *Preckwinkle for President*
the Mayor and his

\$ 1,000.00

Dollars

30-7126/3140

1318

LESLIE I SHAPIRO
25 CASSANDRA BLVD
APT 306
WEST HARTFORD, CT 06107

186

51-7218/2211
231

01/12/2010 Date

Pay to the Order of *PRECKWINKLE FOR PRESIDENT*
ONE THOUSAND AND NO

\$ 1,000.00
Dollars

Peoples United

peoples. 254

[Signature]

HAIRSTON FOR DEMOCRATIC COMMITTEE

P.O. BOX 498441
CHICAGO IL 60649

1256

2-422-710

PAY
TO THE
ORDER OF

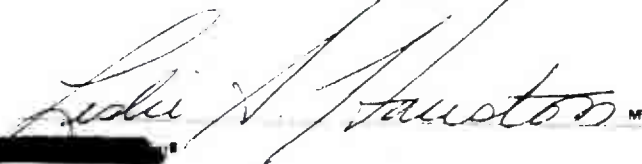
DATE 1-15-10

Preckwinkle for President
Two thousand five hundred dollars and ¹⁰/₁₀₀ \$ 2,500.00
DOLLARS


SHOREBANK
Let's change the world.
CHICAGO, ILLINOIS 60649

FOR

⑈001256⑈



5951

JEFFREY A. KESWIN

500 WEST END AVE. APT 6AB
NEW YORK, NY 10024

JPMORGAN CHASE BANK, N.A.
NEW YORK, NEW YORK 10017
WWW.CHASE.COM
1-2-210

1/11/10

PAY TO THE
ORDER OF

Preckwinkle for President
One thousand five hundred and ⁰⁰/₁₀₀ \$ 1,500.00
DOLLARS

MEMO

⑈005951⑈




SHOREBANK
Let's change the world.[®]
7930 S. Cottage Grove
Chicago, Illinois 60619
773.288-1000

12541020

Transaction Receipt

Teller transactions received after 2:00 p.m. will be posted on the next business day.
Teller transactions received on Saturdays and days before legal holidays will be posted on the second business day.
The Trans. No. and Date, Bank Symbol, Account No., Teller No. & Amount of Deposit are Shown Below. Subject to Provisions of the Uniform Commercial Code and to Detailed Verification.

Kenwood
168 02/11/10 11:52
DDA Deposit
Acct# [REDACTED] \$2,850.00

WELCOME TO SHOREBANK - KENWOOD!

Retain This Official Receipt With Your Records

Ref 4

2.422710

DATE _____

CURRENCY

COIN

CHECKS LIST EACH SEPARATELY

1	Justin	100
2	Shane K	1000
3	Hillman	750
4	Kimberly	1000

5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15		
16		
17		

PLEASE
RE-ENTER
TOTAL HERE

TOTAL 2850 $\frac{00}{100}$

CHECKS AND OTHER ITEMS ARE RECEIVED FOR DEPOSIT SUBJECT TO THE PROVISIONS OF THE UNIFORM COMMERCIAL CODE AND ANY APPLICABLE COLLECTION AGREEMENT.

Prepared By _____
Please Print

Bag # _____

C60166-2

1922 400 200


009

TOTAL
ITEMS ϕ

000527

LEE S. HILLMAN
12-02
OPERATING ACCOUNT
1033 SLOKIE BLVD, STE 180
NORTHBROOK, IL 60062

Pay to the
Order of *Frederick*
Green
Humboldt

Bank of America


CH H 1 081904808



U.S. Trust, Bank of America
Private Wealth Management



100

cc: HLL

MP

07200505

1

2261
2-50/710 II
93943

1-26-10
Date:

\$ 750.00

Dollars
of Ameri
Manufacture

100

01 9952 07 20:


HARRIS.

HARTIS N A

ROBERT G. STANEK
 NANCY H. STANEK
 5756 S HARPER PH. 773-667-0996
 CHICAGO, IL 60637

ad/

[illegible]

— 200/

2574

5176

MARTHA J. JANTHO
DEBORAH L. JANTHO

12-04

2431
70-480 819 IL
024

PAY TO THE
ORDER OF

Bank of America

Premier Banking

CACH R T 081904808

081904808

DATE

\$1,000.00

DOLLARS

Kimbark Merchants Association
c/o Aegis Properties Corporation
as Managing Agent
1525 E. 53rd Street, Suite 400
Chicago, IL 60615

Bank Financial
1354 E. 55th Street
Chicago, IL 60615

Date

Check No.

Check Amount

2/2/2010

000042

\$1,000.00

One Thousand AND 00/100 Dollars

Pay to the order of:

Preckwinkle for President

4659 S. Cottage Grove Ave - Ste 205
Chicago, IL 60653

000042



SHOREBANK

Let's change the world.

7936 S. Cottage Grove
Chicago, Illinois 60619
773/288-1000

03/04/10

Transaction Receipt

Teller transactions received after 7:30 p.m. will be posted on the next business day.
Teller transactions received on Saturdays and days before legal holidays will be posted on the second business day.
The Trans. No. and Date, Bank Symbol, Account No., Teller No. & Amt. of Deposit are shown below. Subject to Provisions of the Uniform Commercial Code and to Detailed Verification.

Kenwood
176 3 03/04/10 RE 14:53
DDA Deposit
Acct# [REDACTED] \$2,625.00
CREDIT ON NEXT BUSINESS DAY
WELCOME TO SHOREBANK - KENWOOD!

Retain This Official Receipt With Your Records

REAS

DEPOSIT TICKET

ShoreBank
Chicago IL 60649

2 422710

DATE _____

PRECKWINKLE FOR PRESIDENT
4659 S. Cottage Grove, Suite 205
Chicago, IL 60653TOTAL
ITEMS

6

\$

2625.00

	DOLLARS	CENTS
CURRENCY		
COIN		
CHECKS LIST EACH SEPARATELY		
1 Johnson	25	00
2 Seaway	500	00
3 Lance Gordon	1000	00
4 Rathal	500	00
5 Sami	500	00
6 J+k	100	00
7		
8		
9		
10		
11		
12		
13		
14		
15		
16		
17		
TOTAL		2625.00

CHECKS AND OTHER ITEMS ARE RECEIVED FOR
DEPOSIT SUBJECT TO THE PROVISIONS OF THE
UNIFORM COMMERCIAL CODE AND ANY APPLICABLE
COLLECTION AGREEMENT.Prepared By _____
Please Print

Bag # _____

C60166-2

⑆071004226⑆ 7100003834⑈

009

JULIUS RATHOD 08-95
8662 GREGORY LANE UNIT E
DES PLAINES, IL 60016

70-2189-266
719-266
4310260346

2556

DATE 1-29-10

PAY TO THE
ORDER OF

TONY PECKWINKLE

\$ 500.00

FIVE HUNDRED ONLY

DOLLARS

National City.

MEMO

⑆071921891⑆

Julius Rathod

2556

GURDIT SAINI 09-09
838 N. BROAD ST.
CARLINVILLE, IL 62626-1076

1014

70-480/819 II
7911

2-7-10

Date

Pay to the
Order of

TONY PECKWINKLE

\$ 500.00

Five Hundred and no/100

Dollars

Bank of America

ACH R/T 081904808

For

⑆081904808⑆

1014

Harland Clarke

TWANNA Y. LANCE-GORDON
9640 S OAKLEY AVE.
CHICAGO, IL 60643

2-1343
710
1110016101161

6664

DATE February 1, 2010

PAY TO THE
ORDER OF

Friends of Tony Peckwinkle

\$ 1,000

One Thousand and 00/100

DOLLARS

CHASE

JPMorgan Chase Bank, N.A.
Chicago, Illinois 60670
www.Chase.com

MEMO

Produce for President Swann Lance Gordon

⑆071000013⑆

C JOHNSON & ASSOCIATES

8633 S. ROCKWELL ST.
CHICAGO, IL 60652

1051

2-7132-2710
348

PAY
TO THE
ORDER OF

DATE

Citizens for Preckwinkle

\$ 500.00

DOLLARS

✱ Charter One

FOR

⑈001051⑈

2/11/10

4800

SEAWAY BANCSHARES, INC.

645 E. 87TH ST.
CHICAGO, IL 60619



SEAWAY
NATIONAL BANK
OF CHICAGO-ILLINOIS

4808

Memo:

Five Hundred and 00/100 Dollars

DATE

AMOUNT

4808

Feb 11, 2010

*****\$500.00

PAY
TO THE
ORDER
OF

Preckwinkle for President
4659 South Cottage Grove Ave.
Suite 205
Chicago, IL 60653

Richard S. Hane

⑈004808⑈

J & K PROPERTIES (USA INC)

210 W NATIONAL AVE
BRAZIL, INDIANA 47834

3520

DATE

Jan 25/2010

71-35-749

PAY TO
THE ORDER OF

TONI PRECKWINKLE

\$ 100.00

one hundred dollars

XX DOLLARS



First Financial Bank
Brazil, Indiana

Shelli Singh

FOR DEMOCRAT

⑈003520⑈


SHOREBANK
Let's change the world.SM
7936 S. Cottage Grove
Chicago, Illinois 60619
773/288-1000

IF S 4122 63

Transaction Receipt

Teller transactions received after 2:00 p.m. will be posted on the next business day.
Teller transactions received on Saturdays and days before legal holidays, will be posted on the second business day.
The Trans. No. and Date, Bank Symbol, Account No., Teller No. & Amt. of Deposit are Shown Below. Subject to Provisions of the Uniform Commercial Code and to Detailed Verification.

KENWOOD
177 02 04/21/10 17:20
DDA Deposit
Acct# [REDACTED] \$2,730.00
CREDIT ON NEXT BUSINESS DAY

WELCOME TO SHOREBANK - KENWOOD!

Retain This Official Receipt With Your Records

R. Ex 6

ShoreBank
Chicago, IL 60639

7-4227101

DATE		DOLLARS	CENTS
	CURRENCY	225	00
	COIN		
	CHECKS LIST EACH SEPARATELY		
	1 Birenberg	500	00
	2 Kopilo	100	00
	3 Demarco	100	00
	4 Burrell	100	00
	5 Hodge	200	00
	6 Metal 265	250	00
	7 White Way	250	00
	8 Cottage	500	00
	9 M. Vaughn	1000	00
	10		
	11		
	12		
	13		
	14		
	15		
	16		
	17		
	TOTAL	2730	00

CHECKS AND OTHER ITEMS ARE RECEIVED FOR DEPOSIT SUBJECT TO THE PROVISIONS OF THE UNIFORM COMMERCIAL CODE AND ANY APPLICABLE COLLECTION AGREEMENT

Prepared By _____ Please Print

Bag # _____

C60166-2



100710042264 7100003834 009





JAMES J. McDONOUGH
JACQUALINE A. McDONOUGH
1001 N STATE PARKWAY #210
CHICAGO IL 60610

6068

DATE 2/1/10

PAY TO THE ORDER OF Toni Prackewitz For President \$ 1,000.00

One Thousand & no/100

WESTERN TRUST ANCHOR ACCOUNT

James J. McDonough

00710001521

Don KRAVEZ
312-225-2546

DATE 4/2/61

PAY
TO THE
ORDER OF

DOLLARS



BANCO POPULAR NORTH AMERICA
4000 W. NORTH AVENUE
CHICAGO, IL 60639

"003004"

Harris Central, N.A.

CHECK NO. 061650

44 Kingston Court
Mount Prospect, IL 60056

DATE	AMOUNT
April 14, 2010	\$250.00

Two hundred fifty and 00/100 Dollars

Preckwinkle for President

1106165011

PROGRESS



PROTECTION

PAC FUND
205 ALEXANDRA WAY
CAROL STREAM, IL 60188-2080

STATE BANK OF ILLINOIS
600 E. WASHINGTON PO BOX 250
WEST CHICAGO IL 60185

70-594/719

4054

4/14/2010

PAY TO THE ORDER OF Preckwinkle For President

\$ **250.00

Two Hundred Fifty and 00/100

DOLLARS

Preckwinkle For President
4659 S. Cottage Grove Avenue, Ste 205
Chicago, IL 60653

PROUD TO BE UNION SHEET METAL WORKERS
AUTHORIZED SIGNATURES

MEMO

004054

CAROLYN D. HODGE
10 DIVISION
OAK PARK IL 60302

CHE
715
000002898

7952

4/9/10

THE NORTHERN TRUST COMPANY

THE NORTHERN TRUST COMPANY



Northern Trust

\$200.00
DOLLARS

Carolyn D. Hodge

SAMUEL BURRELL (773) 802-5902
1424 N LUNA AVE
CHICAGO, IL 60651

5061

3/11/2010

4/7/2010
Date

Pay to the
Order of

Preck Winkle For President 100.00
ONE hundred & 00/100 Dollars



Austin Bank of Chicago
5645 West Lake Street
Chicago, IL 60642-1997

For

Donation

Sam Burrell

5061

William F. DeMarco
6626 North Glenwood Ave.
Chicago, IL 60626-4710
773-381-3703

3943

75-7546/2919
745010321968

January 30, 2010

Preck Winkle For President 100.00
One hundred & 00/100

Think

William F. DeMarco

3943

PAUL R BIRNBERG
3439 11TH AVE S
MINNEAPOLIS, MN 55407-2130

3223

17-1/910 300
0020211662

FEB 3, 2010

Pay to the
Order of

Preckwinkle for President

\$ 5

exactly five

Dollars



Wells Fargo Bank, N.A.
Member FDIC
wellsfargo.com

1 CM

⑆091000019⑆



MP

KOPICO, INC.
OPERATING ACCOUNT

PH 773 847-3213
1444 W. 37TH ST
CHICAGO, IL 60609

2030

2123-710

DATE

4-19-10

PAY
TO THE
ORDER OF

Toni Preckwinkle

\$ 100.00

DOLLARS



SOUTH CENTRAL BANK, NA
CHICAGO ILLINOIS 60607-4411

[Signature]

MP

FOR

⑆002030⑆



STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

10 AG 030

Friends of Marla Wilson 22494

Respondent

REPORT OF HEARING EXAMINER

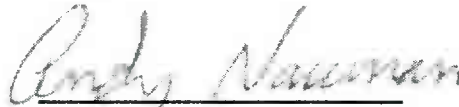
Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 for the 2010 General Election

This committee received one contribution of \$10,000 and one for \$133,750 on 10/21/10, and listed them on a Schedule A-1 filed on 11/3/10. The total assessment is \$143,750.

The Respondent was represented by Michael Kasper at the March 22, 2011 appeal hearing. Also attending was Marla Wilson, the candidate and Jay Powell the Political Director of the Senate Democratic Victory Fund.

Michael Kasper indicated that Friends of Marla Wilson filed a Schedule A-1 as soon as they were made aware of the contributions receipt. Typically when the Senate Democratic Victory Fund makes a wire transfer to another committee an email is sent to the recipient committee notifying them of the contribution. Marla Wilson stated that she has received emails from the Senate Democratic Victory Fund and she forwarded all of the information to Barb Mason who then files her reports. Ms. Wilson indicated that she always forwarded the information as soon as she got it and she does not recall receiving an email concerning the two contributions they have been assessed on. Marla Wilson was a first time candidate who hired a professional in Barb Mason to make sure all campaign disclosure matters were reported correctly. Ms. Wilson indicated that her committee was not aware of the contributions until after a complaint was filed with the State Board of Elections, *Karen Woodard vs Friends of Marla Wilson*. The committee then immediately attempted to verify the information in the complaint, and they realized they received the contributions and filed the A-1 accordingly. The reason the complainant in that matter possibly knew about the contributions is because when purchasing television ads additional disclosures are required. The public disclosure made by the political committee would have shown how much was spent on the media buy. The Respondent believes Ms. Woodard would have seen these public documents and then concluded that the Committee would have received additional funds. Mr. Kasper indicated that he believes the complainant in that matter was content with the A-1 filing because the complaint was withdrawn and they must have been satisfied with the disclosure. The committee requests that no fine be assessed. The respondent indicated that there was nothing willful, wanton, negligent or inadvertent about these contributions and they were reported as soon as they were known.

I believe Marla Wilson may not have know about these funds as she indicated in the appeal hearing, however, the fact that the committee reported a purchase of a media buy on the same day (10/21/10) and for the same amount (\$133,750) as one of the wire transfers, would suggest that someone within the organization knew the contribution was received. I also determined by using the receipt and expenditure dates from the December 2010 Semi-Annual Report that the committee did not have enough funds on hand to pay for the purchase of this media buy without the two wire transfers. I therefore recommend that the appeal be denied. However, since there is no indication that this violation was anything other than inadvertent and unintentional and since this was the first election for which a Schedule A-1 was filed delinquenty, I also recommend that the civil penalty be reduced to 10% of the original assessment or \$14,375. If this recommendation is accepted by the Board, the \$14,375 civil penalty will be due and owing. (As of 12/31/10, this committee reported a funds available balance of \$1,530.49.)



Andy Nauman – Hearing Officer

March 22, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Friends of Marla Wilson
631 N. Longwood St., Suite 101A
Rockford, IL 61107-4263

ID# 22494

Dear Friends of Marla Wilson;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Senate Democratic Victory Fund	10/21/2010	\$10,000	\$10,000	11/3/2010	6
Senate Democratic Victory Fund	10/21/2010	\$133,750	\$133,750	11/3/2010	6

The committee is fined a **total** of \$143,750.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$14,375.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)

STATE BOARD OF ELECTIONS

County of WINNEBAGO)

11 FEB 22 PM 4:00

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 10 AG 030FRIENDS OF MARLA)Respondent(s). WILSON)

APPEAL AFFIDAVIT

I, MARLA WILSON, the TREASURER of the
 (Name) (Chairman/Treasurer)
FRIENDS OF MARLA WILSON
 (Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I RESPECTFULLY REQUEST A HEARING
TO DISCUSS THE REASONS I SHOULD
NOT BE PENALIZED WITH THIS
ASSESSMENT.

Signed and Sworn to by:

JILL E. DECKARDbefore me this 22nd Day of
February, 2011Jill E. Deckard
Notary PublicMarla Wilson
(Signature of Chairman/Treasurer)

OFFICIAL SEAL
 JILL E. DECKARD
 NOTARY PUBLIC, STATE OF ILLINOIS
 My Commission Expires 10/16/2012

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AG 033

Citizens for Frederick Collins ID# 22753

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Failure to File Schedule A-1s for the 2010 General Election

The Committee received contributions of \$1100, \$1475 and \$3500 on 10/12/10 and a \$2500 contribution on 10/20/10, and reported them on a Schedule A-1 received by the Board on 11/8/10, 13 days late (for the 10/12 contributions) and 7 days late (for the 10/20 contribution.) The total assessment is \$8575.

Frederick Collins, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Collins states that Schedule A-1 reports for all four contributions were filed by fax within the two day time frame required. He includes a copy of a fax confirmation form, showing a successful fax transmission to the Board on 10/13/10, and adds that the Schedule A-1 form clearly states that the form may be hand delivered or faxed. Mr. Collins says the form does not state that it has to be filed electronically, nor does it give instructions for electronic filing. He adds that he spoke with Board staff, who confirmed that a Schedule A-1 could be faxed.

While it is true the Committee filed Schedule A-1s via fax on 10/13/10 and 10/21/10, the Committee had previously been notified, in a letter dated 7/29/10, that it was required to file its reports electronically and that future report filings would not be considered filed until filed electronically. Section 100.150(c)(2) of the Board's Rules and Regulations states, "*A paper report shall be considered a non-filing if the committee has previously received the notification referred to in subsection (c)(1). If the report is not filed electronically by the filing deadline, it shall be considered as having never been filed and the civil penalties mandated by 26 Ill. Adm. Code 125.425 will accrue until such time as it is filed electronically.*" The Committee was additionally notified in a letter dated 11/4/10 that the paper A-1 filings were considered non-filings, as a result of the electronic filing requirement. So the A-1 was not considered filed until it was filed electronically on 11/8/10. In regards to the instructions on the A-1 form itself, Mr. Collins is correct in stating the form in use at the time did not state that it had to be filed electronically, but it did list electronic transmission as one of the three filing methods. The fact

that no instructions for electronic filing are listed on the form is irrelevant, because the paper form is only used for paper filings. Finally, while it is certainly possible that Board staff may have told Mr. Collins a Schedule A-1 could be filed via fax, this would only have happened if the question of electronic filing was not raised – and in any case does not relieve the Committee of its requirement to file its reports electronically, as it had previously been notified. Therefore, I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional and since this is the first election for which this Committee failed to file a Schedule A-1, I further recommend the penalty be reduced to 10% of the original assessment, or \$858. If these recommendations are accepted by the Board, the \$858 civil penalty will be due and owing. (As of 12/31/10, this Committee reported a funds available balance of \$299.34.)

A handwritten signature in dark ink, appearing to read "Tom Newman", is written over a horizontal line.

Tom Newman – Hearing Officer
March 25, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Citizens for Frederick Collins
Frederick Collins
3432 W Franklin Blvd, Apt. 1A
Chicago, IL 60624-1315

ID# 22753

Dear Citizens for Frederick Collins;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Frank L. Capuzi Campaign Fund	10/12/2010	\$1100	\$1100	11/8/2010	13
Republic Renaissance PAC	10/12/2010	\$1475	\$1475	11/8/2010	13
Republic Renaissance PAC	10/12/2010	\$3500	\$3500	11/8/2010	13
Republic Renaissance PAC	10/12/2010	\$2500	\$2500	11/8/2010	7

The committee is fined a **total** of \$8575.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$858.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the*

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

11 FEB -1 AM 10:48

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.) Case No. _____)
Citizens for Frederick Collins)
Respondent(s).)

APPEAL AFFIDAVIT

I, Frederick Collins, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens for Frederick Collins
(Name of the Committee)

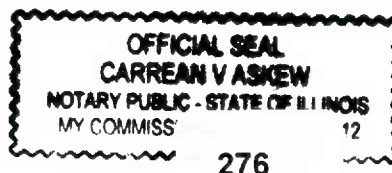
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I completed and filed my Schedule A-1 reports in the two day time frame
as reported. The forms were faxed into the State Board of Elections office
to the fax number listed on the Schedule A-1. I also have my fax confirmation
forms as proof. The Schedule A-1 clearly states that the form may be hand
delivered or faxed. It does not state that it has to be filed electronically
nor does it give instructions to file electronically. I also spoke with a

Tom Newman at 217-782-1558 regarding the filing of these reports and he confirmed
that it could be faxed.

Signed and Sworn to by:
Carrean Askew
before me this 28 Day of
January, 2011
Carrean Askew
Notary Public

Frederick Collins Ph: (312) 515-6135
(Signature of Chairman/Treasurer)



COPY

SCHEDULE A-1

REPORT OF CAMPAIGN CONTRIBUTIONS OF MORE THAN \$500

FOR OFFICE USE ONLY

Full name and complete mailing address of Political Committee:

CITIZENS FOR FREDERICK COLLINS
3432 W FRANKLIN BLVD - UNIT 1A
CHICAGO, IL 60624-1315

POLITICAL COMMITTEE
IDENTIFICATION NO.
22753

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

This form must be on file within **TWO** business days of receipt of a contribution in an aggregate of more than \$500 received: a) in the period from January 1st up through and including the day before a General Primary Election or b) in the 30 day period preceding any other election, (**up through and including the day before any election**) by either:

1. **HAND DELIVERY** - to a state board of elections office (see bottom of form for addresses),
2. **FACSIMILE** - to (217)-557-5630 or (217)-782-5959. Please retain a confirmation transmission for your records, or
3. **ELECTRONIC TRANSMISSION**

Postal service or other mail services may be used. **CAUTION:** such services do not guarantee that the A-1 form will be received by our office prior to the deadline. **A POSTMARK IS NOT USED TO DETERMINE WHETHER AN A-1 FORM HAS BEEN TIMELY FILED.**

This form must be on file with the State Board of Elections within two business days of receipt of a contribution of more than \$500 or penalties will be levied for late filings. Report may be hand delivered or faxed to (217)-557-5630 or (217)-782-5959.

THESE CONTRIBUTIONS MUST ALSO BE REPORTED ON THE NEXT REGULARLY SCHEDULED FORM D-2 REPORT, SCHEDULE A.

RECEIVED FROM: FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE	AMOUNT
Laura Liszewski 3707 Jay Lane Rolling Meadows, IL 60008	10/20/2010	\$ 25.00
Beverly Perlson 3615 Blue Ridge Court Aurora, IL 60504	10/20/2010	\$ 50.00
Republic Renaissance PAC 8 East Main Street Carpentersville, IL 60110-2824	10/20/2010	\$ 2,500.00
		\$
		\$ 2575.00

Frederick Collins
SIGNATURE OF TREASURER OR CANDIDATE

October 20, 2010

DATE

THE ILLINOIS STATE BOARD OF ELECTIONS IS REQUESTING DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1183, DISCLOSURE OF THIS INFORMATION IS REQUIRED. FAILURE TO PROVIDE ANY INFORMATION COULD RESULT IN A FINE UP TO \$5,000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT

STATE POLITICAL COMMITTEES RETURN TO:

STATE BOARD OF ELECTIONS OR
1020 S SPRING ST
PO BOX 4187
SPRINGFIELD, IL 62708-4187
(217) 557-5630 FAX, (217) 782-5959 FAX

STATE BOARD OF ELECTIONS
JAMES R. THOMPSON CENTER
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232

**LOCAL POLITICAL COMMITTEES AND STATE
AND LOCAL POLITICAL COMMITTEES SHALL
FILE WITH THE STATE BOARD OF ELECTIONS
AND EACH APPROPRIATE COUNTY CLERK.**

(THIS FORM MAY BE REPRODUCED)

SEE INSTRUCTIONS ON REVERSE SIDE

PAGE 1 OF 2

Revised 6/07



Fax Header Information

CPFCU FPC
3122266944
Oct-13-2010 09:20 AM

Job	Date/Time	Type	Identification	Duration	Pages	Result
1643	Oct-13-2010 09:19 AM	Send	12175575630	0:47	1	Success

Page A-1
[Signature]

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AG 023

Friends of Terri Bryant

Cmte ID: 22931

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

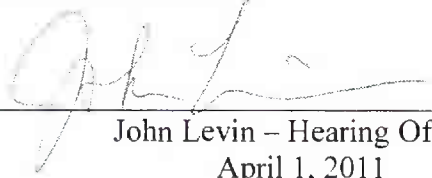
For Failing to File a Schedule A-1 for the 2010 General Election

No A-1 Report was filed in connection with a receipt dated October 11, 2010, in the amount of \$1,105.20. Accordingly, the assessment is for \$1,105.20. The Committee has not been previously assessed.

Terri Bryant, the Candidate and Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Ms. Bryant stated her newly-formed Committee was unaware the A-1 requirement applied in the instance of a loan received by her Committee. She said the loan was made by her husband, the Treasurer of the Committee.

While I appreciate the Committee's candor and believe the oversight to be inadvertent, I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, the assessment would automatically be reduced by 90-percent, and the fine of \$110.52 would be stayed as the product of a first offense. As of December 31, 2010, the Committee reported cash on hand of \$326.57.



John Levin – Hearing Officer
April 1, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2011

Friends of Terri Bryant
Terri Tryant, Richard Bryant
457 Lee Ln
Murphysboro, IL 62966-6431

ID# 22931

Dear Friends of Terri Bryant;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Richard Bryant	10/11/2010	\$1,105.20	\$1,105.20	*	

The committee is fined a **total** of \$1105.20 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$111, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.* Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS

11 MAR 15 PM 2:56

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)

Case No. 10 AG 023

Friends of Terri Bryant
Respondent(s).)

APPEAL AFFIDAVIT

I, Terri Bryant, the Chairman of the
(Name) (Chairman/Treasurer)

Friends of Terri Bryant
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

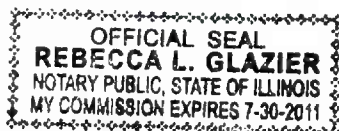
As a newly formed Committee we were unaware
that a loan is treated the same as a donation
and therefore did not report. The loan was made
by the treasurer (my spouse) to the Committee with the
full intention of repayment.

Signed and Sworn to by:

Terri Bryant
before me this 15th Day of
March, 2011

Rebecca L. Glazier
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 DS 248

Friends to Elect Richard Rodriguez
Cmte ID: 22985
Respondent

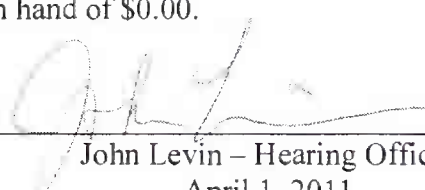
REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2010 Semi-Annual Report

The Report was received February 4, 2011, 11 days late, resulting in an assessment of \$275. The Committee has not been previously assessed.

Joseph Belman, the Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Belman stated the Committee simply forgot the deadline as it was focused on a fundraiser and its finances in general. He apologized for the oversight and said it won't happen again in the future.

I appreciate the Chairman's honesty in this matter but recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, the assessment would automatically be stayed as the product of a first offense. As of December 31, 2010, the Committee reported cash on hand of \$0.00.



John Levin – Hearing Officer
April 1, 2011

State of Illinois)
County of Will)

STATE BOARD OF ELECTIONS

11 MAR 28 AM 11:12

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends to Elect Richard Rodriguez)
Respondent(s).)

Case No. 11 DS 248

APPEAL AFFIDAVIT

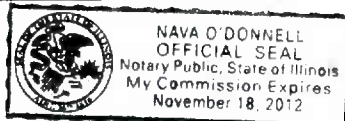
I, Joseph, the Joseph Belman of the
(Name) (Chairman/Treasurer)
Friends to elect Richard Rodriguez
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

AT that time we had a fundraiser and
we were concern about our finances and just
got caught up in our Campaigning and forgot
our timing. Were sorry, and it wont
happen again.

Signed and Sworn to by: Joseph Belman
before me this 18th Day of
March, 2011
Notary Public

Joseph Belman
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert L. Walters

Friends to Elect Richard Rodriguez
Joseph Belman
137 N Jefferson
Lockport, IL 60441-2611

ID# 22985

Dear Friends to Elect Richard Rodriguez;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on February 4, 2011, 11 day(s) late. As such, this committee has been assessed a fine of \$275.00.

Enclosed please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimus at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Sharon Steward".

Sharon Steward

Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal forms

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 DS 258

Strobeck-Eckersall for Assessor

ID# 23073

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
for Delinquently filing the 2010 December Semi-Annual Report

The Report was received by the Board on January 27, 2011, 5 days late, resulting in a civil penalty assessment of \$125.

Roger Wayman, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Wayman states that the Committee contacted the Board in January and was told the filing deadline for the Report was January 20. The Committee sent its Report on that date, apparently not realizing that a postmark on that date would not constitute a timely filing.

Section 9-10 of the Act indicates that a Report received late is considered a timely filing if it is mailed and postmarked at least 72 hours prior to the filing deadline. This Report was postmarked January 20. I recommend the appeal be denied for lack of an adequate defense. As a first offense, the \$125 penalty is stayed. In addition, since this Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of 2 years following the date of the Final Order imposing the penalty, the fine be abated.



Tom Newman – Hearing Officer
April 6, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert L. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2011

Strobeck-Eckersall for Assessor
Roger Wayman
6819 N Loleta
Chicago, IL 60646

ID# 23073

Dear Strobeck-Eckersall for Assessor;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

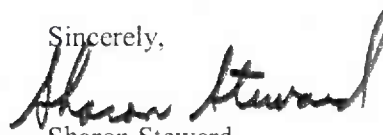
Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 27, 2011, 5 day(s) late. As such, this committee has been assessed a fine of \$125.00.

Enclosed please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal forms

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

11 MAR 22 AM 9:03

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 11 DS 258

Sharon Strobeck-Eckersall
Respondent(s).

APPEAL AFFIDAVIT

I, Roger Wayman, the Treasurer of the
(Name) (Chairman/Treasurer)
Strobeck-Eckersall for Assessor
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

When we read the forms it has one date &
When I called in January they told me I
should know that meant the date to receive
it. I have never run for office & do not
intend to run again. I was told they would
waive the fine but not again. Sharon Eckersall

Signed and Sworn to by:

before me this 11 Day of
March, 2011
Sharon Eckersall
Notary Public

Roger S. Wayman
(Signature of Chairman/Treasurer)

STATE BOARD OF ELECTIONS
1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Campaign Disclosure Division

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, Steve Sandvoss, General Counsel

Re: 10AP035 (Friends of Saviano - 7684) and 10AP061 (Citizens to Elect Judge Raymond Mitchell - 22125)

Date: April 6, 2011

Voluminous evidence was submitted regarding 10AP035 (Friends of Saviano - 7684) and 10AP061 (Citizens to Elect Judge Raymond Mitchell - 22125) that is not included in this packet. The evidence in its entirety is available for review upon request.

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

10 AP 035

Friends of Saviano 7684

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 for the 2010 General Primary

This committee received six contributions of \$1,000 on 1/29/10 and one contribution of \$2,500 on 1/27/10, but failed to list them on a Schedule A-1, resulting in a civil penalty of \$8,500. In addition, this committee was previously assessed a \$10,500 civil penalty (appealed, appeal granted) for failing to timely file five contributions on a Schedule A-1 for the 2002 GE. The total assessment is \$8,500.

Eugene Carpino, the treasurer of the committee, had a telephonic appeal hearing on February 1, 2011.

Mr. Carpino mailed the hearing officer information on four deposits they made prior to the appeal hearing being conducted. The first deposit, Group Exhibit 1, is a deposit from the Friends of Saviano that was made on 1/30/10 and information regarding those contributions within that deposit. The second deposit, Group Exhibit 2, is a deposit from the Friends of Saviano that was made on 2/6/10 and information regarding those contributions within that deposit. The third deposit, Group Exhibit 3, is a deposit from the Friends of Saviano that was made on 2/8/10 and information regarding those contributions within that deposit. The fourth deposit, Group Exhibit 4, is a deposit from the Friends of Saviano that was made on 2/15/10 and information regarding those contributions within that deposit.

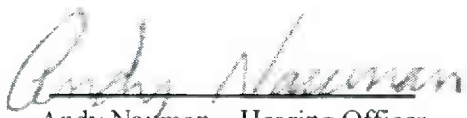
Mr. Carpino indicated that the committee filed a Schedule A-1 on 1/30/10 and this Schedule A-1 contained all of the contributions that would have been required to have been filed at that time. He also indicated that if the committee would have received the contributions in question they would have been included on the Schedule A-1 that was filed on 1/30/10. Mr. Carpino said he is very familiar with the filing requirements; he has been filing reports for the past 18 years and files for more than 20 committees. This committee and all of the committees he works with for that matter all follow the same protocol. The committee takes in a check, they make a copy of the check, write down the date received, put it in an envelope, give it to Mr. Carpino usually within a day if not the same day or fax it to him, he then uses his check scanner and deposits the check, a data entry person then enters the contribution in the ELECT filing software program. This process has been going on for many years. Mr. Carpino cannot explain why but for some reason the wrong date was written on the checks in question and therefore his data entry person listed that date in the ELECT program. It was a clerical error and he indicated it does not make sense but the clerical person who took in the mail wrote the wrong date for these contributions. For supporting evidence Mr. Carpino specified that all of the contributions listed on the 1/30/10 Schedule A-1 were all in the 1/30/10 deposit. Mr. Carpino points to this pattern of A-1 and deposit date support their contention that the committee would have filed the required Schedule A-1 if they would have received the

contributions during the A-1 period however they received these contributions after the A-1 period as per the deposit date.

Group Exhibit 1 and the Schedule A-1 filed by the committee on 1/30/10 support the respondents defense that the committee could have received the A-1 contributions on 1/28/10 as the written date and the Schedule A-1 indicate; then subsequently deposited the contributions on 1/30/10. Further review of this exhibit would also indicate that this deposit would have had contributions that were received on 1/25/10 that were then not deposited until 1/30/10. These contributions received on 1/25/10 did not require a Schedule an A-1 to be filed because they were in aggregate less than \$500, but it does show that the committee did not always deposit the funds within 2 business days of receipt. However, Mr. Carpino stated that special attention was considered when dealing with contributions that would require Schedule A-1 contributions to be filed. The respondent could not explain why the person who received the check would have written down the wrong date but that is what they indicated. In review of Group Exhibit 2 and 3 I have extracted the contributor, the date check was written, the hand written receipt date that was recorded by the committee and the deposit date for the assessed contributions:

<u>Contributor</u>	<u>Date check issued</u>	<u>Hand written receipt date</u>	<u>Deposit date</u>
Orange Crush LLC	1/15/10	1/27/10	2/6/10
Const. & Gen. Labors Dist Cou.	1/18/10	1/29/10	2/8/10
J & J Ventures Inc	1/27/10	1/29/10	2/8/10
CUPAC	1/27/10	1/29/10	2/8/10
DYN-PAC Illinois	11/23/09	2/2/10	2/6/10
IL Federation of Teachers COPE	1/21/10	2/2/10	2/6/10
IL Comm. Currency Exchange PAC	1/25/10	2/2/10	2/6/10

The committee could not explain why the person who received the Orange Crush LLC, Const. & Gen. Labors Dist Council, J & J Ventures Inc, and CUPAC wrote the wrong date of receipt and additionally the committee was granted an appeal on previous A-1 violations for the committee's bookkeeper entering the wrong date of receipt, I recommend that the appeal be denied for lack of an adequate defense. However, since there is no indication that this violation was anything other than inadvertent and unintentional, I also recommend that the civil penalty be reduced to 10% or \$550. According to the respondent's testimony on how the committee handles transactions, it would appear that the committee would have potentially listed the wrong receipt date on the semi-annual report as per the committee's protocol, but this was not part of their appeal. The DYN-PAC Illinois, IL Federation of Teachers COPE, and IL Comm. Currency Exchange PAC contributions would have been received on February 2, 2010 as per the handwritten receipt date and therefore would have been outside the A-1 period. I therefore recommend that the appeal for the DYN-PAC Illinois, IL Federation of Teachers COPE, and IL Comm. Currency Exchange PAC contributions be granted. I also recommend that the committee amend the June 2010 semi-annual report to reflect the receipt date of 2/2/10 for the DYN-PAC Illinois, IL Federation of Teachers COPE, and IL Comm. Currency Exchange PAC contributions within 30 days of the Final Order. If these recommendations are accepted by the Board, the total amount of \$550 will be due and owing. (As of 12/31/10 this committee reported a funds available balance of \$43,514.21 and investments of \$300,000.00.)


Andy Nauman – Hearing Officer
February 7, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Daniel W. White
November 9, 2010

Friends of Saviano
Eugene Carprino
141 Rose Dr
Bloomington, IL 60108

7684

Dear Friends of Saviano;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
J & J Ventures Inc	1/29/10	1000.00	1000.00	*not filed	2
Orange Crush LLC	1/27/10	2500.00	2500.00	*not filed	2
Const 7 General Laborers Dist Council	1/29/10	1000.00	1000.00	*not filed	1
CUPAC	1/29/10	1000.00	1000.00	*not filed	1
DYN-PAC Illinois	1/29/10	1000.00	1000.00	*not filed	1
IL Community Currency Exchange PAC	1/29/10	1000.00	1000.00	*not filed	1
Il Federation of Teachers COPE	1/29/10	1000.00	1000.00	*not filed	1

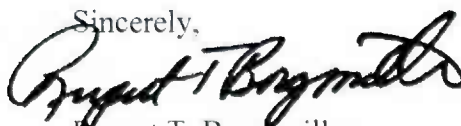
The committee is fined a **total** of \$9500.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$950.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered. If you have any questions regarding the appeal procedure, please call Sue Klos at 217/782-0608.

Sincerely,



Rupert T. Borgsmiller
Assistant Executive Director

RTB: sk
cc: Officer(s)

* This contribution was reported on the June 2010 Semi-annual Report but should have been reported on a Schedule A-1 Report of Campaign Contributions of more than \$500.00, within 2 business days of receipt.

** This contribution did not, by itself, necessitate filing of a Schedule A-1. No fine was assessed for this contribution – it is listed for informational purposes only. A subsequent contribution, when aggregated with this contribution, exceeded the "more than \$500.00" threshold and was used as the basis for the fine assessment.

State of Illinois)
County of DuPage)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends for Saviano)
Respondent(s).)

Case No. 10AP035

STATE BOARD OF ELECTIONS
10 OCT -6 AM 9:38

APPEAL AFFIDAVIT

I, Eugene F. Carpio, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends for Saviano
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Data entry staff made an error when entering
the date on a deposit done after the Feb
2, 2010 election. I have deposit records and
an A-I report filed on 1-30-10, if I
received these checks on 1-29-10 they would have been
reported on that run. I can provide evidence to
cooperate.

Signed and Sworn to by:

Susan G. Barton
before me this 3rd Day of
December, 2009 2010

Susan G. Barton
Notary Public
OFFICIAL SEAL
SUSAN G. BARTON
Notary Public - State of Illinois
My Commission Expires Aug. 11, 2011

Eugene F. Carpio
(Signature of Chairman/Treasurer)

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

10 AP 039

Friends of Dan Hynes

13187

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 for the 2010 General Primary

The Committee received one contribution of \$30,000 on 2/1/10, one \$606.96 contribution on 1/25/10, and two \$539.52 contributions on 1/26/10 and 1/27/10, but failed to list them on a Schedule A-1. In addition, this committee was previously assessed a \$100 civil penalty (appealed, appeal denied, penalty reduced, paid) for failing to file a Schedule A-1 for the 2002 General Election; a \$500 civil penalty (not appealed, penalty reduced, paid) for failing to file a Schedule A-1 for the 2004 General Election; a \$168 civil penalty (not appealed, penalty reduced, stayed) for delinquently filing a Schedule A-1 for the 2008 General Election. The total assessment is \$31,686.

Josie Singler, the treasurer of the committee, appeared on 12/10/10 for the appeal hearing.

Josie Singler indicated that the Friends of Dan Hynes inadvertently entered the wrong receipt date on the June 2010 Semi-annual report and that they would be happy to amend the report. She said under oath that the committee received a wire transfer from IPACE on 2/1/10. However, this contribution was not made known to her or the committee until the following day, 2/2/10 when she logged into the committees bank account. Upon receiving knowledge of the contribution Ms. Singler recalled looking at the campaign disclosure calendar and realized that the contribution was received outside of the A-1 period and therefore an A-1 was not required to be filed. In regards to the violations concerning Illinois Pipe Trades the committee admitted that those in-kind contributions unfortunately were missed. Ms. Singler stated that the committee filed several in-kind contributions from the Illinois Pipe Trades but these three slipped through the cracks.

The committee's bank statement indicated that the wire transfer from IPACE was received on 2/1/10 at 2:19 PM. However, Ms. Singler indicated under oath that the committee did not know they received the wire transfer from IPACE until she logged into the bank account the following day. Therefore, I recommend the Board grant the appeal for IPACE A-1 violation because the committee did not have knowledge of the actual receipt of the contribution until election day, which was outside of the A-1 period. Furthermore, I recommend the committee amend the June 2010 Semi-annual report to reflect the contribution date of 2/2/10 for the IPACE \$30,000 contribution within 30 days of the Final Order. In regards to the three A-1 violations from Illinois Pipe Trades, I recommend that the appeal be denied for

lack of an adequate defense. However, since there is no indication that these three violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 50% of the original assessment, or \$843. If the Board accepts these recommendations, the stay on the assessment for the 2008 General Election A-1 violation would be lifted and the total of \$1,011 would be due and owing. (As of 12/31/10, this committee reported a funds available balance of \$5,011.06.)

A handwritten signature in cursive script, reading "Andy Nauman".

Andy Nauman – Hearing Officer
January 21, 2010

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan Schneider, Chairman
Wanda Rednour, Vice Chairman
Patrick Brady
John Keith
William McGuffage
Albert Porter
Jesse Smart
Robert Walters

EXECUTIVE DIRECTOR
Daniel W. White
November 9, 2010

Friends of Dan Hynes
9323 S Bell Ave
Chicago, IL 60643

ID # 13187

Dear Committee;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
IL Pol. Action Cmte for Education	2/1/10	30000.00	30000.00	*not filed	1
IL Pipe Trades Advancement	1/25/10	606.96	606.96	*not filed	4
IL Pipe Trades Advancement	1/26/10	539.52	539.52	*not filed	3
IL Pipe Trades Advancement	1/27/10	539.52	539.52	*not filed	2

The committee is fined a **total** of \$31686.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$15843.00, (50% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

State of Illinois)
County of Cook)

CHICAGO

10 NOV 22 AM 10:41

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Dan Hynes)
Respondent(s).)

Case No. _____

APPEAL AFFIDAVIT

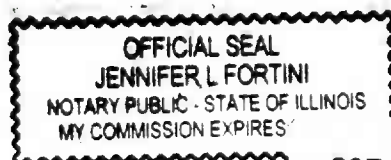
I, Josephine Singler, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Dan Hynes
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The committee inadvertently input the
wrong receipt date on the P2 report. As
a result, the committee was not obligated to file
an A-1 Report for this contribution. We will
present evidence regarding this error at the hearing.

Signed and Sworn to by:
Jennifer L. Fortini
before me this 22nd Day of
November, 2009
Jennifer L. Fortini
Notary Public

Josephine Singler
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AG 020

Friends of Adam Brown

ID# 21520

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing a Schedule A-1 for the 2010 General Election

The Committee received three in-kind contributions from the Illinois Republican Party: the first, totaling \$8316.02, was received on 10/4/10 and reported on a Schedule A-1 on 10/11/10, 3 days late; the second, totaling \$1986.72, was received on 10/12/10 and reported on a Schedule A-1 on 10/15/10, 1 day late; the third, totaling \$2959.75 was received on 10/12/10 and reported on a Schedule A-1 on 10/15/10, 1 day late. The total assessment is \$13,262.49.

Adam Brown, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Brown states the Committee committed a clerical error by entering the dates listed on the in-kind contribution notification forms received from the Illinois Republican Party rather than the dates the notification forms were actually received by the Committee. He includes copies of the in-kind contribution notification forms, and states the notification for the contribution originally reported as being received on 10/4/10 was actually received on 10/11/10, and the other two notification forms were both received on 11/5/10.

The evidence provided by the Committee appears to refute the claim that the wrong dates were used to report the in-kind contributions. If the notification forms for the two contributions dated 10/12/10 were not actually received until 11/5/10, how was the Committee able to report the contributions on a Schedule A-1 on 10/15/10? While the Committee's claim is more plausible for the first contribution (dated 10/4/10), I am disinclined to believe it based on the example of the other two contributions. It therefore appears the Committee did in fact accurately report the dates of the in-kind contributions on the Schedule A-1 reports (and Semi-Annual Report) it filed. However, Section 100.120(c) of the Board's Rules and Regulations states in part, "*An in-kind contribution of goods or services, possession of which is not actually obtained by the recipient committee shall be deemed to be received 2 days after the date the certificate required by subsection (a) of this Section is received...*" Although the wording of this rule has since changed, it was in place at the time of these filings. Therefore, the in-kind contribution

given on 10/4/10 would be considered to be received by the Committee on 10/6/10 and the two in-kind contributions given on 10/12/10 would be considered to be received by the Committee on 10/14/10. This means the latter two filings would be considered timely, although the first in-kind contribution, totaling \$8,316.02 would still be 1 day late. As a result, I recommend the appeal be denied in regards to contribution #1 and accepted in regards to contributions #2 and #3. Since there is no indication the violation was anything other than inadvertent and unintentional, I further recommend the penalty be reduced to 10% of the original assessment, or \$832. (This figure is arrived at based on the granting of the appeal for contributions #2 and #3.) As a first violation, the \$832 penalty is stayed. (As of 12/31/10, this Committee reported a funds available balance of -\$13,993.09.)

A handwritten signature in black ink, appearing to read "Tom Newman", with a long horizontal flourish extending to the right.

Tom Newman – Hearing Officer
February 23, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

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Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
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Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Friends of Adam Brown
P.O. Box 950
Decatur, IL 62523-0950

ID# 21520

Dear Friends of Adam Brown;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Illinois Republican Party	10/4/2010	\$8316.02	\$8316.02	10/11/2010	2
Illinois Republican Party	10/12/2010	\$1986.72	\$1986.72	10/5/2010	1
Illinois Republican Party	10/12/2010	\$2959.75	\$2959.75	10/5/2010	1

The committee is fined a **total** of \$13,262.49.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1327.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)
County of Macou)

STATE BOARD OF ELECTIONS

11 FEB 17 PM 12:54

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Adam Brown)
Respondent(s).)

Case No. 10 AG 020

APPEAL AFFIDAVIT

I, Adam Brown, the Chairman of the
(Name) (Chairman/Treasurer)
Friends of Adam Brown
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Our committee, Friends of Adam Brown,
committed a clerical error when reporting the
A-lis in question. These A-lis were accidentally
dated to reflect paperwork of the Illinois Republican
Party rather than the date of receipt by our
committee. This issue has been corrected within our
committee, and State Board regulations noted.

Signed and Sworn to by: Adam M. Brown
before me this 14th Day of
February, 2011
Kristi Crisman
Notary Public

Adam M. Brown
(Signature of Chairman/Treasurer)



IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, and its value exceeded \$500, occupation & employer must be provided.

To:

Friends of Adam Brown

P.O. Box 950

Decatur, IL 62523

From:

Illinois Republican Party

205 W. Randolph St.

Suite 1245

Chicago, IL 60606

Occupation & Employer (if applicable)

In-Kind Contribution

Date inadvertently posted.

Full Name, Mailing Address and Zip Code of Vendor (if applicable)	Date	Value
Curtis Scott Advertising, Inc. 2100 Clearwater Drive Suite 102 Oak Brook, IL 60523	10/04/2010	\$8,316.02
	Description	
	Mail Production & Postage	

Date of receipt by Friends of Adam Brown: 10/11/2010

The political committee must report these donations on the Form D-2, Report of Campaign Contributions and Expenditures, Part 5.



Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, and its value exceeded \$500, occupation & employer must be provided.

To:

Friends of Adam Brown

P.O. Box 950

Decatur, IL 62523

From:

Illinois Republican Party

205 W. Randolph St.

Suite 1245

Chicago, IL 60606

Occupation & Employer (if applicable)

In-Kind Contribution

Date inadvertently posted.

Full Name, Mailing Address and Zip Code of Vendor (if applicable)	Date	Value
Express Mailing Services, Inc. P.O. Box 256 Itasca, IL 60143	10/12/2010	\$2,959.75
	Description	
	Mail Production & Postage	

Date of receipt by Friends of Adam Brown: 11/05/2010

The political committee must report these donations on the Form D-2, Report of Campaign Contributions and Expenditures, Part 5.

Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, and its value exceeded \$500, occupation & employer must be provided.

To:

Friends of Adam Brown

P.O. Box 950

Decatur, IL 62523

From:

Illinois Republican Party

205 W. Randolph St.

Suite 1245

Chicago, IL 60606

Occupation & Employer (if applicable)

In-Kind Contribution

Date inadvertently posted.

Full Name, Mailing Address
and Zip Code of Vendor
(if applicable)

Graphic Image Corporation
2035 W. Grand Ave.
Chicago, IL 60612

Date

10/12/2010

Value

\$1,986.72

Description

Mail Production & Postage

Date of receipt by Friends of Adam Brown:
10/05/2010

The political committee must report these donations on the Form D-2, Report of Campaign Contributions and Expenditures, Part 5.

Signature of Contributor

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AP 101

Committee to Elect Joseph Berrios Assessor

ID 22442

Respondent

REPORT OF HEARING EXAMINER

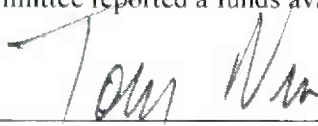
Appeal of Civil Penalty Assessment for Delinquent Filing
Schedule A-1s for the 2010 General Primary Election

The Committee received a \$400 and a \$200 contribution from 5 West Hubbard Corp. on 1/28/10, and failed to report the second contribution on a Schedule A-1; a \$500, a \$475, and a \$300 from Robert Gorman on 1/29/10 and failed to report the \$300 contribution on a Schedule A-1; and a \$495 contribution on 1/8/10 from William L Smith Jr. and a second \$495 contribution from the same source on 1/28/10, but failed to report the second contribution on a Schedule A-1. The total assessment is \$995.

Joseph Berrios, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Berrios states the \$500 contribution from Robert Gorman on 1/29/10 was reported on a Schedule A-1 on 2/1/10, which he says was timely. Mr. Berrios says the other receipts were all under \$500 and were received at different times with the Committee unaware of the prior contributions.

In reviewing the three contributions from Robert Gorman, I concluded the Committee should not have been assessed for a late A-1 filing (the most favorable way of viewing the contributions would put the \$475 contribution first, the \$500 contribution second and the \$300 contribution third – this would only have necessitated a Schedule A-1 for the \$500 contribution, which as Mr. Berrios points out was filed on 2/1/10.) However, in regards to the contributions from the other two sources, an A-1 was required because the second contribution in each case made the aggregate total more than \$500. The Committee was responsible for keeping track of the aggregate totals and for filing a Schedule A-1 when those totals exceeded \$500. Therefore, I recommend the appeal in regards to the \$300 contribution from Robert Gorman be granted, but the appeal for the other two assessments be denied for lack of an adequate defense. Since there is no indication the violations were anything other than inadvertent and unintentional and since this is the first election for which this Committee was delinquent in filing a Schedule A-1, I further recommend the penalty be reduced to 10% of the original assessment, or \$70. If these recommendations are accepted by the Board, the civil penalty of \$70 will be due and owing. (As of 12/31/10, this Committee reported a funds available balance of \$26,022.47.)



Tom Newman – Hearing Examiner
March 25, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
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BOARD MEMBERS
Bryan A. Schneider, Chairman
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Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Committee to Elect Joseph Berrios Assessor
Joseph Berrios, Maria Antonia Berrios
33 N. LaSalle St., Suite 3300
Chicago, IL 60602-3395

ID# 22442

Dear Committee to Elect Joseph Berrios Assessor;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
5 West Hubbard Corp.* **	1/28/2010		\$400		1
5 West Hubbard Corp.*	1/28/2010	\$200	\$200		
Robert Gorman* **	1/29/2010		\$500		
Robert Gorman* **	1/29/2010		\$475		
Robert Gorman*	1/29/2010	\$300	\$300		1
William L. Smith Jr.* **	1/8/2010		\$495		
William L. Smith Jr.*	1/28/2010	\$495	\$495		1

The committee is fined a **total** of \$995.00.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

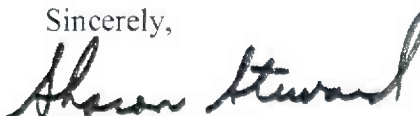
Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$100.00.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.* Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217/782-1543.

Sincerely,



Sharon Steward

Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the June 2010 Semi-annual Report but should have been reported on a Schedule A-1 Report of Campaign Contributions of more than \$500.00, within 2 business days of receipt.

** This contribution did not, by itself, necessitate filing of a Schedule A-1. No fine was assessed for this contribution – it is listed for informational purposes only. A subsequent contribution, when aggregated with this contribution, exceeded the "more than \$500.00" threshold and was used as the basis for the fine assessment.

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;
ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

vs.

Committee to Elect Joseph Berrios Assessor

Respondent(s).

No. 10 AP 101

APPEAL AFFIDAVIT

I, Joseph Berrios, the Chairman of the
(Name) (Chairman/Treasurer)

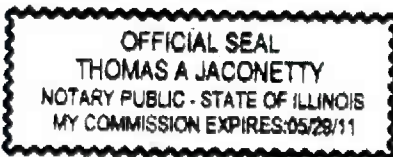
Committee to Elect Joseph Berrios Assessor

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The contribution received from Robert Gorman on 1/29/10 for \$500 has an A1 filing date of 2/1/10 which falls within the two business day filing deadline for a timely filing.

The remaining receipts listed on the Civil Penalty Assessment are under five hundred dollars and were received at different times with the committee unaware of prior contributions.



Subscribed and Sworn to Before me
This 26th Day Of January, 20 11

Thomas A. Jaconetty
NOTARY PUBLIC

[Signature]
(Signature of Chairman/Treasurer)

ANDREW FINKO

ATTORNEY AT LAW

P.O. Box 2249
Chicago, IL 60690

Tel: (773) 480-0616
Fax: (773) 453-3266
Green.Attorney@yahoo.com

January 19, 2011

Via FAX to (217) 782-5959

Via EMAIL to SSandvoss@elections.il.gov

Steve Sandvoss
Illinois State Bd. of Elections
1020 S. Spring St.
Springfield, IL 62704

Re: Friends of William J. Kelly
S10016-02

Dear Mr. Sandvoss:

I am writing on behalf of the Friends of Williams J. Kelly campaign committee (the "Committee"). I was retained in December, and have investigated the Board of Elections fines assessed against the Committee, and although beyond the thirty day time period for appeals, am requesting that leave be allowed by the Board of Election Commissioners for the Committee to file its appeal.

Specifically, this is a small committee that was created in late 2009 to promote the candidacy of William J. Kelly, who was running for Comptroller in the February 2010 General Primary Election. Mr. Kelly was not successful at the primary, and the committee went dormant for much of the year. In addition, the assessed fines do not arise from a willful failure to file nor a willful filing of false or incomplete disclosures, but rather, arise from inadvertence and inexperience of the Committee members, who misconstrued the Committee's reporting obligations. The Committee also has meritorious and good faith defenses that were not previously known, but would explain the erroneous filings, which if allowed, could be amended to correct the errors.

This is a relatively small committee, both in terms of contributions/expenditure dollars and personnel. The fines, if allowed to stand, are excessive and not proportionate given the actions of the Committee, and its available funds, and would impose a very severe hardship on the Committee, which is currently inactive.

Through inadvertence (and belief, the committee officers misplaced and did not find the Board's November letter to the Committee until after the 30 day appeal time. Nonetheless, if given leave to appeal, the Committee would establish that there are facts relevant to the filing of the A-1 forms that would explain that the reported A-1 "rent" was not a payment to the committee or on the committee's behalf, nor was it a one-month, lump sum valuation. The amount of the A-1 was incorrectly stated, since the reported amount was the total estimated value of office space that was donated to the committee from the time of its formation through the time of election (ie it was not a one-month in-kind donation). Similarly, the "loan" reported on the A-1 was a loan that was made more than 30 days before the election, but from what I have learned, was reported on the date when the invoice arrived.

ANDREW FINKO

ATTORNEY AT LAW

Steve Sandvoss
January 19, 2010
Page 2

Additionally, the Committee requests an opportunity to address the tardy filing of its semi-annual report, which was delayed due to the Committee's inability to retain an accountant to prepare the semi-annual report.

Accordingly, request is made of the Board of Election Commissioners for leave to file an appeal of the fines assessed by the Committee, as well as the opportunity for a settlement conference to expeditiously resolve the assessed fines within the limits of funds remaining in the Committee bank account.

Please let me know if you require any additional information or details, and I will promptly provide any and all requested information. Thank you.

Sincerely,



Andrew Finko

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

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Chicago Illinois 60601
312/814-6440
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Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Daniel W. White
November 9, 2010

Friends of William J Kelly
207 E Ohio St, #420
Chicago, IL 60611

22238

Dear Friends of William J Kelly;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Laura Grochocki	1/20/10	2010.00	2010.00	*not filed	7
MCM Properties	1/1/10	2975.00	2975.00	*not filed	19

The committee is fined a **total** of \$4985.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

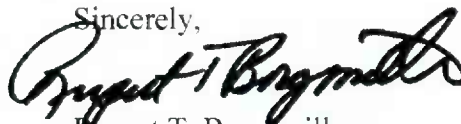
Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$499.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

If you have any questions regarding the appeal procedure, please call Sue Klos at 217/782-0608.

Sincerely,

A handwritten signature in black ink, appearing to read "Rupert T. Borgsmiller". The signature is fluid and cursive, with the first name "Rupert" being more prominent.

Rupert T. Borgsmiller
Assistant Executive Director

RTB: sk

cc: Officer(s)

* This contribution was reported on the June 2010 Semi-annual Report but should have been reported on a Schedule A-1 Report of Campaign Contributions of more than \$500.00, within 2 business days of receipt.

** This contribution did not, by itself, necessitate filing of a Schedule A-1. No fine was assessed for this contribution – it is listed for informational purposes only. A subsequent contribution, when aggregated with this contribution, exceeded the "more than \$500.00" threshold and was used as the basis for the fine assessment.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

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Chicago Illinois 60601
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Fax: 312/814-6485



BOARD MEMBERS
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William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Daniel W. White
November 9, 2010

Friends of William J Kelly
William Kelly, Laura Grochocki
440 N McGlurg Ct #816
Chicago, IL 60611

ID# 22238

Dear Committee;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2010 through June 30, 2010
Filing Period:	July 1, 2010 through July 20, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on September 17, 2010, 42 day(s) late. As such, this committee has been assessed a fine of \$2100.00.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.***

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days. Therefore, you need not pay this assessed civil penalty unless another violation occurs. If you have questions regarding the appeal process, please call Sue Klos at 217/782-0608.

Sincerely,

Rupert T. Borgsmiller
Assistant Executive director

RTB: sk

STATE BOARD OF ELECTIONS
1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Campaign Disclosure Division

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, Steve Sandvoss, General Counsel

Re: Civil Penalty Assessments Necessitating a Final Board Order

Date: April 7, 2011

Listed below are committees that have been assessed a civil penalty for delinquently filing the June 2010 Semi-annual report, the D-1 Statement of Organization, Schedule A-1's in conjunction with the February and November 2010 elections, and the November 2010 Pre-election report. These violations were not appealed & should be issued a Final Board Order.

June 2010 Semi-annual Report			
Cmte Name	Cmte No	Amt of Fine	Previous Violations
Cmte to Elect Dick Koritz State's Attny **	11865	\$500	1 semi
Citz for Christian Smith **	16657	\$3350	None
Friends of Terry Stephens	21582	\$1575	None
New Broadview Tea Party	21948	\$2500	Semi, A-1 (pd)
Citz for Andrea Raila **	22219	\$1550	None
Friends of William Kelly	22238	\$2100	None
Friends of Derrick Smith	22275	\$5000	D1, semi, A-1
Citz to Elect Karen Wilkerson **	22608	\$850	None
IL Black Business PAC	22756	\$825	None
D-1 Statement of Organization			
Cmte Name	Cmte No	Amt of Fine	Previous Violations
Cmte to Elect Christine Boban Merriman	22795	\$1400	None
Schedule A-1			
Cmte Name	Cmte No	Amt of Fine	Percentage Reduction
Sangamon County Dem Central Cmte	317	\$2000	\$200
Monroe County Repub Central Cmte	607	\$1000	\$100
Taxpayers for Quinn	1212	\$61,000	\$6100
Citz for David Orr	4314	\$1443	\$145
Citz for William Beavers for Cook Co Board	4347	\$1500	\$50
Family-PAC	8185	\$1000	\$100
Voters for McCarthy	11610	\$3213.47	\$322
Citz for Judge Jim Epstein	14908	\$500	\$50
Friends of John Bradley Inc	17626	\$1000	\$100
Friends of Terry Marketti (10 AP)	18102	\$315.50	\$32
Friends of Terry Marketti (10 AG)	18102	\$1368.75	\$685
Beitel for Sheriff	19215	\$2102.98	\$211
Friends of Todd Stroger	19927	\$34950	\$17475

Cmte Name	Cmte No	Amt of Fine	Percentage Reduction
Friends of Jerry Lack	20726	\$222.85	\$23
Friends of Patrick Maher	21131	\$2100	\$210
Cmte to Elect Jeremy Karpen for State Rep	21154	\$5000	\$500
Zalewski for State Rep	21222	\$750	\$75
Orland Twp Republican Org	21344	\$581	\$59
SEIU Healthcare IL-IN PAC	21671	\$100,000	\$10,000
Citz to Elect Brian McKillip	21704	\$500	\$50
Citz to Elect Linda Pael	21770	\$1443.99	\$145
Citz for Circuit Judge Diane Winter	22079	\$1000	\$100
Friends of William Kelly	22238	\$4985	\$499
Collier 4 Sheriff **	22306	\$2550	\$255
Friends of Rafael Rivadeneira	22308	\$4717.74	\$472
Citz for Onayemi	22350	\$1000	\$100
Cmte to Elect Keith Wheeler	22393	\$400	\$40
Friends for Stella Black	22412	\$3500	\$350
Dan Sukel Campaign Fund **	22415	\$1000	\$100
Cmte to Elect Dan Gallagher	22437	\$1443.99	\$144
Citz for Ron David	22472	\$2000	\$200
Friends of Mike Huguelet	22495	\$5000	\$500
Citz for Adam Robinson	22575	\$2400	\$240
Coles Citz Acting for Responsible Education **	22583	\$1000	\$100
Aaron Booker for Sheriff	22656	\$1250	\$125
Citz for Wojcik **	22697	\$374.75	\$38
Citz for Claypool	22706	\$10,000	\$1000
Citz in Support of the St Charles Public Library **	22861	\$91.00	\$10
Friends of Judge Conway Cmte **	22891	\$886.70	\$89
Citz for Sam Perks	23034	\$1851.20	\$186

November 2010 Pre-election Report

Cmte Name	Cmte No	Amt of Fine	Previous Violations
Logan County Republican Central Cmte	180	\$100	None
Carpenters Local 13 PAC	458	\$100	None
New Lenox Republican Central Cmte	4712	\$200	None
Woodford County Democratic Central Cmte	5610	\$100	None
Friends of Ted Lechowicz	5856	\$1100	None
Franklin County Democratic Org	6168	\$100	None
Bradley A Stephens Committeeman Fund	7589	\$100	None
Citz for David Miller	14923	\$200	1 semi
Citz for Brent C Paxton	15264	\$400	None
Citz for Colvin	16242	\$2400	None
Citz to Elect Andre Thapedi	19352	\$200	None
Friends of Matt Donkin	19480	\$100	None
Cmte to Elect Donald Wiseman Treasurer	19521	\$100	None
Cmte to Elect Margaret Gallagher Lustig **	19642	\$100	None
Citz for Lay	19777	\$200	None
Citz for Deb Mell	20627	\$100	None

Cmte Name	Cmte No	Amt of Fine	Previous Violations
Curtis Newport Boone County Treasurer **	21337	\$400	1 pre
Citz for Rob Mehan **	21351	\$300	None
DuPage County Green Party	21479	\$500	None
Citz for Scott Lee Cohen	21641	\$500	None
Friends for Judge Bobbi **	22133	\$100	None
Friends of Judge Geary Kull	22225	\$300	1 semi
Citz to Elect Mike Everett	22232	\$300	None
Friends of Bob Pilmer	22241	\$300	None
Mike Morris for Treasurer	22292 **	\$100	None
Citz to Elect Linzy Jones **	22316	\$500	None
Friends of Melissa Taylor	22573	\$200	None
Friends of Linda Healy **	22602	\$500	1 semi
Citz for Sharon Oden Johnson **	22754	\$200	None
Friends of John Argoudelis	22782	\$100	None
Jim Speta for the 47 **	22798	\$200	None
Citz for Pietrowski	22928	\$500	None
Citz for Luke Parr **	22937	\$100	None
Citz for Karrie Alms	22958	\$100	None
Democratic Attorney Generals Assoc **	22962	\$500	None
Cmte to Retain Judge Dennis Hatch	22984	\$300	None

(- DENOTES COMMITTEES THAT HAVE FILED A FINAL REPORT)**

STATE BOARD OF ELECTIONS

1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Campaign Disclosure Division

To: Rupert T. Borgsmiller, Executive Director, Members of the Board

Re: Payment of Civil Penalties - Informational

Date: April 6, 2011

The following committees have made payment of outstanding civil penalties for the period of 3/10/11 – 4/6/11.

- IL Green Industry Association PAC - \$250
- Gary Dahl for State Senate -- \$650
- Volunteers for Delgado -- \$100
- Committee to Elect Denise Winfrey -- \$100
- Massac County Republican Central Committee -- \$300
- Citizens for Larry Hanson -- \$75
- Committee to Elect Alex Ledesma -- \$25
- Mokena SD 159 Referendum Committee -- \$575
- Wauconda United -- \$50
- Committee to Elect Marty Meredith for Sheriff -- \$250
- Friends of Jim Shales -- \$75
- Canning for Wilmette President -- \$200
- Friends to Elect Kevin Turner for Sheriff -- \$125
- Committee to Elect Jack LeHayne -- \$125
- Citizens for Paul Struck -- \$125
- Friends of Aaron Weiss -- \$75

Total Amount Paid for this Period- \$3100

Year to Date Totals:

1/8/2011 – 2/10/11: \$8511

2/11/2011 – 3/10/2011: \$7,592

3/10/11 – 4/6/11: \$3,100

Payment Plan update

No committees are currently under payment plans

STATE BOARD OF ELECTIONS

1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Division of Campaign Disclosure

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, & Steve Sandvoss, General Counsel

Re: Proposed Rule – Use of Electronic Filing Defense for Delinquent Filings

Date: April 7, 2011

Incorporated in this proposed rule were changes proposed by Member Keith.

Section 100.150 Electronic Filing of Reports

c)5) If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, ~~firewalls~~, or system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal. The Board may consider the following circumstances or factors in determining whether to grant an appeal based upon an electronic filing defense:

- A. Whether the committee has raised any electronic filing issue as a defense in a prior appeal, ~~excluding defenses raised previously for IDIS 1.2~~; an electronic filing defense may be taken into consideration only once ~~during the lifetime of a committee when using any version released subsequent to IDIS 1.2~~, barring extraordinary circumstances and excepting the circumstances outlined in Sections H and I.
- B. The length of time the Committee has been filing electronically, regardless of whether the committee officers or the persons actually filing the report have changed.
- C. Whether the committee has established an electronic filing username and password by 5:00 p.m. on the filing deadline date. Failure to contact the Board to establish or verify this information during normal Board work hours shall not constitute a valid electronic filing defense.
- D. Misplacing, forgetting, or simply not knowing a username and/or password shall not constitute a valid electronic filing defense.
- E. Whether the committee had attempted to download and install the program and enter data in sufficient time that a person could reasonably assume there would be time to complete the filing, even if problems were encountered. Such problems may include installation issues, data entering issues, or the possible need to use a second computer. (i.e. A user who begins the process late on the last day to file and then has a problem installing the program would not have a valid electronic filing defense.)

- F. If the committee misses a filing deadline, the candidate, an officer of the committee, or ~~the designated contact person for~~ a contact person designated by the committee for electronic filing purposes must contact the Board and speak to a staff member by the close of business the day after the deadline; however, simply contacting the Board does not excuse the filer. In order to be eligible, it is simply the first essential required step.
- G. If a deadline is missed, regardless of the reason, the committee must make a reasonable attempt to resolve any filing issues as quickly as possible and file its report.
- H. If the Board website is unable to accept electronically filed reports for a period exceeding one hour beginning at 12:00 p.m. on the last day to file, this may constitute a valid defense depending upon when the committee actually attempted to upload its report.
- I. If, in the opinion of the Director of Campaign Disclosure, a committee has made a sincere effort to communicate with Board staff to resolve a problem that was brought to staff's attention in sufficient time that it would normally have been resolved to allow for a timely filing, but which was not resolved, the Board may take that effort into consideration when determining whether to accept an electronic filing defense.
- J. Not possessing a computer with the capability to use the electronic filing program or not having access to the internet in order to file and receive updates to its program does not constitute a valid electronic filing defense.

SSTATE BOARD OF ELECTIONS

From the desk of.... Mark Mossman, Director of Election Information

Phone: 217-557-0855

Email: mmossman@elections.il.gov

To: Rupert Borgsmiller, Executive Director
Re: April 5 Consolidated Election Assignments
Date: March 28, 2011

OFFICE SPRINGFIELD 5:00 a.m. – 10:00p.m.

5:00 a.m. – 10:00 p.m.	Mark Mossman	break 2:00 p.m. – 5:00 p.m.
6:00 a.m. – 4:00 p.m.	Jane Gasperin	break 11:00 a.m. – Noon
6:00 a.m. – 3:30 p.m.	Bernadette Harrington	break 11:00 a.m. – Noon
6:00 a.m. – 2:30 p.m.	Kyle Thomas	break 11:30 a.m. – 12:30 p.m.
7:00 a.m. – 5:00 p.m.	Kay Walker	break Noon – 1:00 p.m.
8:00 a.m. – 4:30 p.m.	Brian Matthews	regular lunch
8:00 a.m. – 4:30 p.m.	Mike Montney	regular lunch
8:00 a.m. – 4:30 p.m.	Bruce Brown	regular lunch
8:00 a.m. – 4:30 p.m.	Jamye Sims	regular lunch
8:30 a.m. – 4:30 p.m.	Brian Zilm	regular lunch
10:00 a.m. – 7:00 p.m.	Amy Eddings	break 1:30 p.m. – 2:30 p.m.
10:30 a.m. – 8:30 p.m.	Gary Nerone	break 3:00 p.m. – 4:00 p.m.
11:00 a.m. – 8:00 p.m.	Amy Evans	break 3:30 p.m. – 4:30 p.m.
1:00 p.m. – 10:00 p.m.	Dianne Felts	break 5:00 p.m. – 6:00 p.m.
1:00 p.m. – 10:00 p.m.	Steve Sandvoss	break 5:30 p.m. – 6:30 p.m.

CHICAGO
6:00 a.m. – 8:00 p.m.

6:00 a.m. – 3:30 p.m.	Ken Menzel Rose Rodriguez Marc Petrone	break 10:30 a.m. – 11:30 a.m. break 11:00 p.m. – Noon break Noon – 1:00 p.m.
10:30 a.m. – 8:00 p.m.	Mark Greben Darcell McAllister Rick Fulle	break 2:30 p.m. – 3:30 p.m. break 3:30 p.m. – 4:30 p.m. break 4:30 p.m. – 5:30 p.m.

FIELD ASSIGNMENTS

East St. Louis (central count center) (request)	Eric Donnewald
Alexander County (request)	Jeff Berry
St. Clair County (request)	Brent Davis Cheryl Hobson

STATE BOARD OF ELECTIONS



From the desk of.... Mark Mossman, Director of Election Information
Phone: 217-557-0855
Email: mmossman@elections.il.gov

To: Rupert T. Borgsmiller, Executive Director
Re: April 5, 2011 Consolidated Election Report
Date: April 8, 2011

Based upon the extremely low volume of phone calls received, it appears that the Consolidated Election went smoothly. The majority of the calls received were of the mundane and typical variety such as electioneering within the area known as the campaign free zone, complaints concerning political signs, pollwatchers, and questions centering on individuals' registration status or polling place location. As expected with this type of election, some jurisdictions had issues regarding voter registration records not being updated reflecting annexation activities in certain municipalities thus causing coding issues as to a voter's status and what offices they were entitled to vote for.

Brent Davis and Cheryl Hobson were present in St. Clair County on election day at the request of the County Clerk, Bob Delaney. Nine precincts, specifically located in Cahokia and Centreville, were identified by the clerk as areas of concern. These precincts were visited multiple times throughout the day as well as three additional precincts not identified by the clerk. Police officers were stationed at each of the nine polling places identified by the clerk with multiple staff members from the State's Attorney's office also visiting these precincts throughout the day. All of the precincts visited appeared to be in good order, due in great part to the efforts of law enforcement personnel and staff from the state's attorney's office. Given the strong interest in the local races in these areas, and the strong showing of campaigners outside the campaign free zone at these polling places, both SBE staff was very complimentary of law enforcement and the state's attorney personnel and indicated they should be commended for their proactive approach in preventing election day problems.

SBE staff was also present for central tabulation and the processing of absentee ballots from 4:00 PM to 10:30 PM. Central tabulation was well organized and went smoothly.

Eric Donnewald was assigned to observe the central tabulation process in East St. Louis which was completed by 9:00 PM. More than 4,000 early, absentee, and grace period ballots were requested with approximately 800 of the absentees not being returned. Voter turnout for was 34.06 percent.

Jeff Berry was present in Alexander County and indicated the election went well with most issues being as expected.

INTEROFFICE MEMORANDUM

To: Rupert T. Borgsmiller, Executive Director

From: Dianne Felts, Director of Voting Systems and Standards (VOSS)

Subject: 2011 Consolidated Election Pre-tests

Date: March 31, 2011

Edgar County GBS/Liberty vendor Accu-Vote

The test consisted of 2,820 ballot sheets and ninety-four ballot styles. Staff tested all twenty-nine precincts including the same twenty-nine precincts on the early vote memory card. No tabulation errors occurred.

Edwards County ES&S vendor M100 /Auto-MARK

The test consisted of 960 ballot sheets and twenty-four ballot styles. Staff tested all twelve precincts including the same twelve precincts on the early vote memory card. VOSS tested both types of machines. No tabulation errors occurred.

Lawrence County ES&S/Oldham vendor M100/Auto-MARK

The test consisted of 3,160 ballot sheets and seventy-nine ballot styles. Staff tested all twenty-seven precincts including the same twenty-seven precincts on the early vote memory cards. VOSS tested both types of machines. When marking ballots, an election's staffer noticed that one of the styles was missing the college office on the ballot. The clerk was notified and that particular style was re-printed correctly. Also, a problem that we discovered when testing was that one of the Auto-MARKS would repeatedly lose power after being booted up and would shut itself down. We informed the clerk that it was malfunctioning and needed to be fixed. No other problems or tabulation errors occurred.

Mercer County ES&S vendor M100/Auto-MARK

The test consisted of 2,534 ballot sheets and sixty ballot styles. Staff tested all twenty-four precincts including the same twenty-four precincts on the early vote memory cards. VOSS tested both types of machines. We discovered that one Auto-MARK could not be used because of calibration problems. The clerk said she will have it fixed prior to the election. We also discovered that one ballot style on the Auto-MARK had blurred written instructions. The writing was overlapping on top of other writing like it was doubling the print. This style needed to be re-programmed and corrected only for the Auto-MARKS. The clerk said she would take care of this problem and get a new program card for the precinct. No other problems or tabulation errors occurred.

Richland County GBS vendor Accu-Vote

The test consisted of 1,640 ballot sheets and ten ballot styles. Staff tested all twenty-two precincts including the same twenty-two precincts on the early vote memory card. No tabulation errors occurred.

Wabash County ES&S /Oldham vendor M100/Auto-MARK

The test consisted of 2,900 ballot sheets and twenty-nine ballot styles. Staff tested all sixteen precincts including the same sixteen precincts on the early vote memory card. VOSS tested both types of machines. No tabulation errors occurred.

Wayne County GBS/Platinum vendor Accu-Vote/TSX

The test consisted of 2,035 ballots sheets and eighty-seven ballot styles. Staff tested all twenty-seven precincts. VOSS tested both types of machines. The vendor and clerk needed several attempts before they got the flash cards for the TSXs functioning properly. Also the vendor and clerk had to replace the battery in the memory card for Goldengate precinct three times before this precinct's Accu-Vote would work. The summary report was missing a write-in candidate for the city clerk's office in Wayne City. Only one of the write-ins was listed on the summary. We suggested to the clerk that either leave the name off the summary or add the other write-in's name to the summary. Both candidates should be treated the same. The vendor chose to leave both names off the reports. Some of the reports were very awkward and difficult to read. The blanks and under-votes were not consolidated. We later found out from another vendor at the next test how to consolidate these totals on the report. One report had no precinct names just totals when the vendor suppressed zeroes on the reports. The vendor eventually figured out how to correct this anomaly on the report. The vendor felt the managing software (GEMS) was deficient in some cases for reporting purposes. No other problems or tabulation errors occurred.

Galesburg BEC ES&S/Rivera vendor M100/Auto-MARK

The test consisted of 1,240 ballot sheets and thirty-one ballot styles. Staff tested all thirty-one precincts including the same thirty-one precincts on the early vote memory cards. VOSS tested both types of machines. Staff discovered that the precinct reports and summaries were set-up for an even-year election instead of an odd and three times it indicated total federal and countywide ballots or registered voters etc. The BEC's Executive Director said that the vendor would correct the problem. No other problems or tabulation errors occurred.

Judges Schools CP & CE 2011

Date	Jurisdiction	No. of Schools	Attendance Zone	
Saturday, February 12, 2011	E St Louis	2	96	One
Thursday, March 03, 2011	Christian	2	34	Three
Tuesday, March 08, 2011	Woodford	2	214	Three
Wednesday, March 09, 2011	Cumberland	2	68	Three
Wednesday, March 09, 2011	Hardin	1	41	One
Wednesday, March 09, 2011	Pope	1	22	One
Thursday, March 10, 2011	Effingham	3	81	One
Thursday, March 10, 2011	Saline	2	77	One
Thursday, March 10, 2011	Vermilion	1	35	Three
Friday, March 11, 2011	Vermilion	1	20	Three
Monday, March 14, 2011	Aurora	4	42	Four
Tuesday, March 15, 2011	Aurora	4	61	Four
Tuesday, March 15, 2011	Cass	2	80	Two
Tuesday, March 15, 2011	Montgomery	3	129	Two
Wednesday, March 16, 2011	Aurora	3	24	Four
Wednesday, March 16, 2011	Greene	2	50	Two
Wednesday, March 16, 2011	Montgomery	2	44	Two
Thursday, March 17, 2011	Scott	1	25	Two
Friday, March 18, 2011	Morgan	2	146	Two
Monday, March 21, 2011	Iroquois	3	100	Three
Monday, March 21, 2011	Marion	3	125	One
Tuesday, March 22, 2011	Fayette	2	115	One
Tuesday, March 22, 2011	Iroquois	1	60	Three
Wednesday, March 23, 2011	Galesburg	3	120	Three
Wednesday, March 23, 2011	Monroe	4	114	One
Thursday, March 24, 2011	Monroe	3	83	One

Monday, April 04, 2011

Page 1 of 2

Date	Jurisdiction	No. of Schools	Attendanc Zone	
Thursday, March 24, 2011	Morgan	1	15	Two
Monday, March 28, 2011	Macoupin	2	95	Two
Tuesday, March 29, 2011	Putnam	2	40	Four
Tuesday, March 29, 2011	Williamson	3	242	One
Wednesday, March 30, 2011	Pike	2	105	Two

Memorandum

From the desk of...Cristina Cray, Director of Legislation

Phone: 217-782-1577

Email address: ccray@elections.il.gov

To: Rupert Borgsmiller, Executive Director
Subject: Illinois Score FVAP Legislative Initiatives
Date: April 6, 2011

Please find attached the adjusted chart and score for Illinois in response to our implementation of the Federal Voting Assistance Programs Legislative Initiatives. Our score is 96.50%. According to Paul Mendez, Senior Program Analyst with the FVAP, "very few states have such high scores."

Also attached is the preliminary scoring sheet and guide. You will notice on this first scoring sheet, we were incorrectly scored under item #5, "emergency authority of CEO." I contacted Mr. Mendez and sent him a copy of Public Act 96-1004. This Act clears states that the Governor or the Executive Director of the State Board of Elections may modify registrations and voting procedures during a military deployment or an emergency declared by the Governor or the U.S. President. I am happy to report that our score was adjusted accordingly.

Thank you.

Attachments

State Board of Elections
1020 South Spring Street
Springfield, IL 62704

Cray, Cris

From: Mendez, Paul CIV FVAP [Paul.Mendez@fvap.gov]
Sent: Tuesday, April 05, 2011 4:38 PM
To: Cray, Cris
Subject: Illinois Score
Attachments: Illinois_Score.pdf

<<Illinois_Score.pdf>>

Hi Cris,

I am re-sending your State score because we adjusted for the 45-Day ballot transit method of scoring. For those states that have varying dates to send the ballots for their elections, we calculate the 45-Day Transit Time Scoring based on an average of the points awarded for the transit time of all General, Primary and Runoff elections that are possible in a four-year cycle.

In addition, you will see a onetime bonus point for those States that participated in the 2010 Electronic Voting Support Wizard or adopted their own similar system for UOCAVA citizens. Your State also has written into the law Emergency Authority of the CEO.

Your State score improved as you will note.

Thanks!

Paul

Paul Méndez

Senior Program Analyst

Election Official Assistance

Federal Voting Assistance Program

DL: 703-588-8122

1-800-438-8683

vote@fvap.gov

Facebook: <http://www.facebook.com/DoDFVAP>

Twitter: <http://twitter.com/FVAP>

Checkout resources available "For Election Officials" at: www.fvap.gov

"Honor the past, support the future - Vote!"

Illinois



Uniformed Services

	Initiative	Raw Score	Priority Weighting	Total
1	45 Day Ballot Transit Time	14	30%	42
2	Electronic Transmission of Election Materials	7.5	30%	22.5
2a	2010 EVSW Participation	2	0	2
3	Expand Use of FWAB	5	20%	10
4	Late Registration Procedures	10	7%	7
5	Emergency Authority of CEO	10	7%	7
6	Elimination of Notary/Witness Requirement	10	4%	4
7	Enfranchise Citizens Who Never Resided	10	2%	2
			Uniformed Services Total:	96.50%

Overseas Citizens

	Initiative	Raw Score	Priority Weighting	Total
1	45 Day Ballot Transit Time	14	30%	42
2	Electronic Transmission of Election Materials	7.5	30%	22.5
2a	2010 EVSW Participation	2	0	2
3	Expand Use of FWAB	5	20%	10
4	Elimination of Notary/Witness Requirement	10	8%	8
5	Emergency Authority of CEO	10	5%	5
6	Late Registration Procedures	10	4%	4
7	Enfranchise Citizens Who Never Resided	10	3%	3
			Overseas Citizens Total:	96.50%

UOCAVA Total: 96.50%

Cray, Cris

From: Mendez, Paul CIV FVAP [Paul.Mendez@fvap.gov]
Sent: Thursday, December 23, 2010 1:05 PM
To: Cray, Cris
Subject: Illinois - 2011 Legislative Initiative Summary and Score
Attachments: Scoring_Guide.pdf; Addresses Feedback FVAP Legislative Initiatives.doc; IL - 2011 Leg Initiatives Summary.docx; Illinois.xls

Importance: High

<<Scoring_Guide.pdf>> <<Addresses Feedback FVAP Legislative Initiatives.doc>> <<IL - 2011 Leg Initiatives Summary.docx>> <<Illinois.xls>>

Dear Cris,

Please review the attached DRAFT Excel scoring sheet and DRAFT Legislative Initiative Summary (with comments). The Excel scoring sheet indicates how your State was scored. You will see 3 scores: Military, civilians overseas and overall UOCAVA (military score + civilian score divided by 2 = overall score). The Scoring Guide is attached as well.

In addition, please complete the attached Address & feedback form and email it back to us along with your comments/corrections by January 14, 2011. Please provide reference to your State code/law as applicable. It is very important that you respond. A "no response" would indicate that the information is OK. Note that these scores are preliminary and are subject to change based on verification with your State laws. We will be making a final review of these scores and make any necessary corrections and updates. By January 31st FVAP will be sending a final Summary with a cover letter signed by Director Carey to the CEO and the presiding officers of each legislative chamber. Feel free to call me if you want to discuss any of this information.

Please provide a response by January 14, 2011 (or earlier) so that we have time to make corrections, prepare and distribute the final letters by the end of the Month (January 31st).

Thank you for your hard work in support of the Military, their family members and overseas citizens during last year's election. I look forward to working with you again in the New Year and providing the assistance necessary to help pass these recommended legislative initiatives.

Wishing you and your staff a Merry Christmas and a happy, prosperous and peaceful New Year!

Paul

Paul Méndez

Illinois

Uniformed Services

	Initiative	Comments	Raw Score
1	45 Day Ballot Transit Time	45=10, 60 general=2, late counting=3. 15	15
2	Electronic Transmission of Election Materials Comment: IL requires mailing after ET.	Reg not reqd=5, FPCA request mail reqd=0. Blank ballot=8. Ballot requires mail but has late counting (subtracted one pt from email and fax)=2 (15/2=7.5)	7.5
3	Expand Use of FWAB	all elections 5	5
4	Late Registration Procedures	yes	10
5	Emergency Authority of CEO	no	0
6	Elimination of Notary/Witness Requirement	yes	10
7	Enfranchise Citizens Who Never Resided	yes	10

Overseas Citizens

	Initiative	Comments	Raw Score
1	45 Day Ballot Transit Time	45=10, 60 general=2, late counting=3. 15	15
2	Electronic Transmission of Election Materials Comment: IL requires mailing after ET.	Reg not reqd=5, FPCA request mail reqd=0. Blank ballot=8. Ballot requires mail but has late counting (subtracted one pt from email and fax)=2 (15/2=7.5)	7.5
3	Expand Use of FWAB	all elections 5	5
4	Elimination of Notary/Witness Requirement	yes	10
5	Emergency Authority of CEO	no	0
6	Late Registration Procedures	yes	10
7	Enfranchise Citizens Who Never Resided	yes	10

Priority Weighting	Total
3	45
3	22.5
2	10
0.7	7
0.7	0
0.4	4
0.2	2
Uniformed Services Total:	90.5

Priority Weighting	Total
3	45
3	22.5
2	10
0.8	8
0.5	0
0.4	4
0.3	3
Overseas Citizens Total:	92.5

UOCAVA Total: 91.5

FVAP Legislative Initiatives Scoring Guide

45-Day Ballot Transit Before Election (maximum 16 points)		
At least 60 days before	3	Bonus points awarded to States that provide for late counting: At least 4 days 1 point added At least 10 days 2 points added At least 15 days 3 points added
At least 55 days before	2	
At least 50 days before	1	
At least 45 days before	10	
Less than 40 days before	8	
At least 35 days before	6	
At least 30 days before	4	
Less than 30 days before	0	

Ensure State law provides for sending ballots 45 days before ALL Federal elections (general, primary, special, runoff...) in order to obtain 10 points.

Use minimum amount of days allowed by law for scoring. E.G.: A State may allow sending ballots 45 days before a general election but only 30 days before a primary. In this case the score would be 4 based on the 30 days.

Electronic Transmission of Election Materials (Total divided by 2 - 10 points max)				
Transmission	Registration w/o mailing rqmt	Request w/o mailing rqmt	Blank Ballot	Voted Ballot/FWAB w/o mailing rqmt
Email/online	4	2	6	3
Fax	1	1	2	1

Do not give points where the State requires mailing the original FPCA, or FWAB. (Allow points for those States that only "recommend" that the hardcopy be mailed.)

Comment: Look for specific information about their requirement for mailing the hardcopy in order that they are scored correctly. E.G.: A State may only require that the registration (FPCA) be on file for it to allow electronic transmission of subsequent FPCAs and ballots without the hardcopy. In this case, the State would not get credit for Registration but would get credit for "Request" and "Voted Ballot". The score for this example, would be 7.5 (15:2=7.5), assuming that the State has passed legislation to also allow electronic transmission of Blank Ballot.

Add 5 points for those States that do not require (or waive) registration. E.G.: RI does not require registration. Since the registration part of this scoring does not apply, the State should get 5 points automatically. Add 1 point for Phone request.

Expanded use of FWAB (10 points max)	
Act like FPCA (simultaneous registration and ballot)	5 points
Used in other Federal election (primary, runoff, special)	4 points
Used for state and local offices	1 point

Late Registration Procedures (10 points max)	
Allows Late	10 points
Not applicable/waived/same day registration	10 points
Not allowed	0 points

Emergency Authority of CEO (10 points max)	
Yes	10 points
No	0 points

Elimination of Notary and Witnessing Requirement (10 points max)	
Yes	10 points
No	0 points

Enfranchise Citizens Who Have Never Resided in the US (10 points max)	
Yes	10 points
No	0 points

Additional Notes:

This Guide provides a baseline for State scoring of Legislative Initiatives. Because State absentee voting laws are complex, scoring can be complex. Our goal is to make this guide simple and relevant while maintaining consistency. The points are based on State legislation and verifiable with reference to State code (or State administrative procedure). The scoring sheet should indicate in the Comments column how the score was derived. It should be clear to election officials, FVAP staff and other stakeholders (such as the Defense State Liaison Office) what piece of legislation needs to be passed for that State to be fully successful.

Full credit should only be given if the State legislation/procedure applies to all UOCAVA citizens equally. For example, if the legislation applies in certain circumstances (emergency), or certain jurisdictions, the State should not be given full credit.

For Questions Contact an Election Official Assistance Team member:

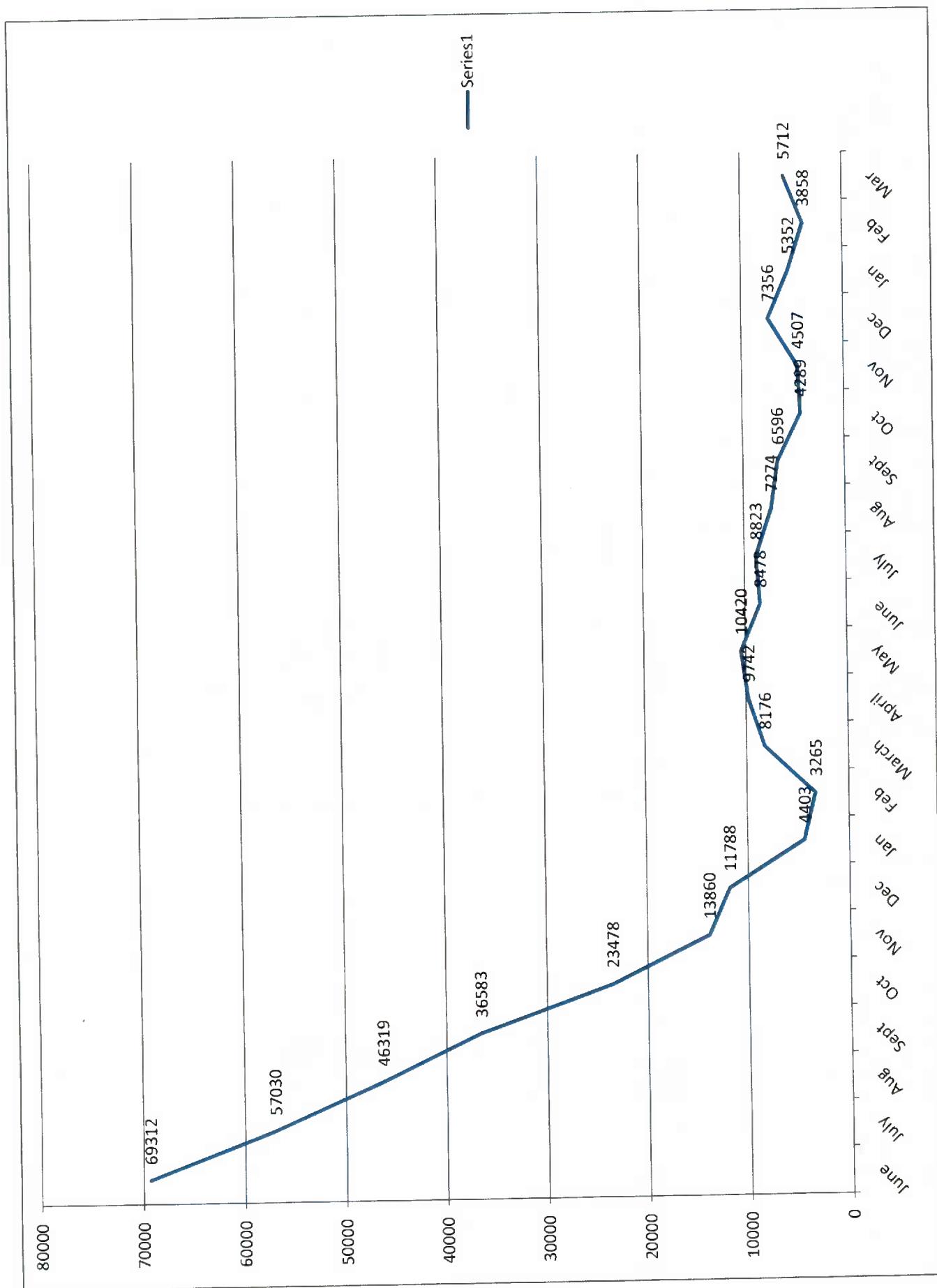
703-588-1584, vote@fvap.gov



Jurisdiction	Jul	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Oldest Match Date
Adams County	0	0	5	4	7	7	8	5	8	8/9/2010
Alexander County	41	41	40	41	5	3	3	4	7	2/6/2009
Bond County	3	3	5	1	5	6	1	1	2	8/3/2009
Boone County	12	11	14	5	6	5	9	4	5	2/6/2009
Brown County	0	1	1	0	0	0	0	0	0	n/a
Bureau County	11	5	3	4	0	2	6	0	0	n/a
Calhoun County	0	0	0	1	0	1	1	1	0	n/a
Carroll County	2	3	2	0	3	1	0	0	5	3/18/2011
Cass County	16	16	19	0	0	5	8	0	3	3/18/2011
Champaign County	39	24	42	13	43	129	12	10	88	8/3/2009
Christian County	0	0	3	13	7	13	3	3	3	2/6/2009
Clark County	6	6	6	6	7	8	5	5	6	2/6/2009
Clay County	69	79	58	60	60	62	52	52	58	2/6/2009
Clinton County	50	50	30	28	16	20	15	16	17	2/6/2009
Coles County	38	34	29	31	18	16	14	14	14	2/6/2009
Cook County	3,231	2,477	2,277	1,271	1,446	2,357	1,770	1,393	1,988	2/6/2009
Crawford County	48	49	43	47	17	22	19	18	11	2/6/2009
Cumberland County	4	4	5	8	4	1	0	0	1	3/18/2011
DeKalb County	66	43	35	31	22	29	20	19	23	2/6/2009
DeWitt County	66	61	2	4	2	4	3	2	2	2/6/2009
Douglas County	1	1	1	0	1	2	404	0	1	3/18/2011
DuPage County	328	135	69	41	183	368	0	34	51	2/6/2009
Edgar County	12	12	1	1	0	0	0	0	0	n/a
Edwards County	3	4	2	5	2	2	2	2	2	2/6/2009
Effingham County	6	6	6	2	0	1	1	1	0	n/a
Fayette County	18	15	15	13	12	11	11	10	11	2/6/2009
Ford County	2	4	8	3	4	22	1	1	1	10/29/2010
Franklin County	69	68	73	82	65	70	60	59	62	2/6/2009
Fulton County	11	11	15	7	5	9	7	4	7	2/6/2009
Gallatin County	0	0	0	1	2	2	1	1	1	9/24/2010
Greene County	7	2	1	1	4	4	3	4	1	3/18/2011
Grundy County	19	15	19	9	30	42	25	27	52	2/6/2009
Hamilton County	0	1	1	0	0	0	0	0	0	n/a
Hancock County	1	1	2	1	3	3	1	1	1	2/6/2009
Hardin County	6	4	0	0	1	1	0	0	0	n/a
Henderson County	0	0	0	0	0	0	0	0	1	3/18/2011
Henry County	27	25	22	17	17	20	17	17	19	2/6/2009
Iroquois County	14	20	24	28	7	15	5	5	1	2/6/2009
Jackson County	26	24	24	28	16	28	15	14	20	2/6/2009
Jasper County	5	6	7	10	5	3	4	0	0	n/a
Jefferson County	6	6	1	0	0	0	0	0	1	3/18/2011
Jersey County	6	6	6	8	11	9	13	12	15	2/4/2010
JoDaviess County	0	0	0	0	1	0	0	0	0	n/a
Johnson County	13	11	8	7	1	2	1	1	1	9/24/2010
Kane County	117	50	37	51	44	92	32	19	35	2/4/2010

Jurisdiction	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Oldest Match Date
Kankakee County	41	44	45	55	67	93	9	5	21	2/4/2010
Kendall County	50	23	18	9	15	12	6	4	5	2/6/2009
Knox County	13	15	16	18	1	5	7	7	0	n/a
Lake County	157	118	152	47	44	214	193	18	51	2/6/2009
LaSalle County	106	82	17	10	10	21	14	6	22	11/6/2009
Lawrence County	2	2	2	2	1	2	1	0	1	3/18/2011
Lee County	4	3	2	1	4	3	0	0	1	3/18/2011
Livingston County	4	8	9	6	8	12	1	1	3	2/18/2011
Logan County	13	13	4	2	6	5	4	5	12	2/6/2009
Macon County	4	5	8	21	7	25	8	4	8	12/17/2010
Macoupin County	4	6	5	11	4	12	5	6	8	11/19/2010
Madison County	30	26	46	27	29	35	18	17	34	2/6/2009
Marion County	53	64	35	39	26	27	18	18	29	2/6/2009
Marshall County	2	2	1	1	1	0	3	2	0	n/a
Mason County	1	0	0	0	1	1	0	3	1	3/18/2011
Massac County	6	6	6	9	4	4	3	3	5	2/6/2009
McDonough County	3	3	6	6	9	9	6	2	5	2/6/2009
McHenry County	31	15	50	92	35	125	50	4	7	10/8/2010
McLean County	39	30	3	3	6	44	7	4	20	2/6/2009
Menard County	38	5	5	8	2	3	3	2	2	2/6/2009
Mercer County	139	141	142	142	146	148	150	148	149	2/6/2009
Monroe County	1	5	5	6	8	6	2	2	2	9/3/2010
Montgomery County	0	4	2	2	4	13	4	3	9	9/24/2010
Morgan County	19	16	16	12	3	11	4	3	6	12/10/2010
Moultrie County	0	0	1	0	3	0	0	0	0	n/a
Ogle County	1	3	0	1	2	7	10	0	1	3/18/2011
Peoria County	7	4	4	0	4	19	1	2	2	12/30/2010
Perry County	0	3	6	5	1	4	0	0	1	3/25/2011
Piatt County	6	2	2	6	1	4	2	2	1	2/6/2009
Pike County	7	7	7	9	9	12	10	10	9	3/12/2010
Pope County	5	1	1	1	1	2	0	0	1	3/18/2011
Pulaski County	20	20	16	20	4	4	0	0	2	3/18/2011
Putnam County	2	2	1	2	0	2	0	0	2	3/18/2011
Randolph County	2	4	3	3	2	3	0	0	3	3/18/2011
Richland County	20	22	15	20	11	13	7	6	5	2/6/2009
Rock Island County	135	138	151	154	163	178	180	188	124	2/6/2009
Saline County	1	0	1	1	1	2	4	4	2	10/1/2010
Sangamon County	60	29	37	42	21	63	15	20	42	2/6/2009
Schuyler County	2	1	4	2	4	9	9	0	4	3/18/2011
Scott County	3	2	6	1	0	5	2	2	3	12/10/2010
Shelby County	1	1	1	3	1	2	0	0	0	n/a
Stark County	0	0	0	1	0	0	0	0	0	n/a
St. Clair County	47	41	46	43	50	77	26	28	43	2/6/2009
Stephenson County	0	1	3	2	1	2	2	2	12	9/3/2010
Tazewell County	17	14	37	19	17	81	19	24	16	2/6/2009

Jurisdiction	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Oldest Match Date
Union County	32	35	30	33	24	33	17	17	28	2/6/2009
Vermilion County	6	6	6	3	3	3	3	3	4	8/27/2009
Wabash County	0	1	1	0	0	2	1	0	0	n/a
Warren County	8	9	9	3	5	7	2	0	2	3/18/2011
Washington County	11	13	14	16	7	7	5	4	4	2/6/2009
Wayne County	34	41	30	35	34	31	28	28	31	2/6/2009
White County	1	2	0	0	1	3	2	2	1	11/8/2010
Whiteside County	6	9	9	8	7	11	7	6	9	6/18/2010
Will County	380	265	357	340	420	578	437	412	596	2/6/2009
Williamson County	93	95	94	102	57	65	43	42	45	2/6/2009
Winnebago County	28	19	14	46	35	39	25	8	10	2/6/2009
Woodford County	1	1	1	0	0	4	0	0	0	n/a
City of Aurora	27	14	19	7	17	6	12	14	3	2/6/2009
City of Bloomington	9	9	9	14	11	15	5	6	6	2/6/2009
City of Chicago	2,620	2,449	2,056	899	1,037	1,755	1,382	985	1,714	2/6/2009
City of Danville	2	2	3	2	3	7	3	2	6	2/6/2009
City of East St. Louis	45	18	9	5	3	12	5	5	10	2/6/2009
City of Galesburg	5	8	8	7	2	6	10	4	3	3/29/2010
City of Peoria	6	2	13	2	4	39	2	2	23	2/6/2009
City of Rockford	14	11	16	20	18	17	8	4	19	2/6/2009
	8,823	7,274	6,596	4,289	4,507	7,356	5,352	3,858	5,712	



<u>County</u>	Total Voters Registered	Census Total Pop.	% Reg. Vs. Total Population	18 years and over #	% Reg. vs. 18 and Over	% 18 years and over vs. Census Total	Ballots Cast 2010 General	% Ballots Cast vs. 18 years and over	% Ballots Cast vs. Total Reg.
ADAMS	44891	67103	66.90%	51642	86.93%	76.96%	24125	46.72%	53.74%
ALEXANDER	7806	8238	94.76%	6353	122.87%	77.12%	2910	45.81%	37.28%
BOND	11886	17768	66.90%	14118	84.19%	79.46%	5618	39.79%	47.27%
BOONE	33464	54165	61.78%	38481	86.96%	71.04%	15111	39.27%	45.16%
BROWN	3489	6937	50.30%	5823	59.92%	83.94%	2227	38.24%	63.83%
BUREAU	23782	34978	67.99%	26823	88.66%	76.69%	12969	48.35%	54.53%
CALHOUN	3817	5089	75.00%	3976	96.00%	78.13%	2418	60.81%	63.35%
CARROLL	11895	15387	77.31%	12226	97.29%	79.46%	5242	42.88%	44.07%
CASS	9830	13642	72.06%	10241	95.99%	75.07%	3964	38.71%	40.33%
CHAMPAIGN	122441	201081	60.89%	162002	75.58%	80.57%	54818	33.84%	44.77%
CHRISTIAN	22692	34800	65.21%	27024	83.97%	77.66%	12780	47.29%	56.32%
CLARK	12914	16335	79.06%	12617	102.35%	77.24%	6236	49.43%	48.29%
CLAY	9850	13815	71.30%	10651	92.48%	77.10%	4992	46.87%	50.68%
CLINTON	32161	37762	85.17%	29180	110.22%	77.27%	13205	45.25%	41.06%
COLES	31489	53873	58.45%	43931	71.68%	81.55%	15087	34.34%	47.91%
COOK	2704993	5194675	52.07%	3962395	68.27%	76.28%	1424959	35.96%	52.68%
CRAWFORD	14133	19817	71.32%	15764	89.65%	79.55%	7020	44.53%	49.67%
CUMBERLAND	7708	11048	69.77%	8450	91.22%	76.48%	4393	51.99%	56.99%
DeKALB	57102	105160	54.30%	81670	69.92%	77.66%	29197	35.75%	51.13%
DeWITT	11733	16561	70.85%	12739	92.10%	76.92%	5815	45.65%	49.56%
DOUGLAS	11980	19980	59.96%	14806	80.91%	74.10%	6545	44.21%	54.63%
DuPAGE	558929	916924	60.96%	689494	81.06%	75.20%	288680	41.87%	51.65%
EDGAR	13238	18576	71.26%	14455	91.58%	77.82%	7143	49.42%	53.96%
EDWARDS	4622	6721	68.77%	5185	89.14%	77.15%	2296	44.28%	49.68%
EFFINGHAM	22838	34242	66.70%	25800	88.52%	75.35%	13202	51.17%	57.81%
FAYETTE	15406	22140	69.58%	17164	89.76%	77.52%	6891	40.15%	44.73%
FORD	8908	14081	63.26%	10734	82.99%	76.23%	4699	43.78%	52.75%
FRANKLIN	29513	39561	74.60%	30600	96.45%	77.35%	13334	43.58%	45.18%
FULTON	24904	37069	67.18%	29316	84.95%	79.08%	11833	40.36%	47.51%
GALLATIN	4234	5589	75.76%	4405	96.12%	78.82%	2299	52.19%	54.30%
GREENE	8753	13886	63.03%	10688	81.90%	76.97%	4540	42.48%	51.87%

<u>County</u>	Total Voters Registered	Census Total Pop.	% Reg. Vs. Total Population	18 years and over #	% Reg. vs. 18 and Over	% 18 years and over vs. Census Total	Ballots Cast 2010 General	% Ballots Cast vs. 18 years and over	% Ballots Cast vs. Total Reg.
GRUNDY	29708	50063	59.34%	36406	81.60%	72.72%	16973	46.62%	57.13%
HAMILTON	5853	8457	69.21%	6526	89.69%	77.17%	3644	55.84%	62.26%
HANCOCK	13461	19104	70.46%	14907	90.30%	78.03%	6943	46.58%	51.58%
HARDIN	3833	4320	88.73%	3444	111.30%	79.72%	2194	63.70%	57.24%
HENDERSON	5231	7331	71.35%	5838	89.60%	79.63%	3574	61.22%	68.32%
HENRY	35322	50486	69.96%	38391	92.01%	76.04%	18444	48.04%	52.22%
IROQUOIS	19847	29718	66.78%	22703	87.42%	76.39%	10703	47.14%	53.93%
JACKSON	42164	60218	70.02%	49480	85.21%	82.17%	16696	33.74%	39.60%
JASPER	7586	9698	78.22%	7494	101.23%	77.27%	4126	55.06%	54.39%
JEFFERSON	24750	38827	63.74%	30231	81.87%	77.86%	12663	41.89%	51.16%
JERSEY	17250	22985	75.05%	17746	97.21%	77.21%	7825	44.09%	45.36%
JoDAVIESS	16347	22678	72.08%	17963	91.00%	79.21%	8279	46.09%	50.65%
JOHNSON	8083	12582	64.24%	10141	79.71%	80.60%	4777	47.11%	59.10%
KANE	260429	515269	50.54%	366079	71.14%	71.05%	129050	35.25%	49.55%
KANKAKEE	64306	113449	56.68%	84695	75.93%	74.65%	32999	38.96%	51.32%
KENDALL	65153	114736	56.79%	78670	82.82%	68.57%	31538	40.09%	48.41%
KNOX	34258	52919	64.74%	42039	81.49%	79.44%	16943	40.30%	49.46%
LAKE	404637	703462	57.52%	510790	79.22%	72.61%	207499	40.62%	51.28%
LaSALLE	73051	113924	64.12%	87706	83.29%	76.99%	34423	39.25%	47.12%
LAWRENCE	10770	16833	63.98%	13626	79.04%	80.95%	5314	39.00%	49.34%
LEE	25723	36031	71.39%	28297	90.90%	78.54%	11075	39.14%	43.05%
LIVINGSTON	21968	38950	56.40%	30192	72.76%	77.51%	12844	42.54%	58.47%
LOGAN	20088	30305	66.29%	24243	82.86%	80.00%	9008	37.16%	44.84%
MACON	72975	110768	65.88%	85484	85.37%	77.17%	37186	43.50%	50.96%
MACOUPIN	34443	47765	72.11%	36990	93.11%	77.44%	16809	45.44%	48.80%
MADISON	177371	269282	65.87%	208036	85.26%	77.26%	83208	40.00%	46.91%
MARION	28274	39437	71.69%	30199	93.63%	76.58%	12227	40.49%	43.24%
MARSHALL	8613	12640	68.14%	9898	87.02%	78.31%	4379	44.24%	50.84%
MASON	10510	14666	71.66%	11439	91.88%	78.00%	4995	43.67%	47.53%
MASSAC	12350	15429	80.04%	11911	103.69%	77.20%	5047	42.37%	40.87%
MCDONOUGH	17909	32612	54.92%	27369	65.44%	83.92%	9433	34.47%	52.67%

<u>County</u>	Total Voters Registered	Census Total Pop.	% Reg. Vs. Total Population	18 years and over #	% Reg. vs. 18 and Over	% 18 years and over vs. Census Total	Ballots Cast 2010 General	% Ballots Cast vs. 18 years and over	% Ballots Cast vs. Total Reg.
McHENRY	202033	308760	65.43%	224585	89.96%	72.74%	95339	42.45%	47.19%
McLEAN	97274	169572	57.36%	131160	74.16%	77.35%	52944	40.37%	54.43%
MENARD	9744	12705	76.69%	9704	100.41%	76.38%	4951	51.02%	50.81%
MERCER	14295	16434	86.98%	12714	112.44%	77.36%	6892	54.21%	48.21%
MONROE	23792	32957	72.19%	24886	95.60%	75.51%	12037	48.37%	50.59%
MONTGOMERY	18162	30104	60.33%	23718	76.57%	78.79%	9911	41.79%	54.57%
MORGAN	22816	35547	64.19%	27960	81.60%	78.66%	10978	39.26%	48.12%
MOULTRIE	8904	14846	59.98%	11145	79.89%	75.07%	4964	44.54%	55.75%
OGLE	40973	53497	76.59%	40253	101.79%	75.24%	17461	43.38%	42.62%
PEORIA	121725	186494	65.27%	141601	85.96%	75.93%	53848	38.03%	44.24%
PERRY	14989	22350	67.06%	17717	84.60%	79.27%	7663	43.25%	51.12%
PIATT	11990	16729	71.67%	12722	94.25%	76.05%	6895	54.20%	57.51%
PIKE	11418	16430	69.49%	12718	89.78%	77.41%	6525	51.31%	57.15%
POPE	3397	4470	76.00%	3656	92.92%	81.79%	2089	57.14%	61.50%
PULASKI	5961	6161	96.75%	4756	125.34%	77.20%	2972	62.49%	49.86%
PUTNAM	4296	6006	71.53%	4727	90.88%	78.70%	2371	50.16%	55.19%
RANDOLPH	21130	33476	63.12%	26845	78.71%	80.19%	11902	44.34%	56.33%
RICHLAND	13826	16233	85.17%	12625	109.51%	77.77%	5217	41.32%	37.73%
ROCK ISLAND	125875	147546	85.31%	114359	110.07%	77.51%	47053	41.14%	37.38%
SALINE	16780	24913	67.35%	19209	87.35%	77.10%	8292	43.17%	49.42%
SANGAMON	136649	197465	69.20%	150649	90.71%	76.29%	74124	49.20%	54.24%
SCHUYLER	5618	7544	74.47%	5964	94.20%	79.06%	3035	50.89%	54.02%
SCOTT	3996	5355	74.62%	4083	97.87%	76.25%	1828	44.77%	45.75%
SHELBY	15610	22363	69.80%	17311	90.17%	77.41%	8309	48.00%	53.23%
STARK	4752	5994	79.28%	4583	103.69%	76.46%	1969	42.96%	41.44%
ST. CLAIR	194486	270056	72.02%	201468	96.53%	74.60%	82250	40.83%	42.29%
STEPHENSON	34930	47711	73.21%	36900	94.66%	77.34%	15008	40.67%	42.97%
TAZEWELL	89514	135394	66.11%	103558	86.44%	76.49%	46268	44.68%	51.69%
UNION	15156	17808	85.11%	13980	108.41%	78.50%	6745	48.25%	44.50%
VERMILION	48711	81625	59.68%	61697	78.95%	75.59%	22833	37.01%	46.87%
WABASH	9242	11947	77.36%	9299	99.39%	77.84%	4057	43.63%	43.90%

<u>County</u>	Total Voters Registered	Census Total Pop.	% Reg. Vs. Total Population	18 years and over #	% Reg. vs. 18 and Over	% 18 years and over vs. Census Total	Ballots Cast 2010 General	% Ballots Cast vs. 18 years and over	% Ballots Cast vs. Total Reg.
WARREN	11953	17707	67.50%	13788	86.69%	77.87%	6134	44.49%	51.32%
WASHINGTON	10662	14716	72.45%	11458	93.05%	77.86%	5979	52.18%	56.08%
WAYNE	12689	16760	75.71%	12980	97.76%	77.45%	6983	53.80%	55.03%
WHITE	11598	14665	79.09%	11600	99.98%	79.10%	5361	46.22%	46.22%
WHITESIDE	38300	58498	65.47%	44758	85.57%	76.51%	19240	42.99%	50.23%
WILL	371079	677560	54.77%	480606	77.21%	70.93%	196857	40.96%	53.05%
WILLIAMSON	43569	66357	65.66%	51665	84.33%	77.86%	22735	44.00%	52.18%
WINNEBAGO	179182	295266	60.68%	221686	80.83%	75.08%	83229	37.54%	46.45%
WOODFORD	25333	38664	65.52%	28674	88.35%	74.16%	14156	49.37%	55.88%
Totals	7506073	12830632	58.50%	9701453	77.37%	75.61%	3792770	39.09%	50.53%
<u>City Boards</u>									
City of Aurora	84,735	197899	42.82%	135409	62.58%	68.42%	36238	26.76%	42.77%
City of Bloomington	44020	76610	57.46%	57831	76.12%	75.49%	23781	41.12%	54.02%
City of Chicago	1334807	2695598	49.52%	2073968	64.36%	76.94%	705869	34.03%	52.88%
City of Danville	17819	33027	53.95%	24562	72.55%	74.37%	7751	31.56%	43.50%
City of East St. Louis	21429	27006	79.35%	19098	112.21%	70.72%	8164	42.75%	38.10%
City of Galesburg	18475	32195	57.38%	25847	71.48%	80.28%	9181	35.52%	49.69%
City of Peoria	67415	115007	58.62%	86637	77.81%	75.33%	30817	35.57%	45.71%
City of Rockford	84991	152871	55.60%	113332	74.99%	74.14%	37384	32.99%	43.99%
<u>Counties Minus City Boards</u>									
Cook	1370186	2499077	54.83%	1888427	72.56%	75.56%	719090	38.08%	52.48%
DuPage	529721	867491	61.06%	655264	80.84%	75.54%	275592	42.06%	52.03%
Kane	212946	384293	55.41%	276336	77.06%	71.91%	109450	39.61%	51.40%
Kendall	62556	108717	57.54%	74575	83.88%	68.60%	30355	40.70%	48.52%
Knox	15783	20724	76.16%	16192	97.47%	78.13%	16943	104.64%	107.35%
McLean	53254	92962	57.29%	73329	72.62%	78.88%	29163	39.77%	54.76%
Peoria	54310	71487	75.97%	54964	98.81%	76.89%	23031	41.90%	42.41%

<u>County</u>	Total Voters Registered	Census Total Pop.	% Reg. Vs. Total Population	18 years and over #	% Reg. vs. 18 and Over	% 18 years and over vs. Census Total	Ballots Cast 2010 General	% Ballots Cast vs. 18 years and over	% Ballots Cast vs. Total Reg.
St. Clair	173057	243050	71.20%	182370	94.89%	75.03%	74086	40.62%	42.81%
Vermillion	30892	48598	63.57%	37135	83.19%	76.41%	15082	40.61%	48.82%
Will	371079	666089	55.71%	473265	78.41%	71.05%	194490	41.10%	52.41%
Winnebago	94191	142395	59.69%	108354	78.44%	76.09%	45845	42.31%	53.94%

**STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141 TTY: 217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago, Illinois 60601
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485



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Rupert T. Borgsmiller**

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Jesse R. Smart
Robert J. Walters

March 25, 2011

Dear Mr. Lewis,

Recently, the United States Census Bureau released their 2010 Census of Population and Housing data to the State Board of Elections. Upon comparing this data with the registration data submitted by your county for the General Election 2010 canvas results, it appears that your registration numbers are higher than the total voting age population for your county.

This letter is to inform you that according to the information available to us, the city of East St. Louis' registration total in November was 21,429 and the census total for people 18 years and over was 19,098 showing a registration percentage of 112.21%. There may be various reasons as to why your percentage of registrations is over 100% of the total voting age population in your county including but not limited to; the numbers gathered by the US Census Bureau do not reflect all of your voting age population due to many possible reasons, the registration numbers submitted on your canvas include more than just your active registrations, or a purge of your registration database as required at least once every two years (10 ILCS 5/6-59) has not been performed in order to verify the status of your registrants.

If you have any questions regarding these figures, please contact me at (217)782-1590 or KThomas@elections.il.gov.

Sincerely,

Kyle Thomas
Director-Voter Registration Services

BOARD OF ELECTION COMMISSIONERS
CITY OF EAST ST. LOUIS, ILLINOIS

8740 State Street, Suite 200
East St. Louis, Illinois 62203

John Baricevic
Chief Judge
20th Judicial Circuit



James Lewis
Executive Director

Kandrise L. Mosby
Assistant Executive Director

618-398-3778
Fax: 618-398-4125
Web Site: www.eslbec.org

Commissioners:

Elmer D. Jones
Chairman

Edna R. Allen
Vice Chairman

Joseph H. McCaskill
Secretary

John J. Kurowski
Richard Sturgeon
Attorneys

April 1, 2011

Mr. Kyle Thomas
Director-Voter Registration Services
State Board of Elections
1020 South Spring Street
P.O. Box 4137
Springfield, IL 62708

Dear Mr. Thomas:

This letter is in reference to your correspondence of March 25, 2011 with respect to the United States Census Bureau released from their 2010 Census of Population and Housing data to the State Board of Elections.

We understand this data with the registration data submitted by St. Clair County for the General Election 2010 canvass results; it appears that our registration numbers are higher than the total voting age population for our city.

Cognizance is given to your correspondence informing us that according to information available, the city of East St. Louis registration total in November was 21,429 and the census total for people 18 years and over was 19,098 showing a registration percentage of 112.12%.

It should be noted that at the conclusion of summer 2009 a citywide canvass was conducted for the city of East St. Louis. For your information, see enclosed canvass statistics. There were various registration efforts subsequent to the canvass which affected the active voter registration roll. Pursuant to sec. 5/6-59 of the Election Code, the East St. Louis Board of Election Commissioners plans to conduct a citywide canvass during 2011 summer. We will apprise the State Board of Elections of its disposition.

If additional information is needed, please contact me.

Thanking you advance for your usual cooperation.

Sincerely,

James Lewis,
Executive Director

JL/sc

Enclosure

Cc: Commissioners Mr. Elmer D. Jones, Dr. Edna R. Allen and Mr. Joseph H. McCaskill; Mr. John J. Kurowski and Mr. Richard Sturgeon, Mr. John Hipskind, Attorneys

**BOARD OF ELECTION COMMISSIONERS
CITY OF EAST ST. LOUIS, ILLINOIS**

8740 State Street, Suite 200
East St. Louis, Illinois 62203

John Baricevic
Chief Judge
20th Judicial Circuit



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Executive Director

Kandrise L. Mosby
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Commissioners:

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Chairman

Edna R. Allen
Vice Chairman

Joseph H. McCaskill
Secretary

John J. Kurowski
Richard Sturgeon
Attorneys

**OFFICIAL CITYWIDE CANVASS STATISTICS
FOR THE
CITY OF EAST ST. LOUIS, ILLINOIS**

YEAR	REGISTERED VOTERS	PURGED	ELIGIBLE VOTERS
Summer 1999	28,098	3,978	24,120
Summer 2001	26,710	3,155	23,555
Summer 2003	25,864	2,959	22,905
Summer 2005	25,306	2,962	22,344
Summer 2007	23,311	2,518	20,793
Summer 2009	22,986	2,604	20,382

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:

Rupert T. Borgsmiller, Executive Director

TO: Chairman Schneider
Vice Chairman Rednour
Members of the Board

SUBJECT: Campaign Finance Reform Task Force

DATE: April 7, 2011

The Illinois Campaign Finance Reform Task Force met on March 25, 2011 at 1:00 p.m. in the offices of the State Board of Elections. Members of the Task Force were in both the Chicago and Springfield offices and the SBE was represented by Cris Cray, Steve Sandvoss, Bernadette Harrington, Sharon Steward and I in the Springfield office and Mark Greben and Andy Nauman in the Chicago office.

The Task Force reviewed very briefly issues raised by member Joe Seliga from Mayer Brown and did review the issues that were outlined by the SBE. It was agreed that the members needed to review the issues and perhaps seek legislative relief where it was needed.

The Task Force has scheduled its next meeting for April 21st at 1:00 p.m. It is hoped that the Task Force will have some legislative initiatives to review. Also, the Task Force will meet again on June 3rd at 1:00 p.m.

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Rupert T. Borgsmiller, Executive Director

TO: Chairman Schneider
Vice Chairman Rednour
Members of the Board

SUBJECT: Board Meeting Schedule for FY12

DATE: April 7, 2011

Attached please find proposed Board meeting dates for FY12. I am requesting the September meeting date be moved to September 20 if possible.

Attachment

STATE BOARD OF ELECTIONS

Meeting Schedule JULY 1, 2011 - JUNE 30, 2012

<u>DATE</u>	<u>PRINCIPAL LOCATION</u>
* Friday, July 1	Springfield
Tuesday, August 16	Chicago
Monday, September 19	Springfield
Tuesday, October 18	Chicago
Monday, November 21	Springfield
Tuesday, December 20	Chicago
** Thursday, January 12	Springfield
*** Wednesday, February 22	Chicago
Monday, March 19	Springfield
**** Friday, April 20	Chicago
Monday, May 21	Springfield
Tuesday, June 12	Chicago
* Statutory meeting for election of Chairman and Vice Chairman	
** Statutory date to certify ballot for March primary election	
*** Regular meeting date changed due to holiday	
**** Proclamation of results of the March primary election	

Meetings between the Springfield and Chicago offices will be connected via video conference if the necessary equipment is available. All meetings will begin at 10:30 a.m. Dates, times and location of the meetings are subject to change. Notice of any changes will be posted prior to the meeting or information can be obtained by calling 217/7824141 or 312/814-6440.

Springfield – 1020 S. Spring Street, Springfield, Illinois
Chicago – 100 W. Randolph, Chicago, Illinois

MEMORANDUM

*From the desk of... Jim Withers, Director of Administrative Services
State Board of Elections
Phone: 217-782-1595
Email address: jwithers@elections.il.gov*

TO: Executive Director Borgsmiller

RE: Space Request

Date: April 5, 2011

Rupert, Kathy Britton (CMS Property Management) representative is about to finish the RFI preparation for those leases set to expire before the end of December. Once those are complete she will begin processing our space request.

Jim

State Board of Elections
Proposed Allocation of FY2011 Reduced Lump-Sum Appropriations
To Reflect 50% Cut in Total Lump-Sum Amount
As of 3/31/2011

Total Lump-Sum Amount Requested in Original FY2011 Budget = \$14,108,500
Actual Total Lump-Sum Amount Received in Final FY2011 Budget = \$8,130,700 (\$6,130,700 + \$2,000,000 Gov. release)

Lump-Sum Appropriation Item	FY2011 Lump-Sum Budget Request	Initial Lump-Sum Budget Request	Current Allocation Of Actual Lump-Sum Approp. (3/31/11)	FY11 YTD Spending At 3/31/2011	Proposed Allocation Of Actual Lump-Sum To Actual Costs (end FY11)
County Clerk/Recorder Stipends	\$806,000	\$806,000	\$403,000	\$337,858	\$337,900
Election Day Judge Reimbursements	5,850,000	5,850,000	4,700,000	2,854,000	4,982,300
Early Voting Assistance to Local Gov.	2,875,000	2,875,000	1,300,000	1,082,730	1,082,800
VSIC (Voting Systems Integrity Center)	1,194,500	1,194,500	250,800	0	250,800
Electronic Canvassing Implementation	429,600	429,600	125,000	644	125,000
IVRS Lump-Sum Grants to Locals	2,501,900	2,501,900	1,251,900	41,292	1,251,900
IDIS System Implementation Costs	451,500	451,500	100,000	0	100,000

adjusted to actual 5 mo Stipend payment
inc. Governor \$2mil & excess Early Voting
covers Nov. 2010 general only
approx. 50% of local requests

Totals **\$14,108,500** **\$8,130,700** **\$4,316,524** **\$8,130,700**

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS MONTH ENDING: March 31, 2011	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$4,314,100.00	\$2,759,923.86	\$0.00	\$1,554,176.14	63.97%
STATE PAID RETIREMENT	\$172,800.00	\$107,441.33	\$0.00	\$65,358.67	62.18%
RETIREMENT (inc. supplemental)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$330,200.00	\$202,689.18	\$0.00	\$127,510.82	61.38%
CONTRACTUAL SERVICE	\$1,018,800.00	\$511,016.26	\$207,831.49	\$299,952.25	50.16%
TRAVEL	\$128,500.00	\$57,072.84	\$0.00	\$71,427.16	44.41%
PRINTING	\$53,200.00	\$20,384.17	\$0.00	\$32,815.83	38.32%
COMMODITIES	\$36,900.00	\$24,604.92	\$0.00	\$12,295.08	66.68%
EQUIPMENT	\$208,550.00	\$120,982.59	\$72,001.84	\$15,565.57	58.01%
TELECOMMUNICATIONS	\$139,600.00	\$76,566.93	\$0.00	\$63,033.07	54.85%
OPERATION OF AUTO EQUIPMENT	\$4,400.00	\$2,879.47	\$0.00	\$1,520.53	65.44%
HAVA MAINTENANCE OF EFFORT	\$550,000.00	\$419,786.77	\$130,213.23	\$0.00	76.32%
ELECTION CODE BOOKS	\$15,000.00	\$0.00	\$0.00	\$15,000.00	0.00%
IVRS LUMP SUM - OPERATIONS	\$328,500.00	\$140,866.17	\$0.00	\$187,633.83	42.88%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (OPERATIONS)	\$7,300,550.00	\$4,444,214.49	\$410,046.56	\$2,446,288.95	60.88%
CO CLERK & RECORDER STIPENDS	\$403,000.00	\$337,857.84	\$0.00	\$65,142.16	83.84%
ELECTION JUDGE REIMBURSEMENT	\$2,700,000.00	\$2,223,420.00	\$0.00	\$476,580.00	82.35%
ELECTION JUDGE - GOV. MONEY	\$2,000,000.00	\$630,580.00	\$0.00	\$1,369,420.00	31.53%
ELECTION JUDGES/EARLY VOTING	\$1,300,000.00	\$1,082,730.00	\$0.00	\$217,270.00	83.29%
IVRS LUMP SUM - GRANTS	\$1,251,900.00	\$41,291.75	\$0.00	\$1,210,608.25	3.30%
REDISTRICTING	\$90,000.00	\$0.00	\$0.00	\$90,000.00	0.00%
ADDITIONAL STATE MATCH	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$250,800.00	\$0.00	\$0.00	\$250,800.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$125,000.00	\$644.45	\$0.00	\$124,355.55	0.52%
IDIS SYSTEM REPLACEMENT	\$100,000.00	\$0.00	\$0.00	\$100,000.00	0.00%
SUB-TOTAL (GRANTS)	\$8,220,700.00	\$4,316,524.04	\$0.00	\$3,904,175.96	52.51%
TOTAL APPROPRIATION	\$15,521,250.00	\$8,760,738.53	\$410,046.56	\$6,350,464.91	56.44%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

BOARD <u>MONTH ENDING: March 31, 2011</u>	<u>FY11</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
CONTRACTUAL SERVICE				
1205 Freight Express & Drayage	\$2,500.00	\$1,589.24	\$910.76	63.57%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	\$1,000.00	\$1,000.00	\$0.00	100.00%
1239 Rental, NEC				
1243 Book Binding Services				
1266 Court Reporting & Filing Services	\$16,900.00	\$12,606.00	\$4,294.00	74.59%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$600.00	\$530.00	\$70.00	88.33%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00	\$0.00	\$100.00	0.00%
1277 Association Dues	\$100.00	\$0.00	\$100.00	0.00%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$500.00	\$0.00	\$500.00	0.00%
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$500.00	\$406.12	\$93.88	81.22%
TRAVEL	\$19,000.00	\$8,791.08	\$10,208.92	46.27%
EQUIPMENT				
1510 Office Furniture & Equipment	\$700.00	\$0.00	\$700.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%

<u>BOARD GRAND TOTAL</u>	<u>FY11</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>	<u>BALANCE</u>
CONTRACTUAL SERVICE	\$22,200.00	\$16,131.36		\$6,068.64
TRAVEL	\$19,000.00	\$8,791.08		\$10,208.92
EQUIPMENT	\$700.00	\$0.00		\$700.00
TOTAL	\$41,900.00	\$24,922.44	\$0.00	\$16,977.56

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

ADMINISTRATION MONTH ENDING: March 31, 2011	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE	
PERSONAL SERVICE	\$727,800.00	\$511,406.33	\$216,393.67	70.27%	
1129 State Paid Retirement	\$29,200.00	\$20,512.93	\$8,687.07	70.25%	
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%	
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%	
1170 Social Security	\$55,700.00	\$36,496.44	\$19,203.56	65.52%	
CONTRACTUAL SERVICE					
1201 Petty Cash	\$500.00	\$136.97	\$363.03	27.39%	
1205 Freight Express & Drayage	\$700.00	\$196.36	\$503.64	28.05%	
1221 Repair/Maint. Furn./Office Equipment	\$7,500.00	\$6,578.78	\$921.22	87.72%	
1223 Repair/Maint. Real Property	\$3,700.00	\$0.00	\$3,700.00	0.00%	
1230 In-House Repair & Maintenance					
1231 Rental, Office Equipment	\$34,100.00	\$1,453.35	\$32,646.65	4.26%	
1232 Rental, Motor Vehicles	\$4,600.00	\$1,000.00	\$3,600.00	21.74%	
1233 Rental, Real Property	\$210,400.00	\$174,878.01	\$35,521.99	83.12%	
1234 Rental, Machinery & Mechanical Eqmt					
1239 Rental, NEC	\$1,400.00	\$410.00	\$990.00	29.29%	
1240 Statistical & Tabulation Services	\$10,000.00	\$8,531.38	\$1,468.62	85.31%	
1245 Professional & Artistic					
1248 Building & Grounds Maintenance	\$9,800.00	\$2,834.81	\$6,965.19	28.93%	
1251 Gas	\$9,500.00	\$7,962.95	\$1,537.05	83.82%	
1252 Electricity	\$56,000.00	\$35,151.17	\$20,848.83	62.77%	
1253 Water	\$1,000.00	\$653.65	\$346.35	65.37%	
1255 Utilities, NEC	\$800.00	\$413.78	\$386.22	51.72%	
1261 Postage	\$64,000.00	\$45,471.48	\$18,528.52	71.05%	
1266 Court Reporting					
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00	\$0.00	\$800.00	0.00%	
1275 Subscription & Information Services	\$2,500.00	\$1,666.50	\$833.50	66.66%	
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,000.00	\$0.00	\$1,000.00	0.00%	
1277 Association Dues	\$1,800.00	\$1,645.00	\$155.00	91.39%	
1279 Tuition - Employee					
1281 Interviewee Expense - To Vendors					
1285 Taxes, Licenses & Fees	\$100.00	\$0.00	\$100.00	0.00%	
1289 Contractual Services, NEC	\$11,500.00	\$11,426.22	\$73.78	99.36%	
TRAVEL					
1291 In-State	\$18,000.00	\$6,059.19	\$11,940.81	33.66%	
1292 Out-of-State	\$1,000.00	\$0.00	\$1,000.00	0.00%	
PRINTING	\$9,800.00	\$2,348.00	\$7,452.00	23.96%	
COMMODITIES					
1304 Office/Library Supplies	\$12,000.00	\$8,292.95	\$3,707.05	69.11%	
1391 Household & Cleaning Supplies	\$3,500.00	\$1,140.83	\$2,359.17	32.60%	
1394 Office/Library Equip., Not exc. \$100					
1398 Equipment, NEC	\$500.00	\$88.27	\$411.73	17.65%	
1399 Commodities, NEC	\$400.00	\$15.87	\$384.13	3.97%	
EQUIPMENT					
1510 Office Furniture & Equipment	\$2,000.00	\$221.76	\$1,778.24	11.09%	
1599 Equipment NEC	\$1,000.00	\$0.00	\$1,000.00	0.00%	
TELECOMMUNICATIONS					
1710 Repair/Maintenance Telecom					
1721 Rental, Telephone Serv. & Equip.	\$48,000.00	\$36,428.71	\$11,571.29	75.89%	
1722 Rental, Data Comm. Serv. & Equip.	\$81,200.00	\$34,701.92	\$46,498.08	42.74%	
1728 Videoconferencing	\$6,400.00	\$4,474.45	\$1,925.55	69.91%	
1729 Rental, Other Comm. Serv. & Equip.	\$3,000.00	\$0.00	\$3,000.00	0.00%	
1730 Parts & Supplies for Telephone	\$1,000.00	\$961.85	\$38.15	96.19%	
1750 Telephone, Data, Radio & Other Equipment					
OPERATION OF AUTO EQUIPMENT					
1893 Repair & Maint., Auto. Equipment	\$1,800.00	\$1,703.52	\$96.48	94.64%	
1894 Parts & Fittings, Auto Equipment	\$200.00	\$0.00	\$200.00	0.00%	
1896 Gasoline, Oil & Antifreeze	\$2,400.00	\$1,175.95	\$1,224.05	49.00%	
1899 Auto. Expenses, NEC					
LUMP SUMS & OTHER PURPOSES					
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%	
ADMINISTRATION GRAND TOTAL	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	% OF EXPENDITURE	
PERSONAL SERVICE	\$727,800.00	\$511,406.33	\$216,393.67	70.27%	
STATE PAID RETIREMENT	\$29,200.00	\$20,512.93	\$8,687.07	70.25%	
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00	0.00%	
SOCIAL SECURITY	\$55,700.00	\$36,496.44	\$19,203.56	65.52%	
CONTRACTUAL SERVICE	\$431,700.00	\$300,410.41	\$91,603.60	69.59%	
TRAVEL	\$19,000.00	\$6,059.19	\$12,940.81	31.89%	
PRINTING	\$9,800.00	\$2,348.00	\$7,452.00	23.96%	
COMMODITIES	\$16,400.00	\$9,537.92	\$6,862.08	58.16%	
EQUIPMENT	\$3,000.00	\$221.76	\$2,778.24	7.39%	
TELECOMMUNICATIONS	\$139,600.00	\$76,566.93	\$63,033.07	54.85%	
OPERATION OF AUTO EQUIPMENT	\$4,400.00	\$2,879.47	\$1,520.53	65.44%	
TOTAL	\$1,436,600.00	\$966,439.38	\$39,686.99	\$430,474.63	67.27%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

<u>ELECTIONS</u> <u>MONTH ENDING: March 31, 2011</u>	<u>FY11</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$1,604,100.00	\$1,110,324.06	\$493,775.94	69.22%
1129 State Paid Retirement	\$64,200.00	\$43,971.87	\$20,228.13	68.49%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$122,800.00	\$81,969.70	\$40,830.30	66.75%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Contractual reimbursement To Employee				
1205 Freight Express & Drayage	\$2,300.00	\$0.00	\$2,300.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$200.00	\$0.00	\$200.00	0.00%
1231 Rental, Office Equipment				
1232 Rental, Motor Vehicles				
1237 Rental, Film & Audio/Visual Aids	\$100.00	\$0.00	\$100.00	0.00%
1239 Rental, NEC	\$200.00	\$100.00	\$100.00	50.00%
1242 Auditing & Management Services				
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services				
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$4,000.00	\$0.00	\$4,000.00	0.00%
1275 Subscription & Information Services	\$2,600.00	\$350.00	\$2,250.00	13.46%
1276 Reg. Fees & Conf. Expenses (Employee)	\$3,600.00	\$75.00	\$3,525.00	2.08%
1277 Association Dues	\$2,400.00	\$439.00	\$1,961.00	18.29%
1279 Employee Tuition & Fees	\$2,500.00	\$0.00	\$2,500.00	0.00%
1280 Copying, Photographic & Printing Services	\$1,000.00	\$0.00	\$1,000.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1289 Contractual Services, NEC	\$12,000.00	\$166.00	\$11,834.00	1.38%
TRAVEL	\$57,600.00	\$29,743.64	\$27,856.36	51.64%
PRINTING	\$20,700.00	\$3,283.05	\$17,416.95	15.86%
EQUIPMENT				
1510 Office Furniture & Equipment	\$4,600.00	\$0.00	\$4,600.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%
HAVA - Maintenance of Effort	\$550,000.00	\$419,786.77	\$130,213.23	76.32%
ELECTION CODE BOOKS	\$15,000.00	\$0.00	\$15,000.00	0.00%
Redistricting	\$90,000.00	\$0.00	\$90,000.00	0.00%
Election Judge Reimbursements	\$2,700,000.00	\$2,223,420.00	\$476,580.00	82.35%
Election Judge - Gov. Money	\$2,000,000.00	\$630,580.00	\$1,369,420.00	31.53%
Stipends	\$403,000.00	\$337,857.84	\$65,142.16	83.84%
Early Voting Election Judges	\$1,300,000.00	\$1,082,730.00	\$217,270.00	83.29%
State HAVA Match	\$0.00	\$0.00	\$0.00	0.00%
Voting System Integrity Center	\$250,800.00	\$0.00	\$250,800.00	0.00%
Electronic Canvassing Implementation	\$125,000.00	\$644.45	\$124,355.55	0.52%
IDIS Replacement System	\$100,000.00	\$0.00	\$100,000.00	0.00%

<u>ELECTIONS GRAND TOTAL</u>	<u>FY11</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$1,604,100.00	\$1,110,324.06		\$493,775.94	69.22%
STATE PAID RETIREMENT	\$64,200.00	\$43,971.87		\$20,228.13	68.49%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$122,800.00	\$81,969.70		\$40,830.30	66.75%
CONTRACTUAL SERVICE	\$30,900.00	\$1,130.00		\$29,770.00	3.66%
TRAVEL	\$57,600.00	\$29,743.64		\$27,856.36	51.64%
PRINTING	\$20,700.00	\$3,283.05		\$17,416.95	15.86%
EQUIPMENT	\$4,600.00	\$0.00		\$4,600.00	0.00%
HAVA - Maintenance of Effort	\$550,000.00	\$419,786.77	\$130,213.23	\$0.00	76.32%
ELECTION CODE BOOKS	\$15,000.00	\$0.00		\$15,000.00	0.00%
Redistricting	\$90,000.00	\$0.00		\$90,000.00	0.00%
Election Judge Reimbursements	\$2,700,000.00	\$2,223,420.00		\$476,580.00	82.35%
Election Judge - Gov. Money	\$2,000,000.00	\$630,580.00		\$1,369,420.00	31.53%
Stipends	\$403,000.00	\$337,857.84		\$65,142.16	83.84%
Early Voting Election Judges	\$1,300,000.00	\$1,082,730.00		\$217,270.00	83.29%
State HAVA Match	\$0.00	\$0.00		\$0.00	0.00%
Voting System Integrity Center	\$250,800.00	\$0.00		\$250,800.00	0.00%
Electronic Canvassing Implementation	\$125,000.00	\$644.45		\$124,355.55	0.52%
IDIS Replacement System	\$100,000.00	\$0.00		\$100,000.00	0.00%
TOTAL	\$9,438,700.00	\$5,965,441.38	\$130,213.23	\$3,343,045.39	63.20%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

GENERAL COUNSEL	FY11	YEAR TO DATE		% OF
MONTH ENDING: March 31, 2011	APPROPRIATION	EXPENDITURE	BALANCE	EXPENDITURE
PERSONAL SERVICE	\$272,000.00	\$181,401.19	\$90,598.81	66.69%
1129 State Paid Retirement	\$10,900.00	\$7,258.42	\$3,641.58	66.59%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$20,800.00	\$13,426.58	\$7,373.42	64.55%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Reimbursement to Employee	\$500.00	\$430.00	\$70.00	86.00%
1205 Freight Express & Drayage	\$600.00	\$0.00	\$600.00	0.00%
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	\$44,300.00	\$32,773.35	\$11,526.65	73.98%
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services	\$14,500.00	\$7,843.25	\$6,656.75	54.09%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,500.00	\$0.00	\$1,500.00	0.00%
1275 Subscription & Information Services	\$1,700.00	\$0.00	\$1,700.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,500.00	\$0.00	\$1,500.00	0.00%
1277 Association Dues	\$1,500.00	\$983.00	\$517.00	65.53%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$7,100.00	\$7,066.60	\$33.40	99.53%
1284 Computer Software				
1289 Contractual Services, NEC	\$3,700.00	\$3,612.37	\$87.63	97.63%
TRAVEL	\$10,700.00	\$5,195.46	\$5,504.54	48.56%
EQUIPMENT				
1510 Office Furniture & Equipment	\$7,300.00	\$7,263.19	\$36.81	99.50%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%

GENERAL COUNSEL GRAND TOTAL	FY11	YEAR TO DATE	OBLIGATED		% OF
	APPROPRIATION	EXPENDITURE	MONEY	BALANCE	EXPENDITURE
PERSONAL SERVICE	\$272,000.00	\$181,401.19		\$90,598.81	66.69%
STATE PAID RETIREMENT	\$10,900.00	\$7,258.42		\$3,641.58	66.59%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$20,800.00	\$13,426.58		\$7,373.42	64.55%
CONTRACTUAL SERVICE	\$76,900.00	\$52,708.57	17,226.65	\$6,964.78	68.54%
TRAVEL	\$10,700.00	\$5,195.46		\$5,504.54	48.56%
EQUIPMENT	\$7,300.00	\$7,263.19		\$36.81	99.50%
TOTAL	\$398,600.00	\$267,253.41	\$17,226.65	\$114,119.94	67.05%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

CAMPAIGN DISCLOSURE MONTH ENDING: March 31, 2011	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$877,500.00	\$481,185.83	\$396,314.17	54.84%
1129 State Paid Retirement	\$35,100.00	\$18,788.00	\$16,312.00	53.53%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$67,200.00	\$35,296.09	\$31,903.91	52.52%
CONTRACTUAL SERVICE				
1202 Employee Reimbursement	\$100.00	\$49.85	\$50.15	49.85%
1205 Freight Express & Drayage	\$100.00	\$0.00	\$100.00	0.00%
1221 Repair & Maint, Furn & Office Equipment	\$300.00	\$208.00	\$92.00	69.33%
1225 Repair & Maint, EDP				
1229 Repair & Maint, NEC				
1232 Rental, Motor Vehicles				
1239 Rental, NEC	\$3,400.00	\$0.00	\$3,400.00	0.00%
1245 Professional & Artistic Services, NEC	\$5,500.00	\$0.00	\$5,500.00	0.00%
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,100.00	\$1,060.00	\$40.00	96.36%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$600.00	\$0.00	\$600.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC				
TRAVEL				
1291 In-State	\$8,000.00	\$1,674.34	\$6,325.66	20.93%
1292 Out-of-State	\$3,300.00	\$1,437.80	\$1,862.20	43.57%
PRINTING	\$22,000.00	\$14,753.12	\$7,246.88	67.06%
EQUIPMENT				
1510 Office Furniture & Equipment	\$9,300.00	\$1,975.84	\$7,324.16	21.25%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$0.00		\$0.00	0.00%

CAMPAIGN DISCLOSURE GRAND TOTAL	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$877,500.00	\$481,185.83		\$396,314.17	54.84%
STATE PAID RETIREMENT	\$35,100.00	\$18,788.00		\$16,312.00	53.53%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$67,200.00	\$35,296.09		\$31,903.91	52.52%
CONTRACTUAL SERVICE	\$11,100.00	\$1,317.85		\$9,782.15	11.87%
TRAVEL	\$11,300.00	\$3,112.14		\$8,187.86	27.54%
PRINTING	\$22,000.00	\$14,753.12		\$7,246.88	67.06%
EQUIPMENT	\$9,300.00	\$1,975.84		\$7,324.16	21.25%
TOTAL	\$1,033,500.00	\$556,428.87	\$0.00	\$477,071.13	53.84%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

INFORMATION TECHNOLOGY MONTH ENDING: March 31, 2011	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$832,700.00	\$475,606.45	\$357,093.55	57.12%
1129 State Paid Retirement	\$33,400.00	\$16,910.11	\$16,489.89	50.63%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$63,700.00	\$35,500.37	\$28,199.63	55.73%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1205 Freight Express & Drayage	\$13,000.00	\$0.00	\$13,000.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$1,500.00	\$1,482.31	\$17.69	98.82%
1223 Repair/Maint. Real Property	\$1,600.00	\$1,524.94	\$75.06	95.31%
1225 Repair/Maint. EDP Equipment	\$59,800.00	\$14,644.03	\$45,155.97	24.49%
1230 In-House Repair of Equipment				
1234 Rental, Machinery and Mech. Equip				
1239 Rental, NEC				
1242 Auditing & Management Services	\$110,600.00	\$110,503.14	\$96.86	99.91%
1244 Legal Fees				
1245 Professional & Artistic Services, NEC	\$124,400.00	\$0.00	\$124,400.00	0.00%
1271 Surety Bond & Ins. Prem.	\$700.00	\$498.00	\$202.00	71.14%
1272 Travel & Expense Reimbursement (Vendor)				
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00	\$0.00	\$6,000.00	0.00%
1275 Subscription & Information Services	\$2,800.00	\$0.00	\$2,800.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$5,000.00	\$790.00	\$4,210.00	15.80%
1284 Computer Software	\$115,500.00	\$9,875.65	\$105,624.35	8.55%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$5,100.00	\$0.00	\$5,100.00	0.00%
TRAVEL				
1291 In-State	\$5,500.00	\$4,171.33	\$1,328.67	75.84%
1292 Out-of-State	\$5,400.00	\$0.00	\$5,400.00	0.00%
PRINTING	\$700.00	\$0.00	\$700.00	0.00%
COMMODITIES				
1304 Office/Library Supplies	\$12,800.00	\$7,489.39	\$5,310.61	58.51%
1332 Industrial & Shop Materials				
1394 Office/Library Equip. under \$100	\$400.00	\$343.06	\$56.94	85.77%
1398 Equipment, NEC	\$7,300.00	\$7,234.55	\$65.45	99.10%
1399 Commodities, NEC				
EQUIPMENT				
1510 Office Furniture & Equipment	\$600.00	\$567.38	\$32.62	94.56%
1515 EDP Equipment	\$183,050.00	\$110,954.42	\$72,095.58	60.61%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$0.00		\$0.00	0.00%

INFORMATION TECHNOLOGY GRAND TOTAL	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$832,700.00	\$475,606.45		\$357,093.55	57.12%
STATE PAID RETIREMENT	\$33,400.00	\$16,910.11		\$16,489.89	50.63%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$63,700.00	\$35,500.37		\$28,199.63	55.73%
CONTRACTUAL SERVICE	\$446,000.00	\$139,318.07	\$150,918.85	\$155,763.08	31.24%
TRAVEL	\$10,900.00	\$4,171.33		\$6,728.67	38.27%
PRINTING	\$700.00	\$0.00		\$700.00	0.00%
COMMODITIES	\$20,500.00	\$15,067.00		\$5,433.00	73.50%
EQUIPMENT	\$183,650.00	\$111,521.80	\$72,001.84	\$126.36	60.73%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$1,591,550.00	\$798,095.13	\$222,920.69	\$570,534.18	50.15%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

IVRS LUMP SUM MONTH ENDING: March 31, 2011	YEAR TO DATE EXPENDITURE
PERSONAL SERVICE	\$126,410.78
1129 State Paid Retirement	\$4,817.75
1161 Retirement	
1170 Social Security	\$8,931.49
CONTRACTUAL SERVICE	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	\$79.98
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
TRAVEL	\$206.57
COMMODITIES	
1304 Office Supplies	
1398 Equipment Less than \$100	
EQUIPMENT	
1510 Office Furniture & Equipment	\$419.60
LUMP SUMS & OTHER PURPOSES	
1991 Interest Payments	
LOCAL GRANTS	
4453 Reimbursement to Governmental Units	\$41,291.75
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	
LUMP SUM - OPERATIONS APPROP FOR YEAR	\$328,500.00
TOTAL LUMP SUM - OPERATIONS EXPENDITURES	\$140,866.17
REMAINING LUMP SUM APPROPRIATION	\$187,633.83
LUMP SUM - GRANTS APPROP FOR YEAR	\$1,251,900.00
TOTAL LUMP SUM - GRANT EXPENDITURES	\$41,291.75
REMAINING LUMP SUM APPROPRIATION	\$1,210,608.25
LUMP SUM TOTAL APPROPRIATION	\$1,580,400.00
TOTAL LUMP SUM EXPENDITURES	\$182,157.92
REMAINING LUMP SUM APPROPRIATION	\$1,398,242.08

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 <u>Discretionary</u> Funds	SECTION 102 <u>Entitlement</u> Payments	SECTION 261 <u>EAID</u> Disbursements	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> Requirements	TOTAL Fund Activity
<u>MONTH ENDING: March 31, 2011</u>						
CASH BALANCE AT BEGINNING OF YR	\$2,694,896.84	\$136,049.00	-\$25,054.00	\$8,771,772.99	\$5,090,669.45	\$16,668,334.28
Program Revenues from Federal Govt			\$443,260.88		\$7,128,540.00	\$7,571,800.88
Miscellaneous Revenues						\$0.00
Interest Earned on IOC Balances	\$7,003.95			\$20,333.10	\$28,358.95	\$55,696.00
Interest Penalties Received	\$782.04					\$782.04
State Match Receipts					\$375,188.00	\$375,188.00
TOTAL CASH REVENUES	\$7,785.99	\$0.00	\$443,260.88	\$20,333.10	\$7,532,086.95	\$8,003,466.92
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	\$76,612.42	\$0.00	\$0.00	\$0.00	\$0.00	\$76,612.42
STATE-PAID RETIREMENT	\$3,065.08	\$0.00	\$0.00	\$0.00	\$0.00	\$3,065.08
RETIREMENT	\$22,977.42	\$0.00	\$0.00	\$0.00	\$0.00	\$22,977.42
SOCIAL SECURITY	\$5,614.70	\$0.00	\$0.00	\$0.00	\$0.00	\$5,614.70
GROUP INSURANCE	\$22,410.30	\$0.00	\$0.00	\$0.00	\$0.00	\$22,410.30
CONTRACTUAL SERVICE	\$14,943.44	\$0.00	\$0.00	\$425,231.90	\$0.00	\$440,175.34
TRAVEL	\$16,059.61	\$0.00	\$0.00	\$0.00	\$0.00	\$16,059.61
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$283.87	\$0.00	\$0.00	\$0.00	\$0.00	\$283.87
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$125,735.98	\$0.00	\$0.00	\$492,496.86	\$0.00	\$618,232.84
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	\$287,702.82	\$0.00	\$0.00	\$917,728.76	\$0.00	\$1,205,431.58
AWARDS & GRANTS	-\$35,564.61	\$136,049.00	\$493,771.14	\$1,866,485.05	\$671,588.40	\$3,132,328.98
TOTAL CASH EXPENDITURES	\$252,138.21	\$136,049.00	\$493,771.14	\$2,784,213.81	\$671,588.40	\$4,337,760.56
CASH BALANCE AT END OF MONTH	\$2,450,544.62	\$0.00	-\$75,564.26	\$6,007,892.28	\$11,951,168.00	\$20,334,040.64

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 101 - DISCRETIONARY FUNDS	FY11	YEAR TO DATE	
MONTH ENDING: March 31, 2011	APPROPRIATION	EXPENDITURE	
PERSONAL SERVICE	\$76,612.42	\$76,612.42	
1129 State Paid Retirement	\$3,065.08	\$3,065.08	
1161 Retirement	\$22,977.42	\$22,977.42	
1170 Social Security	\$5,614.70	\$5,614.70	
1180 Group Insurance	\$22,410.30	\$22,410.30	
CONTRACTUAL SERVICE			
1205 Freight Express/Drayage	\$53.44	\$53.44	
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.			
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services	\$11,375.00	\$11,375.00	
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC	\$2,950.00	\$2,950.00	
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)	\$490.00	\$490.00	
1276 Registration Fees & Conf. Exp. (Employee)	\$75.00	\$75.00	
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software			
1286 Travel, Reimb. to Non-State Employees			
1289 Contractual Services, NEC			
TRAVEL			
1291 In-State	\$5,013.18	\$5,013.18	
1292 Out-of-State	\$11,046.43	\$11,046.43	
PRINTING			
COMMODITIES			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
EQUIPMENT			
1510 Office Furniture & Equipment			
1515 EDP Equipment			
1561 Training Equipment			
6625 Leasehold Improvements			
TELECOMMUNICATIONS			
1721 Rental, Telephone Serv. & Equip.	\$283.87	\$283.87	
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
OPERATION OF AUTO EQUIPMENT			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
INDIRECT COST REFUNDS			
1993 Indirect Cost Allocation Refund	\$125,735.98	\$125,735.98	
GRANTS			
4453 Reimbursement to Governmental Units			
4458 Services, NEC			
4470 Grants to Local Governments (PPA)	-\$35,564.61	-\$35,564.61	
4470 Grants to Local Governments (EAI)			
4470 Grants to Local Governments (AAG)			
4470 Grants to Local Governments			
4479 Payments to Other State Agencies			
SECTION 101 GRAND TOTAL	FY11	YEAR TO DATE	OBLIGATED
	APPROPRIATION	EXPENDITURE	MONEY
PERSONAL SERVICE	\$76,612.42	\$76,612.42	
STATE PAID RETIREMENT	\$3,065.08	\$3,065.08	
RETIREMENT	\$22,977.42	\$22,977.42	
SOCIAL SECURITY	\$5,614.70	\$5,614.70	
GROUP INSURANCE	\$22,410.30	\$22,410.30	
CONTRACTUAL SERVICE	\$14,943.44	\$14,943.44	\$2,050.00
TRAVEL	\$16,059.61	\$16,059.61	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$283.87	\$283.87	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$125,735.98	\$125,735.98	
GRANTS	-\$35,564.61	-\$35,564.61	
TOTAL	\$252,138.21	\$252,138.21	\$2,050.00

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: March 31, 2011

FY11
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
 1161 Retirement
 1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
 1225 Repair & Maintenance, EDP Equip.
 1231 Rental, Office Equipment
 1237 Rental, Film & Audio/Visual Aids
 1239 Rental, NEC
 1242 Auditing & Management Services
 1243 Book Binding & Processing Services
 1244 Legal Fees
 1245 Professional & Technical Services, NEC
 1261 Postage
 1266 Court Reporting & Filing Services
 1274 Registration Fees & Conf. Exp. (Vendor)
 1276 Registration Fees & Conf. Exp. (Employee)
 1280 Copying, Photographic & Printing Serv.
 1284 Computer Software
 1289 Contractual Services, NEC

TRAVEL

1291 In-State
 1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
 1394 Office/Library Equip., Not Exceed. \$100
 1398 Equipment, NEC
 1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
 1515 EDP Equipment
 1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
 1722 Rental, Data Comm. Serv. & Equip.
 1728 Video Conferencing
 1729 Rental, Other Comm. Serv. & Equip.
 1730 Parts & Supplies for Telephone
 1740 Answering & Pag. Comm. Serv. Equip.
 1750 Telephone, Data, Radio & Other Equip.
 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
 1894 Parts & Fittings, Automotive Equipment
 1896 Gasoline, Oil & Antifreeze
 1898 Automotive Services, NEC
 1899 Automotive Expenses, NEC

GRANTS

4453 Reimbursement to Governmental Units
 4458 Services, NEC
 4470 Grants to Local Governments, NEC

SECTION 102 GRAND TOTAL

\$136,049.00
FY11
APPROPRIATION

\$136,049.00
YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE
STATE PAID RETIREMENT
RETIREMENT
SOCIAL SECURITY
CONTRACTUAL SERVICE
TRAVEL
PRINTING
COMMODITIES
EQUIPMENT
TELECOMMUNICATIONS
OPERATION OF AUTO EQUIPMENT
GRANTS

\$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$136,049.00

\$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$136,049.00

TOTAL

\$136,049.00

\$136,049.00

\$0.00

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 261 - EAID DISBURSEMENTS
MONTH ENDING: March 31, 2011

FY11
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not exc. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

GRANTS

4453 Reimbursement to Governmental Units
4470 Grants to Local Governments (VAID II)
4470 Grants to Local Governments (VAID III)

\$493,771.14

\$493,771.14

SECTION 261 GRAND TOTAL

FY11
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE

\$0.00

\$0.00

STATE PAID RETIREMENT

\$0.00

\$0.00

RETIREMENT

\$0.00

\$0.00

SOCIAL SECURITY

\$0.00

\$0.00

CONTRACTUAL SERVICE

\$0.00

\$0.00

TRAVEL

\$0.00

\$0.00

PRINTING

\$0.00

\$0.00

COMMODITIES

\$0.00

\$0.00

EQUIPMENT

\$0.00

\$0.00

TELECOMMUNICATIONS

\$0.00

\$0.00

OPERATION OF AUTO EQUIPMENT

\$0.00

\$0.00

GRANTS

\$493,771.14

\$493,771.14

TOTAL

\$493,771.14

\$493,771.14

\$0.00

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS
MONTH ENDING: March 31, 2011

FY11
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
 1161 Retirement
 1170 Social Security
 1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage	\$831.64	\$831.64
1223 Repair & Maintenance Real Property		
1225 Repair & Maintenance, EDP Equip.		
1231 Rental, Office Equipment		
1232 Rental, Motor Vehicles		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC		
1242 Auditing & Management Services	\$412,903.80	\$412,903.80
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC	\$5,695.06	\$5,695.06
1261 Postage		
1266 Court Reporting & Filing Services		
1273 Advertising		
1274 Registration Fees & Conf. Exp. (Vendor)		
1276 Registration Fees & Conf. Exp. (Employee)		
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software	\$5,801.40	\$5,801.40
1289 Contractual Services, NEC		

TRAVEL

1291 In-State
 1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
 1308 Educational & Instructional Materials
 1394 Office/Library Equip., Not Exceed. \$100
 1398 Equipment, NEC
 1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
 1515 EDP Equipment
 1561 Training Equipment
 6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
 1722 Rental, Data Comm. Serv. & Equip.
 1728 Video Conferencing
 1729 Rental, Other Comm. Serv. & Equip.
 1730 Parts & Supplies for Telephone
 1740 Answering & Pag. Comm. Serv. Equip.
 1750 Telephone, Data, Radio & Other Equip.
 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
 1894 Parts & Fittings, Automotive Equipment
 1896 Gasoline, Oil & Antifreeze
 1898 Automotive Services, NEC
 1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund	\$492,496.86	\$492,496.86
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GRANTS

4453 Reimbursement to Govt Units (AVE)		
4453 Reimbursement to Govt Units (Phase II)		
4453 Reimbursement to Govt Units (ALA)	\$475,261.54	\$475,261.54
4453 Reimbursement to Govt Units (EDG)	\$1,391,223.51	\$1,391,223.51
4470 Grants to Local Governments (VRS)		
4479 Payments to Other State Agencies		

SECTION 251 (OLD REQ) GRAND TOTAL

FY11
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$425,231.90	\$425,231.90	\$86,510.81
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	\$13,822.22
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$492,496.86	\$492,496.86	
GRANTS	\$1,866,485.05	\$1,866,485.05	
TOTAL	\$2,784,213.81	\$2,784,213.81	\$100,333.03

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS
MONTH ENDING: March 31, 2011

FY11
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
8625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase II)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (VRS)
4479 Payments to Other State Agencies

\$671,588.40

\$671,588.40

SECTION 251 (NEW REQ) GRAND TOTAL

FY11
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE

\$0.00

\$0.00

STATE PAID RETIREMENT

\$0.00

\$0.00

RETIREMENT

\$0.00

\$0.00

SOCIAL SECURITY

\$0.00

\$0.00

GROUP INSURANCE

\$0.00

\$0.00

CONTRACTUAL SERVICE

\$0.00

\$0.00

TRAVEL

\$0.00

\$0.00

PRINTING

\$0.00

\$0.00

COMMODITIES

\$0.00

\$0.00

EQUIPMENT

\$0.00

\$0.00

TELECOMMUNICATIONS

\$0.00

\$0.00

OPERATION OF AUTO EQUIPMENT

\$0.00

\$0.00

INDIRECT COST REFUNDS

\$0.00

\$0.00

GRANTS

\$671,588.40

\$671,588.40

TOTAL

\$671,588.40

\$671,588.40

\$0.00

Start Date	End Date Activity	Division
4 /4 /2011	Last day for election authorities to send a sealed copy of each tested program for the upcoming election to the State Board of Elections. The SBE secures the programs until the next Consolidated Election, and if no contest or appeal is pending - destroys them.	VOSS
4 /4 /2011	Last day of period during which any receipts by a political committee of a contribution in an aggregate of more than \$500 must be reported within 2 business days following receipt. 10 ILCS 5/9-10	CAMP DISC
4 /5 /2011	Complete analysis of Referenda Profile for the Consolidated Primary Election. 10 ILCS 5/1A-8 (1, 2, 12)	VOSS
4 /5 /2011	CONSOLIDATED ELECTION	EL INFO
4 /6 /2011	Provide the election authorities in those jurisdictions where in-precinct counting equipment is utilized a list of the precincts selected on a random basis by the State Board of Elections. 10 ILCS 5/24B-15, 24C-15	VOSS
4 /8 /2011	Begin updating ELECTION JUDGES' TRAINING PROGRAM AND JUDGES' EXAMS. (Complete by August 15.) 10 ILCS 5/1A-8 (1,	ET&RD
4 /8 /2011	Begin planning for the distribution of campaign financing notices/packets to state political committees for the Semi-Annual Report. (Packets to be mailed June 1, 2011.) 10 ILCS	CAMP DISC
4 /8 /2011	Begin preparing the ELECTION JUDGES MANUALS OF INSTRUCTION for elections in 2012. (Complete by August 15, 2009.) 10 ILCS 5/1A-8 (1, 2, 3, 4, 11)	ET&RD
4 /11/2011	The date that a second letter goes out to all delinquent committees advising them that an assessment for late filing is continuing and that a complaint will be filed if the committee fails to file a Pre-Election Report or in lieu thereof a Statement of Nonparticipation for the April 5, 2011 Consolidated Election. Rules and Regulations 125.425	CAMP DISC
4 /12/2011	Begin review of computer operator's logs for the performance of automatic tabulating equipment used in the Consolidated Election. (Rules and Regulations)	VOSS

Start Date	End Date Activity	Division
4 /19/2011	Last day for local election authorities to count absentee, military & overseas ballots which were postmarked by midnight April 5, 2011. 10 ILCS 5/19-8© & 20-8[c]	LEG
4 /19/2011	BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
4 /21/2011	(date subject to change) 3rd Reading Deadline	LEG
	Final day on which SENATE bills may be called for final action on 3rd reading in the SENATE. (Senate Rule) Final day on which HOUSE bills may be called for a final vote on 3rd reading in the HOUSE.	
4 /24/2011	Last day for election authority to notify public of time, date, and place of re-tabulation for General Consolidated. 10 ILCS 5/24B-15	VOSS
4 /26/2011	Link report due from the LEO's to the SBE. 10 ILCS 5/19-20, 20-20.	LEG
4 /26/2011	Notify election officials who failed to submit their computer operator's log or analogous information 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations).	VOSS
4 /27/2011	Send to each election authority a current computerized voter registration error report, from the Consolidated General 2011	VRS
4 /27/2011	Send notice to election authorities who failed to submit a computerized voter registration file, for the Consolidated General 2011 submission. Rules and Regulations	VRS
4 /29/2011	Notify election authorities of requirement to submit computerized voter registration information for the May 15, 2011 submission in a format prescribed by the SBE	VRS
5 /1 /2011	Send draft of the 2012 CANDIDATE'S GUIDE to legal for review. (Complete by June 30, 2011.) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
5 /2 /2011	Prepare dates for the Appointment of Election Judges 2010/2011 document. Send to all election authorities by May 21, 2011. 10ILCS 5/13-1(1.1,2,3,5 & 7)	ET&RD
5 /6 /2011	Canvass results of Fox Waterway Agency trustees election.	EL INFO

Start Date	End Date Activity	Division
5 /12/2011	The Board will refer to the Attorney General of Illinois for compliance enforcement each jurisdiction whose computerized voter registration submission has not been received for the Consolidated General 2011 submission	VRS
5 /15/2011	First day for election authority to submit computerized voter registration file for the May 15, 2011 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
5 /16/2011	BOARD MEETING 10 ILCS 5/1A7	EXEC DIR
5 /17/2011	Complete analysis of Referenda Profile for the Consolidated Election. 10 ILCS 5/1A-8 (1, 2,	VOSS
5 /19/2011	(date subject to change) Final day for which HOUSE committees may take action on SENATE bills. (House Rule) Final day for which SENATE committees may take action on HOUSE bills. (Senate Rule)	LEG
5 /25/2011	Last day for election authorities to submit request for extension to file computerized voter registration information for the May 15, 2011 submission. Rules and Regulations	VRS
5 /25/2011	Last day for election authority to submit computerized voter registration file for the May 15, 2011 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
5 /27/2011	(date subject to change) Final day on which all HOUSE bills may be called for a final SENATE vote on 3rd reading. (Senate Rule) Final day on which all SENATE bills may be called for a final HOUSE vote on 3rd reading. (House Rule)	LEG
5 /31/2011	Complete draft of the 2012 ELECTION CALENDAR AND CAMPAIGN FINANCE CALENDAR. Prepare for printing. 10 ILCS 5/1A-8 (1,13)	EL INFO, ET&RD
5 /31/2011	General Assembly Adjournment (House/Senate Rule)	LEG
6 /1 /2011	Last day to notify political committees that have filed a Statement of Organization of their obligation to file a SEMI-ANNUAL REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-16(7)	CAMP DISC

STATE BOARD OF ELECTIONS
1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Division of Campaign Disclosure

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, & Steve Sandvoss, General Counsel

Re: Reporting In-kind Contributions of Rent

Date: April 7, 2011

During the February Board meeting, a reporting issue was raised in an appeal before the Board. That issue involved the reporting of 'rent' as an in-kind contribution and when that 'rent' should be deemed to have been received.

Section 5/9-10(d) addresses when an in-kind contribution is deemed to have been received. According to this section, it is when the public official, candidate, or political committee receives notification of the contribution of goods or services required by law.

In response to this reporting issue, we were instructed to advise our staff regarding how this should be reported should they be asked by a member of the public. To assist in the dissemination of this information, if approved by the Board, we plan to include language substantially like the following in our 'Guide to Campaign Disclosure' and in our booklet explaining the requirements for the filing of a Schedule A-1.

When an in-kind contribution of rent is provided to a candidate or committee, it may be reported by the recipient in one of two ways; either by (1) showing it as a one-time receipt at the time it is initially given, regardless of the number of months such rent applies to, or by (2) showing it broken down monthly and reported as being received on a particular day of each month. If any of these amounts shall be \$1000 or more, it shall be reported on a Schedule A-1.

The method of reporting would depend on the circumstances surrounding the in-kind contribution. This gives the committee reporting options and also warns of possible A-1 obligations, depending upon which option is chosen.

**STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

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EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

MEMORANDUM

TO: Chairman Schneider
Vice Chairman Rednour
Members of the Board
Executive Director Rupert T. Borgsmiller

From: Bernadette Harrington, Legal Counsel

Re: Federal Military Ballot Consent Decree Report Board Actions and
Recommendations

Date: April 7, 2011

As ordered by Paragraph 11 of the Federal Military Ballot Consent Decree, the Board included in the Consent Decree Report filed with the United States Department of Justice any administrative actions and recommendations needed to prevent future Uniformed and Overseas Citizens Absentee Voting Act ("UOCAVA") violations. The Board fully expects to follow the items listed below to ensure that all jurisdictions are in compliance with UOCAVA for the 2012 election cycle.

1. Recommended Legislation

- Discussion of legislation to amend Article 16 to make it consistent with the Federal absentee ballot 45 day deadline and require ballots to be available for transmission regardless of any pending ballot access litigation will be placed on the agenda of a future Board meeting.

2. Reminder Information

- The Board will send reminder notices of the 45 day federal deadline to all election jurisdictions for each upcoming election, including the Consolidated Primary and Consolidated Election.
- The notices will request that election jurisdictions report any foreseeable problems with compliance to the Board before the mailing deadline.

- The notices will state that even when the 45 day deadline falls on a Saturday, ballots must be sent by that date. This should cure the common confusion among the jurisdictions that it was permissible to wait until the first business day after the deadline to transmit ballots.
- The 45 day deadline will be included on the Election Calendar compiled by the Board and made available to all election authorities in the State.

3. Education and Training

- The Board will send representatives to monthly zone meetings across the state to discuss UOCAVA requirements with the election authorities and inform those in attendance of the exact date by which requested military and overseas ballots must be mailed for each upcoming election.
- The Board will offer training to clerks to educate them on absentee ballot transmission. This training will inform jurisdictions of ballot transmission deadlines, instruct clerks in the various ways to transmit ballots and raise awareness of changes in the law related to UOCAVA.

4. Ballot On Demand

- The Board will encourage each local jurisdiction to have a Ballot on Demand system, which is both time and cost effective in that it enables a jurisdiction to print ballots in-house.

5. Legal Action for Noncompliance

- In the event that the Board communicates with a specific jurisdiction and becomes aware that the jurisdiction is unwilling to comply with UOCAVA and its deadlines, the Board will contact the Office of the Attorney General, which has agreed to seek the necessary legal action to ensure full compliance in each jurisdiction.

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:

Rupert T. Borgsmiller, Executive Director

TO: Chairman Schneider
Vice Chairman Rednour
Members of the Board

SUBJECT: Request for Information/VOSS

DATE: April 7, 2011

Staff is currently working on a draft for the Request for Information and it will be submitted under separate cover prior to the meeting.

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:

Rupert T. Borgsmiller, Executive Director

TO: Chairman Schneider
Vice Chairman Rednour
Members of the Board

SUBJECT: Special Board Meeting

DATE: April 7, 2011

Please be reminded that there is a Special Board Meeting scheduled for Friday, May 6, 2011 at 9:00 a.m. to certify the canvass for the Fox Waterway Agency Directors (Lake and McHenry Counties).

5. **Comments from the general public.**
6. **Next Board meeting Tuesday, April 19, 2011 in Chicago.**
7. **Executive Session.**